

# SUMMARY ACTION MINUTES

## REGULAR MEETING ORANGE COUNTY COMMUNITY CORRECTIONS PARTNERSHIP



*Thursday, February 24, 2022, 2:00 P.M.*

PROBATION DEPARTMENT  
Training Room 5  
1001 S. Grand Ave.  
Santa Ana, California

**STEVE SENTMAN, Chair**  
Chief Probation Officer

**TOM DARÉ**  
Chief of Police, Garden Grove

**MARTIN SCHWARZ**  
Public Defender

**DON BARNES**  
Sheriff-Coroner

**VERONICA KELLEY**  
Health Care Agency

**TODD SPITZER**  
District Attorney

ATTENDANCE: Members DaRé, Kelley, Schwarz, Sentman, Spitzer and Puckett (Alternate for Barnes)

EXCUSED: Member Barnes

COUNTY COUNSEL: Wendy Phillips, Deputy

CLERK OF THE PARTNERSHIP: Jamie Ross & Sonia Acuña, Deputy Clerks

### ADMINISTRATIVE MATTERS: (Items 1 - 8)

1. Welcome and Introductions

**MEETING DEDICATED TO FORMER ORANGE COUNTY PUBLIC DEFENDER AND  
SUPERIOR COURT JUDGE FRANK OSPINO**

**CHAIR SENTMAN ANNOUNCED HIS RETIREMENT**

2. Discussion of Local Law Enforcement guidelines regarding spending of AB 109 funds  
**DISCUSSED**

3. Discussion and approval of FY 2022-23 proposed AB 109 funding allocation  
6512347 **APPROVED AS PRESENTED**

x

## SUMMARY ACTION MINUTES

4. Discussion and approval of projects to be funded with FY 2022-23 Public Safety Realignment:
  - a. Young Adult Court: A New Approach to Reducing Recidivism (Public Defender - \$398,098)  
5412367 **APPROVED AS PRESENTED**  
x
  - b. Study and/or Research Engagement (CEO - \$50,000)  
5213467 **APPROVED AS PRESENTED**  
x
5. Receive and file Final of Orange County's tracking of recidivism – 2021 Convictions Rates Tables  
**RECEIVED**
6. Receive and file Quarterly Report for October – December 2021  
**RECEIVED**
7. Receive and file Final 2021 Board of State and Community Corrections (BSCC) Community Corrections Partnership (CCP) Survey and updated 2021 CCP Plan  
**RECEIVED**
8. Realignment Updates:
  - CCP Coordinator
  - Probation
  - Sheriff
  - District Attorney
  - Public Defender
  - Courts
  - Health Care/Mental Health
  - Local Law Enforcement
  - Board of Supervisors
  - Social Services
  - OC Community Resources
  - OC Department of Education
  - Community-Based Organization (Representative)
  - Waymakers (Victims Representative)**PRESENTED**

### **PUBLIC & PARTNERSHIP COMMENTS:**

#### **PUBLIC COMMENTS:**

Carole Urie – Oral Re.: Thanked Chief Sentman for his service. Expressed appreciation for his respect and graciousness.

#### **PARTNERSHIP COMMENTS:**

Chair Sentman – Oral Re.: Next meeting 4/28/22.

**ADJOURNED:** 3:00 P.M.

# SUMMARY ACTION MINUTES

\*\*\* KEY \*\*\*

## *Left Margin Notes*

1 Don Barnes  
2 Tom DaRé  
3 Veronica Kelley  
4 Martin Schwarz  
5 Steve Sentman  
6 Todd Spitzer  
7 Jeff Puckett (Alternate)

A = Abstained  
X = Excused  
N = No  
P.O. = Partnership Order

*(1st number = Moved by; 2nd number = Seconded by)*

/s/

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STEVE SENTMAN  
*Chair*

/s/

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Jamie Ross, Deputy  
*Clerk of the Partnership*

**2011 Public Safety Realignment (AB 109)**  
**FY 2022-23 Proposed Budget Allocation**

	FY 2020-21	FY 2021-22	FY 2022-23	FY 2022-23
Community Corrections (AB109)	Actuals NOTE 3	Estimate	Dept Requested	Proposed Budget
Sheriff-Coroner	51,115,267	55,957,223	61,846,248	61,865,843
Probation	17,506,095	19,164,381	23,176,659	23,185,074
Health Care Agency	19,617,135	21,475,392	19,839,775	19,846,758
District Attorney	1,323,005	1,448,329	3,278,208	3,279,845
Public Defender	1,616,076	1,769,161	2,142,555	2,143,538
Local Law Enforcement NOTE 2	1,860,767	2,037,030	2,251,450	2,251,450
<b>Total Community Corrections (AB 109)</b>	<b>93,038,345</b>	<b>101,851,516</b>	<b>112,534,895</b>	<b>112,572,508</b>
Estimated Base Budget			112,572,508	112,572,508
Variance Base Budget			37,613	0
Estimated Growth NOTE 1			8,473,859	8,473,859

**NOTE 1:** The entire growth amount is to be allocated for Reentry Services.

**NOTE 2:** Allocation to Local Law Enforcement is set at 2% of the estimated AB 109 funding.

**NOTE 3:** FY 2020-21 Actuals includes the FY 20-21 base restoration received on 12/9/2021.

**2011 Public Safety Realignment (AB 109)  
FY 2022-23 Proposed Budget Allocation**

ITEM #3

		FY 2022-23	FY 2022-23
Department	Description of Services - Direct	Dept Requested	Proposed Budget
Sheriff	Housing AB 109 inmates: FY 20-21 Avg AB 109 Pop (270) x Daily Bed Rate (\$171.37) @ the following County facilities: Men's Central, Theo Lacy, Intake Release Center	61,846,248	61,865,843
Probation	AB 109 Field Supervision and Adult Court Services	23,008,487	23,016,841
HCA (In/Post Custody)	Correctional Health Services and Mental Health and Recovery Services	19,839,775	19,846,758
District Attorney	Delivery of services provided for AB 109, including AB 109 Task Force (CCP approved 7/25/19) of 2 Investigators and 1 Research Analyst II	1,941,894	1,942,864
Public Defender	Courts: Mandatory Supervision, Adult Drug, Assisted Intervention, DUI, Homeless Outreach, Mental Health Diversion, Military Division, Young Adult, Opportunity/Recovery, Veterans, Whatever It Takes (WIT); Social Worker Program	2,142,555	2,143,538
Local Law Enforcement	Allocation of 2%	2,251,450	2,251,450
<b>Total Direct AB 109 Requests</b>		<b>111,030,409</b>	<b>111,067,294</b>
Department	Description of Services - Expansion Projects	Dept Requested	Proposed Budget
Probation	AB 109 Task Force: 1 Deputy Probation Officer	168,172	168,233
District Attorney	AB 109 Task Force expansion of services: 1 Deputy DA IV, 1 Supervising Investigator, 2 Investigators, 1 Investigative Assistant	1,336,314	1,336,981
<b>Total Expansion Projects Requests</b>		<b>1,504,486</b>	<b>1,505,214</b>

Department	FY 2020-21 (Actuals) <b>NOTE 3</b>	FY 2021-22 (Estimate)	FY 2021-22 %	Dept Requested	Proposed Budget
Sheriff	51,115,267	55,957,223	54.940%	61,846,248	61,865,843
Probation	17,506,095	19,164,381	18.816%	23,176,659	23,185,074
HCA (In/Post Custody)	19,617,135	21,475,392	21.085%	19,839,775	19,846,758
District Attorney	1,323,005	1,448,329	1.422%	3,278,208	3,279,845
Public Defender	1,616,076	1,769,161	1.737%	2,142,555	2,143,538
Local Law Enforcement <b>NOTE 2</b>	1,860,767	2,037,030	2.000%	2,251,450	2,251,450
<b>TOTAL AB 109 FUNDING REQUESTS</b>	<b>93,038,345</b>	<b>101,851,516</b>	<b>100.000%</b>	<b>112,534,895</b>	<b>112,572,508</b>

