

# AGENDA

## REGULAR MEETING ORANGE COUNTY COMMUNITY CORRECTIONS PARTNERSHIP

*Thursday, September 25, 2014, 2:00 P.M.*

PROBATION DEPARTMENT  
Training Room 5  
1001 S. Grand Ave.  
Santa Ana, California

**STEVE SENTMAN, Chair**  
Chief Probation Officer

**SANDRA HUTCHENS**  
Sheriff-Coroner

**TONY RACKAUCKAS**  
District Attorney

**MARY HALE**  
Health Care Agency

**FRANK OSPINO**  
Public Defender

**KEVIN RANEY**  
Chief of Police, Garden Grove

*The Orange County Community Corrections Partnership welcomes you to this meeting. This agenda contains a brief general description of each item to be considered. The Partnership encourages your participation. If you wish to speak on an item contained in the agenda, please complete a Speaker Form identifying the item(s) and deposit it in the Speaker Form Return box located next to the Clerk. If you wish to speak on a matter which does not appear on the agenda, you may do so during the Public Comment period at the close of the meeting. Except as otherwise provided by law, no action shall be taken on any item not appearing in the agenda. When addressing the Partnership, please state your name for the record prior to providing your comments.*

**\*\*In compliance with the Americans with Disabilities Act, those requiring accommodation for this meeting should notify the Clerk of the Board's Office 72 hours prior to the meeting at (714) 834-2206\*\***

*All supporting documentation is available for public review in the office of the Clerk of the Board of Supervisors located in the Hall of Administration Building, 333 W. Santa Ana Blvd., 10 Civic Center Plaza, Room 465, Santa Ana, California 92701 during regular business hours, 8:00 a.m. - 5:00 p.m., Monday through Friday.*

# AGENDA

## ADMINISTRATIVE MATTERS: (Items 1 - 8)

*At this time, members of the public may ask the Partnership to be heard on the following items as those items are called.*

1. Welcome and Introductions
2. Discussion of Pretrial Releases
3. Discussion of Pretrial Summit in February 2015
4. Discussion of Realignment Summit
5. Discussion of State grant funded from Recidivism Reduction Fund
6. Discussion of Realignment Allocation Committee (RAC) AB109 funding methodology and preliminary funding projections for 2014 Strategic Financial Plan
7. Discussion of Public Safety Realignment Annual Report
8. Realignment Updates:
  - Probation
  - Sheriff
  - District Attorney
  - Public Defender
  - Courts
  - Health Care/Mental Health
  - Local Law Enforcement
  - Board of Supervisors
  - Social Services
  - OC Community Resources
  - OC Department of Education
  - Community-Based Organization (Representative)
  - CSP (Victims Representative)

## PUBLIC & PARTNERSHIP COMMENTS:

*At this time members of the public may address the Orange County Community Corrections Partnership on any matter not on the agenda but within the jurisdiction of the Partnership. The Partnership or Chair may limit the length of time each individual may have to address the Partnership.*

## PUBLIC COMMENTS:

## PARTNERSHIP COMMENTS:

## ADJOURNMENT

## NEXT MEETING:

October 23, 2014 Regular Meeting, 2:00 P.M.