<b>Estimated AB 109 Available Funding</b>	<b>112,572,508</b>	<b>112,572,508</b>
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<b>Variance Between Options &amp; Available Funding (Over)/Under</b>	<b>37,613</b>	<b>-</b>
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Department	FY 2020-21 (Actuals) <b>NOTE 3</b>	FY 2021-22 (Actuals)		FY 2022-23 Projection	Proposed Budget
Reentry Services/CCP Approved Projects <b>NOTE 1</b>	-	11,471,505		8,473,859	8,473,859

**NOTE 1:** The entire growth amount is to be allocated for Reentry Services.

**NOTE 2:** Allocation to Local Law Enforcement is set at 2% of the estimated AB 109 funding.

**NOTE 3:** FY 2020-21 Actuals includes the FY 20-21 base restoration received on 12/9/2021.

**2011 Public Safety Realignment  
FY 2022-23 LLE Allocation based on AB 109 Population**

<b>City/Agency</b>	<b>2021 PCS Average</b>	<b>Allocation %</b>	<b>Estimated Allocation</b>
OCSD Contract Cities	93	9.96%	224,244
Anaheim	190	20.34%	457,945
Brea	2	0.21%	4,728
Buena Park	20	2.14%	48,181
Costa Mesa	34	3.64%	81,953
Cypress	6	0.64%	14,409
Fountain Valley	10	1.07%	24,091
Fullerton	48	5.14%	115,725
Garden Grove	79	8.46%	190,473
Huntington Beach	43	4.60%	103,567
Irvine	19	2.03%	45,704
La Habra	14	1.50%	33,772
La Palma	1	0.11%	2,477
Laguna Beach	3	0.32%	7,205
Los Alamitos	1	0.11%	2,477
Newport Beach	5	0.54%	12,158
Orange	45	4.82%	108,520
Placentia	18	1.93%	43,453
Santa Ana	253	27.09%	609,916
Seal Beach	0	0.00%	0
Tustin	19	2.03%	45,704
Westminster	31	3.32%	74,748
<b>Total</b>	<b>934</b>	<b>100.00%</b>	<b>2,251,450</b>

**FY 22-23 Allocation:     \$     2,251,450**

**2011 Public Safety Realignment  
FY 2022-23 AB 109 Funding for Approved Projects**

**TABLE 1**

	Project Description FY 22-23	AB 109 Funding for Approved Projects	
		COMMITTED	
<b>NOTE 1</b>	Estimated Carryover from FY 21-22	15,938,010	
	FY 21-22 Growth estimate	8,473,859	
	<b>Anticipated Funds Available</b>	<b>24,411,869</b>	
	Reentry Services	(3,959,183)	
	<b>Total Committed Projects</b>	<b>(3,959,183)</b>	
	<b>Anticipated Available Balance</b>	<b>20,452,686</b>	

**TABLE 2**

	Project Description FY 22-23	CCP Planning / BSCC Survey	
		COMMITTED	PROPOSED
	Estimated Carryover from FY 21-22	1,890,076	
	BSCC Funds Expected in FY 22-23	200,000	
	<b>Anticipated Funds Available</b>	<b>2,090,076</b>	
	CCP Coordinator	(75,000)	
	<b>Total Committed Projects</b>	<b>(75,000)</b>	
	<b>Anticipated Available Balance</b>	<b>2,015,076</b>	

**NOTE 1:** Estimated carryover amount of \$15,938,010 included the \$500,000 unused balance from the HCA project "Dedicated Bridge Housing for Reentry Population" that expired at the end of FY 21-22 and the FY 20-21 growth of \$11,471,504 (net 10% to Local Innovation Subaccount) received in 12/2021.

**Projects Submitted for CCP Consideration  
February 24, 2022**

**Number of Projects Submitted: 2**

**Total Funding Requested: \$448,098**

**Summary of Funding Available**

The following table summarizes the anticipated FY 2022-23 funding set aside in the CCP Planning allocation for CCP approved projects and recommended proposed projects. The following 2 proposed projects were recommended for AB 109 funding by the AB 109 Subgroup on 1/13/22.

	Project Description	CCP Planning / BSCC Survey	
		COMMITTED	PROPOSED
	FY 22-23		
	Estimated Carryover from FY 21-22	1,890,076	
	BSCC Funds Expected in FY 22-23	200,000	
	<b>Anticipated Funds Available</b>	<b>2,090,076</b>	
	CCP Coordinator	(75,000)	
	<b>Total Committed Projects</b>	<b>(75,000)</b>	
	<b>Anticipated Available Balance</b>	<b>2,015,076</b>	
<b>Recommended Proposed Projects</b>			
	Young Adult Court: A New Approach to Reducing Recidivism (Public Defender)		(398,098)
	Study and/or Research Engagement (CEO)		(50,000)
	<b>Recommended Proposed Projects Total</b>		<b>(448,098)</b>
	<b>Anticipated Available Balance</b>		<b>1,566,978</b>

- Young Adult Court: A New Approach to Reducing Recidivism (Public Defender) - \$398,098**

The Public Defender's Office is requesting funding for a one-year period (July 1, 2022, to June 30, 2023) to maintain the Young Adult Court (YAC) program and the accompanying research study. The goal is to reduce recidivism, promote self-sufficiency, and promote public safety.

The YAC is a collaborative and specialized court that provides specialized services and individualized treatment plans for the transitional-aged young adult instead of traditional court processing and sanctions. The accompanying research study is performed by UC Irvine (UCI) to interview and follow the young adults and use empirical data to determine effectiveness of the YAC program.

Funding is requested for: 2 clinical case workers (\$204,800), 1 probation officer (\$98,560), UCI graduate student (\$45,395), living and essentials needs (\$15,000), and fringe rates (\$34,343); totaling \$398,098.



Should the findings from the evaluation of the court indicate the effectiveness of the YAC program, the Public Defender's Office will continue to pursue funding for these supervision and research needs.

- **Study and/or Research Engagement (CEO) - \$50,000**

On 10/24/19, the CCP approved a \$100,000 one-time funding to provide start-up funds to engage a local university or research group to coordinate a study/studies or data analytic project(s). As such, the data consultant agreement was established with LeCroy, Milligan, and Associates (LeCroy), who have been working closely with the CEO to develop a Performance Measurement Plan.

The CEO is requesting funding to continue one additional year (July 1, 2022, to June 30, 2023) of the agreement with LeCroy for \$50,000. Continued funding will facilitate the compilation of an operational measurement plan, which will further identify the specific data sources, data elements, and methods for measuring the outcomes pertaining to the OC CARES 2025 Vision; provide assistance on reporting of agreed upon performance indicators and nationwide or industry comparatives; and consultation services, as required.



*2011 Realignment – Community Corrections (AB109)  
Reentry Services/Other Projects for CCP Approval*

## FUNDING REQUEST FORM

Please complete and submit your completed requests to Dat Thomas of the County Executive Office at [dat.thomas@ocgov.com](mailto:dat.thomas@ocgov.com).

<b>Project Title:</b>	<b>Young Adult Court: A New Approach to Reducing Recidivism</b>		
<b>Total Funding Requested:</b>	<b>\$398,098</b>	<b>Fiscal Year(s) Covered:</b>	<b>7/1/2022 – 6/30/2023</b>

**Requesting Agency:** **Public Defender**

**Contact Name:** **Martin Schwarz**

**Phone:** **657-251-8879**

**Contact Email:** **[martin.schwarz@pubdef.ocgov.com](mailto:martin.schwarz@pubdef.ocgov.com)**

**Provide a detailed description of the project requested to be funded.**

The Young Adult Court (YAC) in Orange County was created as a pilot program in July 2018. It is a collaborative and specialized court that was designed to hold transitional-aged (18-25 years old) young adults accountable in a developmentally appropriate way. The goal of the YAC is to reduce recidivism and thereby promote public safety. The court is a collaboration with UC Irvine, the district attorney's office, the public defender's office, the probation department, community service providers, and community stakeholders. The YAC team works together to provide an individualized treatment plan for each young man accepted into the YAC. The goals of the YAC are achieved by providing specialized services and treatment instead of traditional court processing and sanctions.

The YAC accepts 18-25-year-old young men who have recently been charged with an eligible felony offense. Given the negative and far-reaching collateral consequences of having a felony record (nearly 700 in California according to the National Inventory of Collateral Consequences of Conviction), the court dismisses or reduces all prior felony charges if the requirements of the YAC are met. The transitional-aged group was chosen for the YAC because research shows that this age group is developmentally immature in ways that make them less culpable for their actions and more amenable to treatment than adults—similar to adolescents. In addition, this age group is over-represented in Orange County courts and jails. The YAC was designed to specifically address the

Please direct all questions to Dat Thomas, CEO Budget Office.  
714.834.2320 or via email at [dat.thomas@ocgov.com](mailto:dat.thomas@ocgov.com)

developmental needs of transitional-aged youth and reduce the disproportionate representation of this age group.

There are two primary components of the YAC. First, randomly selected, eligible justice-system-involved transitional-aged young men are processed through a specialized court (the YAC), which is then used to connect young men with community-based services and treatment. Participants of the YAC are provided a range of individualized services, including clinical case management, drug monitoring, referrals for substance abuse and mental health treatment, life skills assistance, housing and transportation support, academic support, and court supervision. The YAC team works together to give the young men the best possible chance for success and having their felony removed. The effectiveness of the court hinges on its ability to provide the necessary supervision, services, and treatment to the young men in the YAC.

Second, a local research institution, UC Irvine, is interviewing and following the young men in the YAC, as well as similar young men who were not randomly selected into the court. The interviews are designed to understand whether and how the YAC (compared to traditional court processing) impacts short and long-term behavioral, psychological, attitudinal, health-related, and socio-economic outcomes. Ultimately, the research team will use empirical data to understand whether, how, and for whom the court “works.” The YAC “works” if it cost-effectively reduces recidivism and promotes community safety. The research study component of the YAC will be able to produce guidelines for evidence-based best practices.

Currently, the YAC and the accompanying research study were originally funded through grants from the National Institute of Justice and the Community Action Partnership of Orange County. In order to maintain the program and existing staff, funding is needed for two clinical case workers (\$204,800) and one probation officer (\$98,560) to coordinate, manage, and deliver the treatment, services, and supervision for the young adults in the YAC. These YAC team members are critical for ensuring that the young men in the YAC receive the necessary individualized care. The budget also includes one UCI graduate student (\$45,395) to coordinate the research component of the YAC. This graduate student is needed to ensure that the UC Irvine research team has the resources to comprehensively evaluate the effectiveness of the YAC. The budget also includes costs to assist the young men with their needs (\$15,000). For example, many of the young men in YAC need housing, transportation, clothing, or other essentials to get them stabilized. These funds will ensure they get the resources they need to be successful in the program. In addition, the budget includes all fringe rates (\$34,343)

The proposed project is designed to occur over a one-year period (July 1, 2022 to June 31, 2023). Should the findings from the evaluation of the court indicate the effectiveness of the YAC program, we will continue to pursue funding for these supervision and research needs.

**Explain how the project supports the OCCCP’s Vision and Mission.**

The proposed YAC project is directly in line with the CCP’s Vision and Mission. In particular, the YAC has partnered with a local research institution (UC Irvine) to hold justice-system-involved young men accountable in an evidenced-based, tailored, and developmentally-appropriate way. Additional funding for this project will be used to connect transitional-aged young men with individualized treatment and services that address the young men’s underlying criminogenic risk factors and needs in all areas of their life, including mental and physical health, substance use and abuse,

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714.834.2320 or via email at [dat.thomas@ocgov.com](mailto:dat.thomas@ocgov.com)

transportation, housing, education, and employment. In so doing, the YAC strives to reduce recidivism and promote self-sufficiency and other positive life outcomes for eligible transitional-aged young men, which ultimately frees up CCP's resources and leads to public safety and community restoration.

**Explain how the project supports the Community Corrections System of Care.**

The YAC provides individualized whole person care, treatment, and services for eligible young men. The court was designed to serve 18-25-year-old young men because this age group is disproportionately represented in the justice system and this age group has unique developmental vulnerabilities and opportunities (consistent with Pillar 5). Given that transitional-aged young men arrive at the justice system's door with a variety of risk factors, the services provided through the YAC are targeted to meet the young men's specific criminogenic risk factors and needs. The treatment plan is also designed to meet individual vocational, occupational, and educational goals. It is hoped that by addressing each young man specific needs and goals, participants of the YAC will not become "high utilizers" of multiple County Systems of Care.

The corresponding research study will produce empirical data that can be used to continually improve the effectiveness and reach of the YAC (consistent with Pillar 2). Preliminary data on the effectiveness of the court is available and can be presented to the committee upon request.



*2011 Realignment – Community Corrections (AB109)  
Reentry Services/Other Projects for CCP Approval*

## FUNDING REQUEST FORM

Please complete and submit your completed requests to Kim Engelby of the County Executive Office at kimberly.engelby@ocgov.com.

<b>Project Title:</b>	<b>Study and/or Research Engagement</b>		
<b>Total Funding Requested:</b>	<b>\$50,000</b>	<b>Fiscal Year(s) Covered:</b>	<b>FY 2022-23</b>

**Requesting Agency:** **CEO**

**Contact Name:** **Kim Engelby**

**Phone:** **714-834-3530**

**Contact Email:** **kimberly.engelby@ocgov.com**

**Provide a detailed description of the project requested to be funded.**

Funding is requested to continue to engage contracted data consultants to facilitate the compilation of an operational measurement plan which will further identify the specific data sources, data elements, and methods for measuring the outcomes pertaining to the OC CARES 2025 Vision; provide assistance on reporting of agreed upon performance indicators and nationwide or industry comparatives; and consultation services, as required.

Funding previously provided in FY 2019-20 was utilized to engage a data consultant firm, Lecroy, Milligan and Associates, who have working closely with the County Executive Office to develop a Performance Measurement Plan. Additional funding requested would fund one additional year of the agreement.

**Explain how the project supports the OCCCP's Vision and Mission.**

This request aligns directly with the vision and mission of the CCP. The funding will be used to continue to work with stakeholder departments to identify and gather data to report on agreed upon performance indicators supporting the 2025 Vision for Justice through Prevention and Intervention. The performance indicators will be reported, tracked and compared to nationwide or industry standards to begin to measure the effectiveness of the programs and services implemented.

Please direct all questions to Dat Thomas, CEO Budget Office.  
714.834.2320 or via email at dat.thomas@ocgov.com

The goal is to make management decisions based on information that is fiscally responsible and evidence-based. The data will also be critical in determining future programs or investments needed to support the Community Correction System of Care.

**Explain how the project supports the Community Corrections System of Care.**

The Community Correction System of Care has identified gaps in services or programs. There are best practices in place across the state and country that are proven to be successful. However, it is the data that needs to drive the decisions on how to move forward and how to invest County resources to best meet the needs of Orange County residents.

The use of the data consultants have provided the guidance needed to begin to build the data plan and identify reportable outcomes and performance indicators. They work with each stakeholder department to ensure the processes are understood and all input and comments are taken into consideration. A partnership has been established between the data consultants and the County to continue the flow of data going forward.