**FY 14/15 Proposed AB 109 Allocation**

														SB1020		6.749295364522%		
														Total Allocation	998,900,000	934,100,000	934,100,000	
														OC Portion	66,723,523	62,395,078	63,045,168	5,584,285
Department	FY 11-12 Allocation	%	FY 12-13 Allocation	%	FY 12-13 Growth Money Allocation	FY 13-14 Allocation	%	FY 14-15 Approved Base Allocation	%	Approved FY 13-14 Growth Money Allocation		FY 14-15 RAC Recommended Base Allocation*	%	FY 13-14 RAC Recommended Growth Money Allocation*				
Sheriff	11,083,628	48%	27,040,078	48%	5,608,468	32,608,876	49%	32,053,784	51%	3,256,000	81%	32,053,784	51%	3,240,000				
Probation	6,692,733	29%	14,346,340	25%		17,300,913	26%	16,178,579	26%			16,178,579	26%					
HCA (In-Custody)	2,532,623	11%	6,178,691	11%	941,688	7,451,168	11%	7,324,329	12%	744,000	19%	7,324,329	12%	760,000				
HCA (Post-Custody)	2,077,055	9%	5,067,270	9%		6,110,854	9%	5,714,435	9%			5,714,435	9%					
Local Law Enforcement (New)	692,354	3%	1,028,798	2%		565,048	1%	623,951	1.0%			623,951	1%					
Local Law Enforcement (Carryover)	N/A	N/A	660,292	N/A		-	-	-	-			-	-					
Local Law Enforcement (Total)	N/A	N/A	1,689,090	N/A		565,048	1%	623,951	1.0%			623,951	1%					
<b>Total</b>	<b>23,078,393</b>	<b>100%</b>	<b>53,661,177</b>	<b>95%</b>	<b>6,550,156</b>	<b>64,036,859</b>	<b>96%</b>	<b>61,895,078</b>	<b>99%</b>	<b>4,000,000</b>	<b>100%</b>	<b>61,895,078</b>	<b>99%</b>	<b>4,000,000</b>				
<b>Balance</b>						<b>2,641,821</b>	<b>5%</b>											
<b>Balance Allocation</b> (Allocation of the balance is considered one-time)						<b>2,686,664</b>	<b>4%</b>	<b>500,000</b>	<b>1%</b>			<b>500,000</b>	<b>1%</b>					
						<b>66,723,523</b>	<b>100%</b>	<b>62,395,078</b>	<b>100.0%</b>			<b>62,395,078</b>	<b>100%</b>					
<b>Undistributed Allocation*</b>																		
HCA (Risk Pool/Stop Gap Insurance)			1,300,000			250,000						650,090		1,584,285				
Sheriff (Deputy Recruitment/Academies)			841,821			936,664												
District Attorney (Realignment Services)			250,000			750,000		250,000				250,000						
Public Defender (Realignment Services)			250,000			750,000		250,000				250,000						
<b>Total Balance Allocation</b>						<b>2,641,821</b>		<b>2,686,664</b>				<b>1,150,090</b>		<b>1,584,285</b>				
<b>Total Proposed Allocation</b>			<b>56,302,998</b>			<b>66,723,523</b>		<b>62,395,078</b>				<b>63,045,168</b>		<b>5,584,285</b>				

**FY 14-15 Notes**

(a) Based on discussions with impacted financial managers, the Balance Allocation (originally estimated at \$1.25M) will be reduced to only \$500,000 as part of the FY 14-15 Budget. This balance amount will be distributed evenly (\$250,000 each) to the DA and Public Defender. The remaining \$750,000 (of the original \$1.25M) is proposed to be redistributed between the Sheriff and HCA (In-Custody). It is assumed that HCA (Risk Pool), the District Attorney and Public Defender will retain all carryover funds up to FY 14-15, which should cover most of their AB109 shortfall for FY 14-15. However, in the event they exhaust those resources during the year, CEO/Budget will work with them to address the shortfall during the Third Quarter Budget Report. Based on preliminary calculations from the Public Defender and DA, it appears that an adjustment will be needed, but the exact amount will be determined during the year.

(b) Department financial managers conservatively project that the amount of FY 13-14 Growth Money that will be distributed in Sept/Oct. of 2014 will be approximately \$4M. It is assumed that the Sheriff and HCA (In-Custody) will again have an AB109-related operational shortfall in the current fiscal year (FY 13-14) and consequently should be the recipients of any growth money allocated. Thus, with CCP approval, HCA and the Sheriff plan to include these projected growth monies in their FY 14-15 budget submittals. This is a change from prior years, when growth monies were not budgeted. Final approval for distribution of the FY 13-14 Growth Money can be returned to the CCP for approval once a final amount is distributed or, alternatively, staff can allocate the final amount according to the percentages shown in the chart under "Proposed 13-14 Growth Money Allocation."

(c) LLE is allocated exactly 1% of the Total Allocation for the FY 14-15 Proposal. In addition, individual cities will be permitted to carry over any unspent money from the FY 13/14 allocation.