### Follow-Up Periods

Postrelease Community Supervision (PCS)			One-year follow-up		Two-year follow-up		Three-year follow-up	
Year Released	Year	Number Released	from	to	from	to	from	to
10/1/2011 - 9/30/2012	1	2,249	10/1/2012	9/30/2013	10/1/2012	9/30/2014	10/1/2012	9/30/2015
10/1/2012 - 9/30/2013	2	965	10/1/2013	9/30/2014	10/1/2013	9/30/2015	10/1/2013	9/30/2016
10/1/2013 - 9/30/2014	3	955	10/1/2014	9/30/2015	10/1/2014	9/30/2016	10/1/2014	9/30/2017
10/1/2014 - 9/30/2015	4	796	10/1/2015	9/30/2016	10/1/2015	9/30/2017	10/1/2015	9/30/2018
10/1/2015 - 9/30/2016	5	695	10/1/2016	9/30/2017	10/1/2016	9/30/2018		
10/1/2016 - 9/30/2017	6	597	10/1/2017	9/30/2018				

#### Reporting cycle changed to Fiscal Year (7/1 - 6/30) by CCP

7/1/2016 - 6/30/2017	6	612	7/1/2017	6/30/2018	7/1/2017	6/30/2019	7/1/2017	6/30/2020
7/1/2017 - 6/30/2018	7	686	7/1/2018	6/30/2019	7/1/2018	6/30/2020	7/1/2018	6/30/2021
7/1/2018 - 6/30/2019	8	624	7/1/2019	6/30/2020	7/1/2019	6/30/2021		
7/1/2019 - 6/30/2020	9	555	7/1/2020	6/30/2021				

### Conviction Rates

			One-Year		Two-Year		Three-Year	
Year Released	Year	Number Released	Number Convicted	Conviction Rate	Number Convicted	Conviction Rate	Number Convicted	Conviction Rate
10/1/2011 - 9/30/2012	1	2,249	596	26.5%	870	38.7%	1,005	44.7%
10/1/2012 - 9/30/2013	2	965	153	15.9%	275	28.5%	324	33.6%
10/1/2013 - 9/30/2014	3	955	178	18.6%	291	30.5%	346	36.2%
10/1/2014 - 9/30/2015	4	796	151	19.0%	232	29.1%	274	34.4%
10/1/2015 - 9/30/2016	5	695	144	20.7%	231	33.2%		
10/1/2016 - 9/30/2017	6	597	158	26.5%				

#### Reporting cycle changed to Fiscal Year (7/1 - 6/30) by CCP

7/1/2016 - 6/30/2017	6	612	163	26.6%	233	38.1%	277	45.3%
7/1/2017 - 6/30/2018	7	686	180	26.2%	268	39.1%	293	42.7%
7/1/2018 - 6/30/2019	8	624	185	29.6%	237	38.0%		
7/1/2019 - 6/30/2020	9	555	116	20.9%				

### Follow-Up Periods

Mandatory Supervision (MS)			One-year follow-up		Two-year follow-up		Three-year follow-up	
Year Released	Year	Number Released	from	to	from	to	from	to
10/1/2011 - 9/30/2012	1	424	10/1/2012	9/30/2013	10/1/2012	9/30/2014	10/1/2012	9/30/2015
10/1/2012 - 9/30/2013	2	813	10/1/2013	9/30/2014	10/1/2013	9/30/2015	10/1/2013	9/30/2016
10/1/2013 - 9/30/2014	3	824	10/1/2014	9/30/2015	10/1/2014	9/30/2016	10/1/2014	9/30/2017
10/1/2014 - 9/30/2015	4	446	10/1/2015	9/30/2016	10/1/2015	9/30/2017	10/1/2015	9/30/2018
10/1/2015 - 9/30/2016	5	398	10/1/2016	9/30/2017	10/1/2016	9/30/2018		
10/1/2016 - 9/30/2017	6	329	10/1/2017	9/30/2018				

*Reporting cycle changed to Fiscal Year (7/1 - 6/30) by CCP*

7/1/2016 - 6/30/2017	6	344	7/1/2017	6/30/2018	7/1/2017	6/30/2019	7/1/2017	6/30/2020
7/1/2017 - 6/30/2018	7	297	7/1/2018	6/30/2019	7/1/2018	6/30/2020	7/1/2018	6/30/2021
7/1/2018 - 6/30/2019	8	305	7/1/2019	6/30/2020	7/1/2019	6/30/2021		
7/1/2019 - 6/30/2020	9	300	7/1/2020	6/30/2021				

### Conviction Rates

			One-Year		Two-Year		Three-Year	
Year Released	Year	Number	Number Convicted	Conviction Rate	Number Convicted	Conviction Rate	Number Convicted	Conviction Rate
10/1/2011 - 9/30/2012	1	424	131	30.9%	179	42.2%	202	47.6%
10/1/2012 - 9/30/2013	2	813	252	31.0%	371	45.6%	412	50.7%
10/1/2013 - 9/30/2014	3	824	230	27.9%	343	41.6%	407	49.4%
10/1/2014 - 9/30/2015	4	446	109	24.4%	171	38.3%	191	42.8%
10/1/2015 - 9/30/2016	5	398	109	27.4%	164	41.2%		
10/1/2016 - 9/30/2017	6	329	82	24.9%				

*Reporting cycle changed to Fiscal Year (7/1 - 6/30) by CCP*

7/1/2016 - 6/30/2017	6	344	88	25.6%	143	41.6%	152	44.2%
7/1/2017 - 6/30/2018	7	297	89	30.0%	125	42.1%	130	43.8%
7/1/2018 - 6/30/2019	8	305	86	28.2%	114	37.4%		
7/1/2019 - 6/30/2020	9	300	58	19.3%				



### Follow-Up Periods

Formal Probation (PROB)			One-year follow-up		Two-year follow-up		Three-year follow-up	
Year Released	Year	Number Released	from	to	from	to	from	to
10/1/2011 - 9/30/2012	1	4,217	10/1/2012	9/30/2013	10/1/2012	9/30/2014	10/1/2012	9/30/2015
10/1/2012 - 9/30/2013	2	4,834	10/1/2013	9/30/2014	10/1/2013	9/30/2015	10/1/2013	9/30/2016
10/1/2013 - 9/30/2014	3	4,344	10/1/2014	9/30/2015	10/1/2014	9/30/2016	10/1/2014	9/30/2017
10/1/2014 - 9/30/2015	4	2,319	10/1/2015	9/30/2016	10/1/2015	9/30/2017	10/1/2015	9/30/2018
10/1/2015 - 9/30/2016	5	2,466	10/1/2016	9/30/2017	10/1/2016	9/30/2018		
10/1/2016 - 9/30/2017	6	2,729	10/1/2017	9/30/2018				

*Reporting cycle changed to Fiscal Year (7/1 - 6/30) by CCP*

7/1/2016 - 6/30/2017	6	2,580	7/1/2017	6/30/2018	7/1/2017	6/30/2019	7/1/2017	6/30/2020
7/1/2017 - 6/30/2018	7	2,714	7/1/2018	6/30/2019	7/1/2018	6/30/2020	7/1/2018	6/30/2021
7/1/2018 - 6/30/2019	8	2,194	7/1/2019	6/30/2020	7/1/2019	6/30/2021		
7/1/2019 - 6/30/2020	9	1,900	7/1/2020	6/30/2021				

### Conviction Rates

			One-Year		Two-Year		Three-Year	
Year Released	Year	Number	Number Convicted	Conviction Rate	Number Convicted	Conviction Rate	Number Convicted	Conviction Rate
10/1/2011 - 9/30/2012	1	4,217	1,006	23.9%	1,422	33.7%	1,666	39.5%
10/1/2012 - 9/30/2013	2	4,834	1,292	26.7%	1,829	37.8%	2,079	43.0%
10/1/2013 - 9/30/2014	3	4,344	1,189	27.4%	1,647	37.9%	1,884	43.4%
10/1/2014 - 9/30/2015	4	2,319	452	19.5%	680	29.3%	809	34.9%
10/1/2015 - 9/30/2016	5	2,466	473	19.2%	749	30.4%		
10/1/2016 - 9/30/2017	6	2,729	557	20.4%				

*Reporting cycle changed to Fiscal Year (7/1 - 6/30) by CCP*

7/1/2016 - 6/30/2017	6	2,580	532	20.6%	795	30.8%	937	36.3%
7/1/2017 - 6/30/2018	7	2,714	638	23.5%	939	34.6%	1,044	38.5%
7/1/2018 - 6/30/2019	8	2,194	518	23.6%	693	31.6%		
7/1/2019 - 6/30/2020	9	1,900	403	21.2%				



# Public Safety Realignment in Orange County

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## *AB 109 Quarterly Report October to December 2021*

Prepared by:

### Orange County Community Corrections Partnership



#### VISION STATEMENT

"Enhancing the quality of life of Orange County residents by promoting public safety, reducing recidivism and creating safer communities."