**FY 14-15 Notes**

Base and growth allocations will remain unchanged at this time. Variances from approved budget to State approved allocations will remain undistributed at this time and will be distributed during the 3rd quarter based on program need and CCP recommendation. Growth money is based on updated projections received from CSAC.

2014 SFP Proposed AB 109 Allocation

Item 6, 9/25/14, OCCCP

OC %: 6.51%  
 Statewide Allocation: 354,300,000  
 Orange County Share: 23,078,393

Base 6.68%  
 842,908,998  
 56,302,998

Base 6.68% Growth 7.55%  
 998,900,000 86,757,030  
 66,723,523 6,550,676

Department	FY 11-12			FY 12-13			FY 13-14										
	Total FY 11-12 Final Funding*	FY 11-12 Year End Expenditures	Variance to Allocation (over)/under	Total FY 12-13 Final Funding*	FY 12-13 Year End Expenditures	Variance to Allocation (over)/under	Prior Year(s) Carryover	FY 13-14 Base Allocation	FY 12-13 Growth Funds Received		Total FY 13-14 Allocations	FY 13-14 Year End Expenditures	Variance to Allocation (over)/under	Funds Available for Reallocation	Allocation of Unspent Funds	Year-End Shortfall	
Sheriff	14,568,247	19,830,100	(5,261,853)	33,357,346	44,128,426	(10,771,080)	-	32,608,876	5,608,913	81%	38,217,789	46,590,488	(8,372,699)	-	4,237,230	(4,135,469)	88%
Probation	3,208,114	3,208,114	-	9,346,163	9,346,163	-	14,100	17,300,913	-	-	17,315,013	14,402,085	2,912,928	2,912,928	-	-	-
HCA (In-Custody)	4,300,576	4,534,935	(234,359)	7,918,292	9,045,279	(1,126,987)	-	7,451,168	941,763	19%	8,392,931	9,849,611	(1,456,680)	-	913,382	(543,298)	12%
HCA (Post-Custody)	309,102	309,102	-	3,325,455	3,325,455	-	11,085	6,110,854	-	-	6,121,939	5,208,557	913,382	913,382	-	-	-
Local Law Enforcement	692,354	45,265	647,089	1,314,648	1,314,648	-	-	565,048	-	-	565,048	428,147	136,901	-	-	-	-
<b>Total</b>	<b>23,078,393</b>	<b>27,927,516</b>	<b>(4,849,123)</b>	<b>55,261,904</b>	<b>67,159,971</b>	<b>(11,898,067)</b>	<b>25,185</b>	<b>64,036,859</b>	<b>6,550,676</b>	<b>100%</b>	<b>70,612,720</b>	<b>76,478,888</b>	<b>(5,866,168)</b>	<b>3,826,310</b>	<b>5,150,612</b>	<b>(4,678,767)</b>	<b>100%</b>
<b>Balance Allocation</b> (Allocation of the balance is considered one-time)	23,078,393	27,927,516	(4,849,123)	55,261,904	67,159,971	(11,898,067)	25,185	64,036,859	6,550,676	-	70,612,720	76,478,888	(5,866,168)	3,826,310	5,150,612	(4,678,767)	-
Undistributed Allocation	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
HCA (Risk Pool/Stop Gap Insurance)	-	-	-	1,300,604	20,000	1,280,604	1,280,604	250,000	-	-	1,530,604	-	1,530,604	-	-	-	-
Probation	553,596	553,596	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Sheriff (Deputy Recruitment/Academies)	1,000,000	1,000,000	-	-	-	-	-	936,664	-	-	936,664	-	936,664	936,644	-	-	-
District Attorney (Realignment Services)	110,000	27,980	82,020	329,754	329,754	-	-	750,000	-	-	750,000	750,000	-	-	-	-	-
Public Defender (Realignment Services)	110,000	110,000	-	194,893	194,893	-	-	750,000	-	-	750,000	362,362	387,638	387,638	-	-	-
Local Law Enforcement	54,854	-	54,854	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Community Corrections Partnership	-	-	-	200,000	853	199,147	199,147	200,000	-	-	399,147	-	399,147	-	-	-	-
	1,828,450	1,691,576	136,874	2,025,251	545,500	1,479,751	1,479,751	2,886,664	-	-	4,366,415	1,112,362	3,254,053	1,324,282	-	-	-
	24,906,843	29,619,092	(4,712,249)	57,287,155	67,705,471	(10,418,316)	1,504,936	66,923,523	6,550,676	-	74,979,135	77,591,250	(2,612,115)	5,150,592	5,150,612	(4,678,767)	-
<b>District Attorney/Public Defender PCS Representation</b>																	
District Attorney	413,627	39,287	374,340	851,183	303,285	547,898	551,612	558,494	188,902	-	1,299,008	886,373	412,635	-	-	-	-
Public Defender	413,627	118,030	295,597	772,440	351,471	420,969	420,969	558,495	188,902	-	1,168,366	732,556	435,810	-	-	-	-
	827,254	157,317	669,937	1,623,623	654,756	968,867	972,581	1,116,989	377,804	-	2,467,374	1,618,929	848,445	-	-	-	-
	25,734,097	29,776,409	(4,042,312)	58,910,778	68,360,227	(9,449,449)	2,477,517	68,040,512	6,928,480	-	77,446,509	79,210,179	(1,763,670)	5,150,592	5,150,612	(4,678,767)	-

\*Final Funding includes any additional revenues/carryover funds and accounts for funds reallocated to other Departments

FY 13-14 Notes

Local Law Enforcement funds are not available for reallocation as per MOU and can be carried over to FY 14-15. In Feb 2014, the CCP recommended carrying over any unused allocations for risk pool or stop gap insurance to FY 14-15 to be used to cover HCA's shortfall, with any shortfalls or other issues to be addressed in the FY 14-15 3rd Quarter Budget Report.



**2014 SFP Proposed AB 109 Allocation**

OC %:	OC %:	Base 6.75%	Growth 7.63%	Base 6.39%	Growth 9.40%	Growth 10.83%	Base 6.39%	Growth n/a	Base 6.39%	Growth n/a
Statewide Allocation:	Statewide Allocation:	934,100,000	73,200,000	934,100,000	86,020,000	65,780,000	1,085,900,000	5,000,000	1,085,900,000	5,000,000
Orange County Share:	Orange County Share:	63,045,168	5,585,233	59,725,166	8,086,281	7,122,460	69,431,066	5,000,000	69,431,066	5,000,000

Department	FY 14-15					FY 15-16				FY 16-17			FY 17-18					
	Prior Year(s) Carryover	FY 14 -15 Approved Base Allocation	Base %	Approved FY 13-14 Growth Money Allocation	FY 14 -15 Total Allocation	FY 15-16 Estimated Base Allocation	FY 15-16 Estimated Transition *	FY 14-15 Growth Allocation	FY 15 -16 Total Allocation	FY 16-17 Estimated Base Allocation	FY 15-16 Estimated Growth Allocation	FY 16-17 Total Allocation	FY 17-18 Estimated Base Allocation	FY 16-17 Estimated Growth Allocation	FY 17-18 Total Allocation			
Sheriff	-	32,053,784	51%	3,240,000	81%	35,293,784	30,459,835		6,267,765	88%	36,727,599	35,409,844	4,400,000	39,809,844	35,409,844	4,400,000	39,809,844	
Probation	-	16,178,579	26%			16,178,579	15,528,543				15,528,543	18,052,077		18,052,077	18,052,077		18,052,077	
HCA (In-Custody)	-	7,324,329	12%	760,000	19%	8,084,329	7,167,020		854,695	12%	8,021,715	8,331,728	600,000	8,931,728	8,331,728	600,000	8,931,728	
HCA (Post-Custody)	-	5,714,435	9%			5,714,435	5,375,265				5,375,265	6,248,796		6,248,796	6,248,796		6,248,796	
Local Law Enforcement	136,901	623,951	1%			760,852	597,252				597,252	694,311		694,311	694,311		694,311	
<b>Total</b>	136,901	61,895,078	<b>99%</b>	4,000,000	<b>100%</b>	66,031,979	59,127,914	-	7,122,460	<b>100%</b>	66,250,374	68,736,755	5,000,000	73,736,755	68,736,755	5,000,000	73,736,755	
	-	500,000	1%			500,000	597,252				597,252	694,311		694,311	694,311		694,311	
	136,901	62,395,078	<b>100%</b>	4,000,000		66,531,979	59,725,166	-	7,122,460		66,847,626	69,431,066	5,000,000	74,431,066	69,431,066	5,000,000	74,431,066	
<b>Balance Allocation</b> (Allocation of the balance is considered one-time)																		
Undistributed Allocation		650,090		1,585,233		2,235,323			8,086,281		8,086,281							
HCA (Risk Pool/Stop Gap Insurance)	1,530,604					1,530,604												
Probation																		
Sheriff (Deputy Recruitment/Academies)																		
District Attorney (Realignment Services)		250,000				250,000	298,626				298,626				347,155		347,155	
Public Defender (Realignment Services)		250,000				250,000	298,626				298,626	347,155			347,155		347,155	
Local Law Enforcement																		