#### MISSION STATEMENT

The **Mission** of the Orange County Community Corrections Partnership is to enhance public safety by holding offenders accountable and reducing recidivism by utilizing fiscally responsible, quantifiable, evidenced based and promising practices that support victims and community restoration.



Superior Court of California  
Erick L. Larsh, Presiding Judge



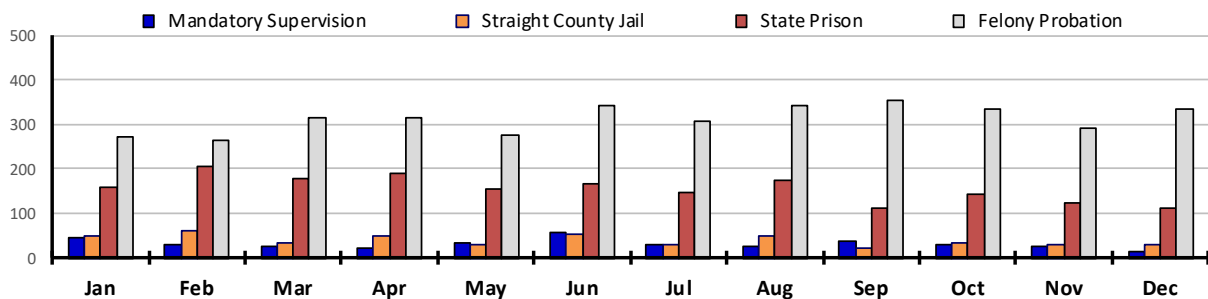
**Superior Court of California**  
COUNTY OF ORANGE

**CRIMINAL JUSTICE REALIGNMENT**  
Felony Only  
Calendar Year 2021

## I. FILINGS

Measure	Monthly Average	CY 2021	Q1			Q2			Q3			Q4		
			Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
Felony Filings	1,011	12,128	933	1,094	1,237	1,205	1,009	1,103	998	1,015	1,067	825	796	846

## II. INITIAL SENTENCING



Sentencing Type		Month Avg	CY 2021	Q1			Q2			Q3			Q4		
				Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
A. Mandatory Supervision ("split")	6%	31	372	45	31	24	21	34	55	31	27	37	28	25	14
B. Straight County Jail	7%	39	469	47	60	35	50	29	51	31	50	23	33	30	30
C. State Prison	29%	155	1,858	160	204	176	190	153	167	148	172	112	143	122	111
D. Felony Probation	58%	312	3,741	272	262	315	316	274	340	307	340	355	336	291	333
E. TOTAL	100%	537	6,440	524	557	550	577	490	613	517	589	527	540	468	488

## III. PETITIONS /COURT'S MOTIONS TO REVOKE/MODIFY

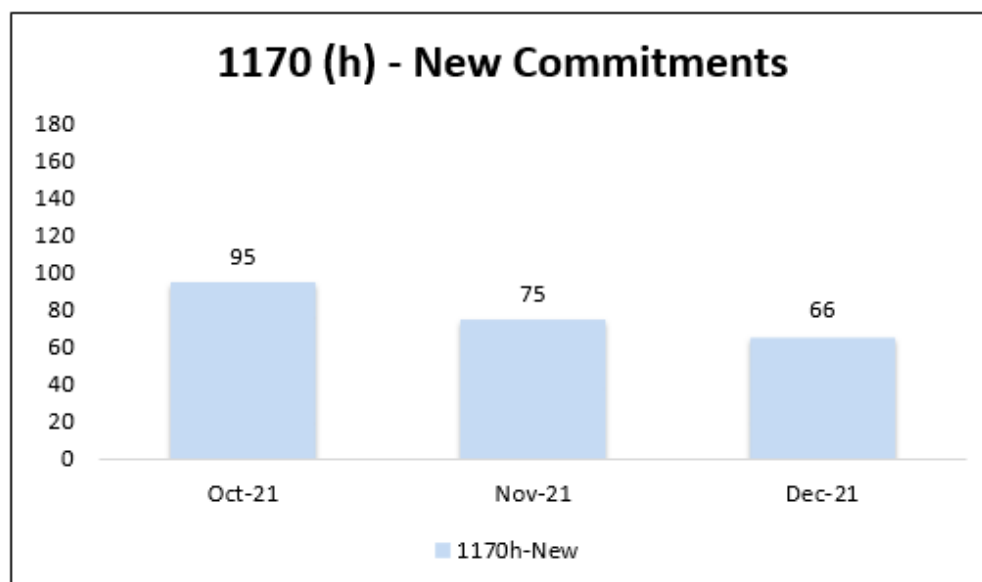
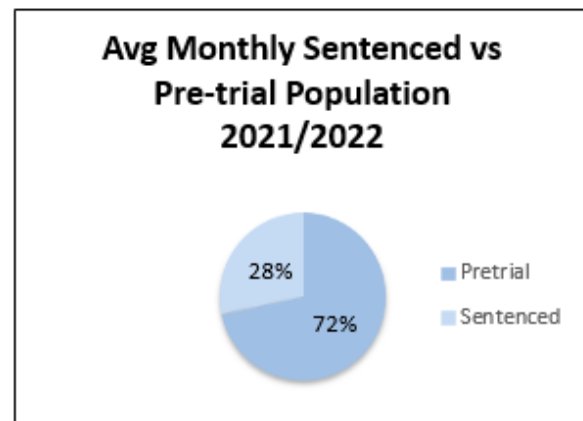
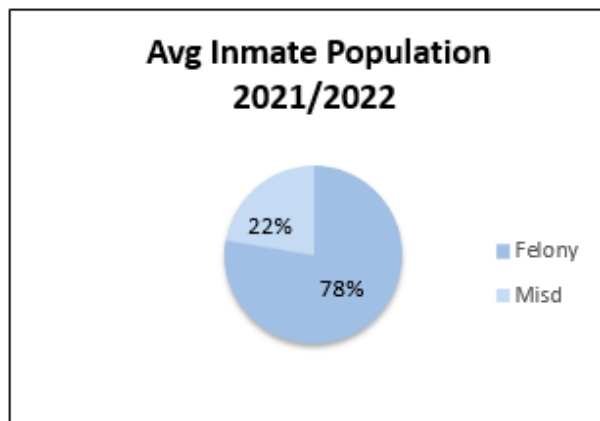
Petitions / Court's Motions		Month Avg	CY 2021	Q1			Q2			Q3			Q4		
				Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
A. Mandatory Supervision ("split")	4%	27	322	12	34	35	27	36	44	34	19	18	16	17	30
B. Postrelease Community Supv	24%	174	2,088	131	177	220	215	198	215	179	183	157	130	142	141
C. Parole	4%	26	310	18	27	38	29	27	24	28	23	27	16	26	27
D. Felony Probation	69%	498	5,980	394	463	536	486	513	601	466	518	521	507	494	481
o Petitions	27%	193	2,321	156	190	212	217	205	220	182	200	188	196	183	172
o Court's Motion	42%	305	3,659	238	273	324	269	308	381	284	318	333	311	311	309
E. TOTAL	100%	725	8,700	555	701	829	757	774	884	707	743	723	669	679	679



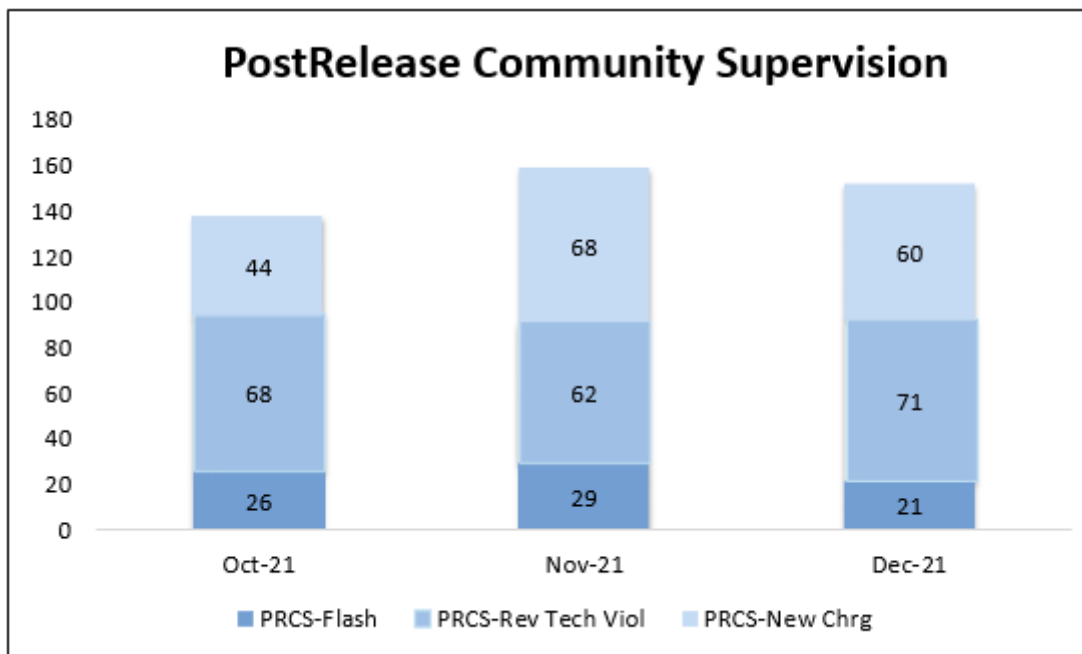
Orange County Sheriff's Department  
Don Barnes, Sheriff-Coroner



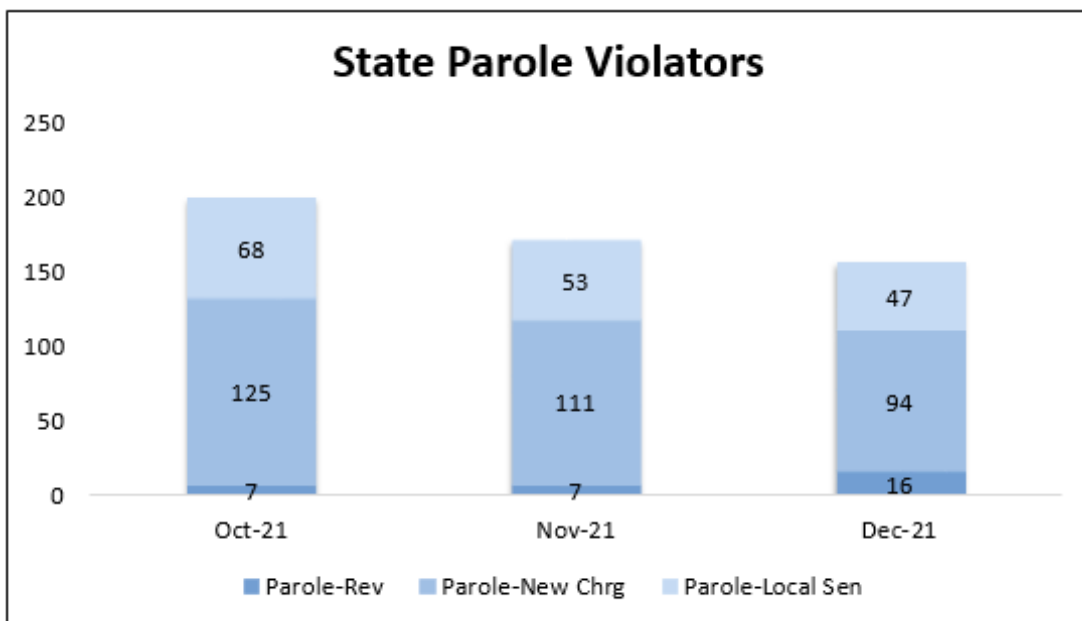
AVG Monthly PRCS Violators Booked	Mental Health Treatment			AVG Monthly Population of PC 1170(h)
91.00 per month  Average Length of Stay 84.02	Open Cases	New Cases	Rec. Psy. Drugs	166.00  Serving an average of 187.67 days
	1,534	206	1,036	
	Sick Calls	Dr. Visits	Off Site Dr. Visits	
	10,330	8,359	215	



- Total number of PC 1170(h) offenders (non-violent, non-serious, non-sex offenders) sentenced to the Orange County Jails as a new commitment. Includes both straight and split sentences.



- Total number of Post-Release Community Supervision offenders booked on a 1) PC 3454(c) flash incarceration; 2) PC 3455(a) – revoked for technical violation; and 3) for new charges.



- Total number of state parole violators booked on a 1) PC 3056(a) parole violation only; 2) received jail time as a result of a parole revocation hearing; and 3) any new offense(s) including PC 1170(h) charges.



**Orange County Public Defender's Office**  
Martin Schwarz, Public Defender



The Public Defender's Office continues to assist in the reduction of recidivism by identifying and removing re-entry barriers within our Realignment client population. The Public Defender's Office Recidivism Reduction Unit (RRU) consists of attorneys, resource paralegals, Recidivism Reduction Advisors (RRA), and support staff. The RRU team is dedicated to creating improved opportunities for housing, education, employment, and mental health and substance abuse treatment services in order to assist our realignment population in acclimating back into society upon their release from custody.

With respect to our AB 109 population specifically, the global pandemic continues to affect court operations. As a result, the overall number of Post-release Community Supervision (PCS), Mandatory Supervision (MS), parole cases, and contested hearings continues to fluctuate.

During the final quarter of 2021, the Public Defender's Office handled the following AB 109 matters:

PCS Cases Opened	MS Cases Opened	Parole Cases Opened	Total Court Appearances (includes PCS, MS and Parole)	Contested Hearings
402	110	65	1,120	3

#### **Recidivism Reduction Unit**

The Public Defender's Recidivism Reduction Unit works closely with our AB 109 clients in order to determine their individual needs and to identify individual barriers to re-entry. Throughout the pandemic, our RRAs have continued to meet with in-custody clients for the purpose of conducting comprehensive life interviews that help identify any obstacles in finding housing, employment, and mental health and substance use disorder (SUD) services. RRAs then collaborate with other County agencies, including the Probation Department, Health Care Agency, and the Orange County Sheriff's Department, to improve the delivery of necessary services.

For our in-custody AB 109 clients who suffer from SUDs, our RRAs continue to conduct assessments using the ASAM tool to determine the client's necessary level of treatment. RRAs then work with Health Care Agency to secure appropriate treatment options. Our RRAs also access the State Automated Welfare System and CalWIN to determine if clients receive any benefits, such as Medi-Cal, CalWORKs, CalFresh, or general relief. For our in-custody SUD clients who do not actively receive Medi-Cal, and for those who are in need of a CalOptima-funded-bed, our RRAs refer them to our community partner, Project Kinship. Project Kinship then meets with the client, while they are still in-custody, and assists the client in applying for Medi-Cal prior to their release.