Community Corrections Partnership	399,147					399,147												
	1,929,751	1,150,090		1,585,233		4,665,074	597,252	8,086,281	-		597,252	694,311		1,291,562	694,311		1,985,873	
	2,066,652	63,045,168		5,585,233		70,697,053	59,725,166	8,086,281	7,122,460		74,933,907	69,431,066	5,000,000	74,431,066	69,431,066	5,000,000	74,431,066	
<b>District Attorney/Public Defender PCS Representation</b>																		
District Attorney	412,635	516,036		188,902		1,117,573	516,036		188,902		704,938	516,036	188,902	704,938	516,036	188,902	704,938	
Public Defender	435,810	516,036		188,902		1,140,748	516,036		188,902		704,938	516,036	188,902	704,938	516,036	188,902	704,938	
	848,445	1,032,072		377,804		2,258,321	1,032,072	-	377,804		1,409,876	1,032,072		2,441,948	1,032,072		3,474,020	
	2,915,097	64,077,240	-	5,963,037	-	72,955,374	60,757,238	8,086,281	7,500,264	-	76,343,783	70,463,138	5,000,000	76,873,014	70,463,138	5,000,000	77,905,086	

\*Final Funding includes any additional revenue

**FY 14-15**

(a) Undistributed Allocation, originally estimated at \$1.25M, was reduced to \$500,000 as part of the FY 14-15 Budget. This amount is distributed evenly between the DA and Public Defender. The remaining \$750,000 of the original \$1.25M is proposed to be redistributed between Sheriff and HCA (In-Custody). It is assumed that HCA (Risk Pool), the District Attorney and Public Defender will retain all carryover funds up to FY 14-15, which should cover most of their AB109 shortfall for FY 14-15. However, in the event they exhaust those resources during the year, CEO/Budget will work with them to address the shortfall during the Third Quarter Budget Report. Based on preliminary calculations from the Public Defender and DA, it appears that an adjustment will be needed, but the exact amount will be determined during the year.

(b) FY 14-15 Growth Money was conservatively projected at \$4M. Initial RAC allocations indicated \$50.8M Statewide for growth, later revised to \$73.2M. It is assumed that the Sheriff will again have an AB109-related operational shortfall in and consequently would be the recipient of any growth money allocated, assuming HCA In-Custody's shortfall is covered by the risk pool funding. Revised FY 14-15 Allocations will be discussed and agreed to by Financial managers, then presented to CCP approval.

(c) LLE is allocated 1% of the Original Allocation for FY 14-15, as approved by the CCP. In addition, individual cities were permitted to carry over any unspent money from the FY 13-14 allocation.

**FY 15-16 Notes**

(a) Base allocation was determined by the base percentage formula as developed by the State/RAC. The revised base methodology is calculated by the following percentage factors: 45% Caseload (22.5% Jail/1170(h); 22.5% Probation PRCS & Felony), 45% Crime and Population (22.5% Part 1 crimes; 22.5% County Population), and 10% Special Factors (Poverty, small county minimums, impacts of State prisons), which lowered Orange County's % of the Statewide allocation from 6.75% to 6.39% (for details on Orange County's base calculation, see table 1).