For our in-custody AB 109 clients that suffer from mental illness, our RRAs link them directly with Correctional Health Service's (CHS) Jail to Community Re-entry Program (JCRP). Our clients are then assessed by correctional mental health staff and are provided access and linkage to behavioral health and supportive services. This is just another example of how the RRU works with community partners and other agencies to resolve re-entry obstacles, decrease recidivism, and increase community safety.

Our RRAs also assist our AB 109 clients with transportation, transitional housing, SSA benefits, Medication-Assisted Treatment (MAT), and locating inpatient and outpatient SUD programs. Our clients' needs are unique and varied. Because of this, our RRAs work alongside our resource paralegals and support staff to provide a variety of resources. At times, our RRU team assists our clients at a very basic level, providing



**Orange County Public Defender's Office**  
Martin Schwarz, Public Defender



food and clothing. Often our clients have more complicated needs, which require additional assistance. This assistance can include:

- Obtaining vital records such as identification, driver's licenses, and birth certificates.
- Helping clients enroll in programs for family reunification services.
- Acquiring immigration documents to help clients obtain citizenship.
- Helping clients obtain government assistance, including General Relief benefits, food stamps, and Medi-Cal.
- Assisting clients with receiving mental health services.
- Helping clients receive veteran benefits and assistance.
- Helping client locate educational opportunities, professional licensing or vocational schools.

In addition, the RRU's New Leaf program assists clients with legal barriers, in order to increase opportunities in employment, professional licensing, and consumer credit. In the fourth quarter, our RRU team has actively filed the following motions and petitions:

- Proposition 47 petitions, which allow certain felony convictions to be recalled and designated as misdemeanors.
- Penal Code 1203.4 motions requesting felony and misdemeanor convictions be set aside and dismissed from the client's record.
- Prop 64 petitions requesting dismissals and reductions of certain marijuana charges.
- Petitions for Certification of Rehabilitation requesting a full pardon from the Governor.

These motions and petitions are of significant benefit to our clients as they eliminate or reduce obstructions to employment opportunities.

In the fourth quarter, our RRU team has handled the following contacts:

Client Contacts	Program and Service Referrals	Obtaining Vital Records	Motions/Petitions Filed
3,311	34	355	178

With the assistance of the Public Defender's RRU team, Realignment clients have made significant progress towards creating stability by obtaining employment, locating housing, and receiving treatment for substance use disorders and mental health diagnosis, thereby reducing recidivism and increasing public safety.



### Total Population Analysis

In the 4<sup>th</sup> quarter of 2021, the Orange County District Attorney's Office (OCDA) handled a total of 735 petitions for a violation of AB 109 supervision. The 735 petitions were for a total of 417 different defendants. Of the 417 defendants, 336 (81%) were repeat offenders, having received at least 1 prior petition. 189 (45%) had at least 5 prior petitions, and 75 (18%) had more than 10 prior petitions.

Additionally, in the 4<sup>th</sup> quarter of 2021, the OCDA filed 825 new criminal cases—326 felony (40%), 499 misdemeanor (60%)—against a total of 726 defendants who are currently or previously on AB 109 supervision. Narcotic sales charges were the most common new felony filed against an AB 109 (47 cases). Auto theft, weapons, burglary and sexual assault charges remained common as well.

Overall, Post-release Community Supervision (PCS) defendants remained the largest population of violators, and they were most likely to commit new offenses.

The following pages of this report break down the above statistics by form of supervision—Mandatory Supervision (MS), PCS, and parole.

	4th Quarter	YTD 2021	Projected 2021	Projected Change 2020 to 2021	2020	2019	2018
# Defendants	417	1504	1504	-14%	1740	2164	2106
# Grants/Cases	454	1616	1616	-12%	1840	2290	2217
# Petitions	735	3764	3764	16%	3249	4351	4426

# of Prior Petitions	No Priors	1	2	3	4	5	6-10	11-15	More than 15
# Defendants this Quarter	81	43	46	37	21	22	92	43	32
# Defendants this Year	299	199	162	131	90	100	287	148	88

	4th Quarter	YTD 2021	Projected 2021	Projected Change 2020 to 2021	2020	2019	2018
New Crime							
# of Defendants	726	3090	3090	4%	2971	3391	3652
Filed Cases	825	6307	6307	5%	6029	7248	7882

\*This data is live and is constantly being added to and corrected. Past reported numbers change because cases are constantly being edited.





Office of the District Attorney  
Todd Spitzer, District Attorney



### Mandatory Supervision Violation Analysis

In the 4th quarter of 2021, the OCDA received 164 petitions for a violation of Mandatory Supervision by 115 defendants. Of these defendants, 79 were repeat offenders, having received at least 1 prior petition: 10 defendants had more than 5 petitions and 1 had over 10 prior petitions.

	4th Quarter	YTD 2021	Projected 2021	Projected Change 2020 to 2021	2020	2019	2018
# Defendants	115	363	363	2%	356	472	475
# Grants/Cases	152	475	475	5%	454	599	577
# Petitions	164	741	741	26%	588	855	876

# of Prior Petitions	No Priors	1	2	3	4	5	6-10	11-15	More than 15
# Defendants this Quarter	36	21	19	12	9	8	9	1	0
# Defendants this Year	115	80	51	36	27	24	26	2	2

Average Sentence for Sustained Violation: 6 months LOCAL

### New Crime Analysis

In the 4th quarter of 2021, the OCDA filed 253 new criminal cases—94 felonies and 159 misdemeanors—against 214 defendants currently or previously on Mandatory Supervision. These new cases include felony charges of Narcotics Sales, Auto Theft, and Burglary.

	4th Quarter	YTD 2021	Projected 2021	Projected Change 2020 to 2021	2020	2019	2018
New Crime							
# of Defendants	214	919	919	8%	853	1069	1184
Filed Cases	253	1958	1958	8%	1818	2381	2714

Felony Case Breakdown (Count 1)	
NAR SALES	21
AUTO THEFT	20
BURGLARY	12
FRAUD	10
WEAPONS	7
TRAFFIC	6
OTHER	18

#### New Offense Rates for 4th Quarter:

2020 -	New offense w/in 1 yr of supervision	85%
2018 -	New offense w/in 3 yrs of supervision	82%
2016 -	New offense w/in 5 yrs of supervision	80%

\* Rates are for defendants placed on supervision during the 4th Q 2020 with new offenses between 10/1/2020 and 12/31/21, 4th Q 2018 with new offense between 10/1/2018 and 12/31/21, and 4th Q 2016 with new offenses between 10/1/2016 and 12/31/2021.

Average time between being placed on Supervision and  
first date of violation for a new crime 247



Office of the District Attorney  
Todd Spitzer, District Attorney



### Post Release Community Supervision Violation Analysis

In the 4th quarter of 2021, the OCDA received 341 petitions for a violation of PCS by 245 defendants. Of these defendants, 220 were repeat offenders, having received at least 1 prior petition: 138 defendants had over 5 petitions and 67 had over 10 prior petitions.

	4th Quarter	YTD 2021	Projected 2021	Projected Change 2020 to 2021	2020	2019	2018
# Defendants	245	943	943	-12%	1068	1233	1219
# Grants/Cases	245	943	943	-12%	1071	1236	1229
# Petitions	341	2716	2716	22%	2226	2810	2939

# of Prior Petitions	No Priors	1	2	3	4	5	6-10	11-15	More than 15
# Defendants this Quarter	25	17	25	21	7	12	71	35	32
# Defendants this Year	96	92	101	84	55	70	233	131	81

Average Sentence for Sustained Violation: 119 Days Jail

### New Crime Analysis

In the 4th quarter of 2021, the OCDA filed 387 new criminal cases—157 felonies and 230 misdemeanors—against 352 defendants currently or previously on PCS. These new cases include felony charges of Narcotic Sales, Weapons, and Auto theft.