(b) Performance Growth allocations are based 80% on Probation Performance and 20% on Incarceration factors. To mitigate reductions in the first year (drop year) the State allocated one-time growth funding for transition (\$5,983,336) and stabilization (\$2,102,945). The transition funding is allocated to Counties who received less than 100% of the blended rate to allow them to level out funding and smooth the reduction over the years. The stabilization is also to assist counties to level off funding from the drop year (FY 15-16). This funding has been set aside for future allocation determination based on programmatic needs.

(c) Financial Managers agreed to split the performance growth funding is using the FY 13-14 percent of Sheriff and HCA In-Custody's actual year-end shortfalls, to be revisited each year for future growth splits.

**FY 16-17 Notes**

(a) The statewide base allocation is projected to increase however our % of the split is level to the FY 14-15 percent. The base allocation is split between the departments based on FY 14-15 percentage allocations.

(b) Performance Growth is a conservative estimate based on past history. The State will determine the split of growth based solely on performance.

**FY 17-18**

Estimates for base and growth percentages and allocations are held level to the FY 16-17 estimates as final percentages and allocations will not be available until future State Budgets are released and probation and incarceration performance results are available.

Table 1

	Caseload		Crime and Population		Special factors			Total
	45%		45%		10%			
	(22.5%)	(22.5%)	(22.5%)	(22.5%)	10%			
	% from Jail	% from Probation	% from Crime	% from Population	% from Poverty	% from Small County	% From Prisons	
<b>Orange County</b>	19%	26%	20%	27%	9%	0%	0%	100%

### 2014 SFP Proposed AB 109 Allocation

	Base	Growth		Base	Growth
OC %:	6.39%	n/a		6.39%	n/a
Statewide Allocation:	1,085,900,000			1,085,900,000	
Orange County Share:	69,431,066	5,000,000		69,431,066	5,000,000

Department	FY 18-19			FY 19-20		
	FY 18-19 Estimated Base Allocation	FY 17-18 Estimated Growth Allocation	FY 18-19 Total Allocation	FY 19-20 Estimated Base Allocation	FY 18-19 Estimated Growth Allocation	FY 19-20 Total Allocation
Sheriff	35,409,844	4,400,000	39,809,844	35,409,844	4,400,000	39,809,844
Probation	18,052,077		18,052,077	18,052,077		18,052,077
HCA (In-Custody)	8,331,728	600,000	8,931,728	8,331,728	600,000	8,931,728
HCA (Post-Custody)	6,248,796		6,248,796	6,248,796		6,248,796
Local Law Enforcement	694,311		694,311	694,311		694,311
<b>Total</b>	68,736,755	5,000,000	73,736,755	68,736,755	5,000,000	73,736,755
	694,311		694,311	694,311		694,311
	69,431,066	5,000,000	74,431,066	69,431,066	5,000,000	74,431,066
<b>Balance Allocation</b> (Allocation of the balance is considered one-time)						
Undistributed Allocation			-			-
HCA (Risk Pool/Stop Gap Insurance)						
Probation						
Sheriff (Deputy Recruitment/Academies)						
District Attorney (Realignment Services)	347,155		347,155	347,155		347,155
Public Defender (Realignment Services)	347,155		347,155	347,155		347,155
Local Law Enforcement						
Community Corrections Partnership			-			-
	694,311		2,680,184	694,311		3,374,494
	69,431,066	5,000,000	74,431,066	69,431,066	5,000,000	74,431,066
<b>District Attorney/Public Defender PCS Representation</b>						
District Attorney	516,036	188,902	704,938	516,036	188,902	704,938
Public Defender	516,036	188,902	704,938	516,036	188,902	704,938
	1,032,072		4,506,092	1,032,072		5,538,164
	70,463,138	5,000,000	78,937,158	70,463,138	5,000,000	79,969,230

\*Final Funding includes any additional revenue

FY 18-19

Estimates for base and growth percentages and allocations are held level to the FY 17-18 estimates as final percentages and allocations will not be available until future State Budgets are released and probation and incarceration performance results are available.

FY 19-20

Estimates for base and growth percentages and allocations are held level to the FY 18-19 estimates as final percentages and allocations will not be available until future State Budgets are released and probation and incarceration performance results are available.