	4th Quarter	YTD 2021	Projected 2021	Projected Change 2020 to 2021	2020	2019	2018
New Crime							
# of Defendants	352	1558	1558	3%	1506	1637	1778
Filed Cases	387	3022	3022	4%	2897	3275	3620

Felony Case Breakdown (Count 1)	
NAR SALES	26
WEAPONS	23
AUTO THEFT	21
ASSAULT	11
BURGLARY	10
TRAFFIC	8
OTHER	51

#### New Offense Rates for 4th Quarter:

2020 -	New offense w/in 1 yr of supervision	61%
2018 -	New offense w/in 3 yrs of supervision	72%
2016 -	New offense w/in 5 yrs of supervision	71%

\* Rates are for defendants placed on supervision during the 4th Q 2020 with new offenses between 10/1/2020 and 12/31/21, 4th Q 2018 with new offense between 10/1/2018 and 12/31/21, and 4th Q 2016 with new offenses between 10/1/2016 and 12/31/2021.

Average time between being placed on Supervision and  
first date of violation for a new crime 389 days



**Office of the District Attorney**  
Todd Spitzer, District Attorney



### Parole Violation Analysis

In the 4th quarter of 2021, the OCDA received 72 petitions for a violation of Parole by 70 defendants. Of these defendants, 37 were repeat offenders, having received at least 1 prior petition: 19 defendants had more than 5 petitions and 7 had over 10 prior petitions.

	4th Quarter	YTD 2021	Projected 2021	Projected Change 2019 to 2020	2020	2019	2018
# Defendants	57	198	198	-37%	316	459	412
# Grants/Cases	57	198	198	-37%	315	455	411
# Petitions	60	307	307	-29%	435	686	611

# of Prior Petitions	No Priors	1	2	3	4	5	6-10	11-15	More than 15
# Defendants this Quarter	20	5	2	4	5	2	12	7	0
# Defendants this Year	88	27	10	11	8	6	28	15	5

*Average Sentence for Sustained Violation: 131 days JAIL*

### New Crime Analysis

In the 4th quarter of 2021, the OCDA filed 185 new criminal cases—75 felonies and 110 misdemeanors—against 160 defendants currently or previously on Parole. These new cases include felony charges of Sexual Assault and Weapons and Auto Theft.

New Crime	4th Quarter	YTD 2021	Projected 2021	Projected Change 2020 to 2021	2020	2019	2018
# of Defendants	160	613	613	0%	612	685	690
Filed Cases	185	1327	1327	1%	1314	1592	1548

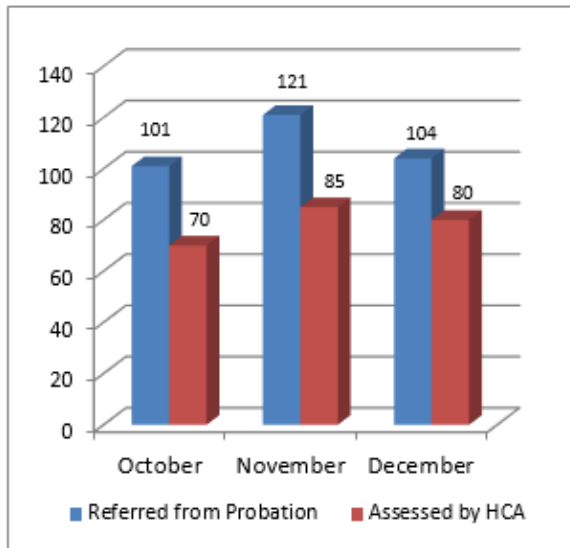
Felony Case Breakdown (Count 1)	
SEX ASSAULT	14
WEAPONS	9
AUTO THEFT	8
ASSAULT	7
BURGLARY	6



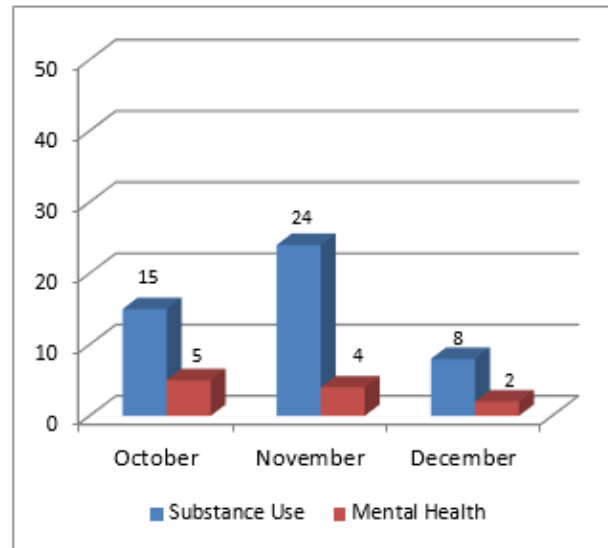
## Mental Health and Recovery Services

### Referrals and Admissions

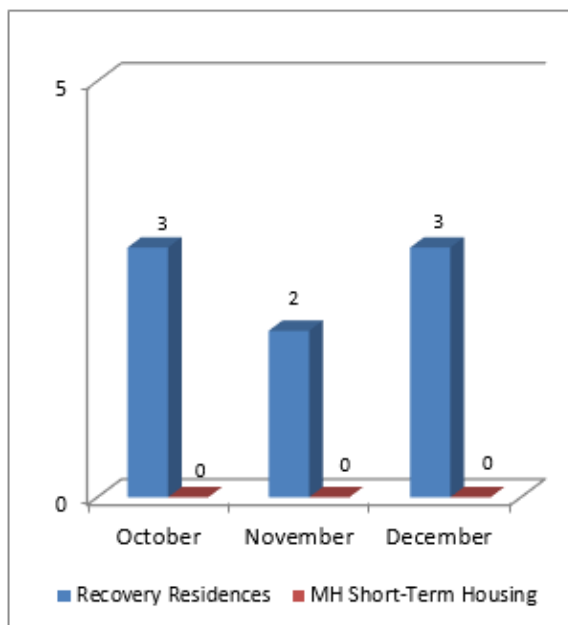
**Probation Referrals & HCA Assessments**



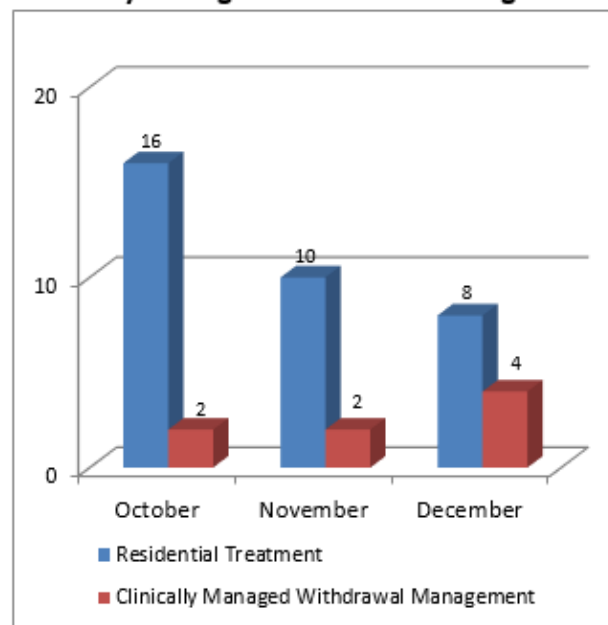
**Admitted to Outpatient Treatment**



**Admitted to Housing**



**Admitted to Residential Treatment & Clinically Managed Withdrawal Management**



**HCA AB 109 Referrals and Admissions from October through December 2021:**

Services	Referrals	Admissions
Outpatient Substance Use Disorder	103	47
Residential Substance Use Disorder	38	34
Clinically Managed Withdrawal Management	18	8
Narcotic Treatment Program/Medication-Assisted Treatment	24	12
Outpatient Mental Health Treatment	15	11
Bridge Psychiatric Services	4	2
Full Service Partnership	9	3
Mental Health Short-Term Housing	1	0
Recovery Residences	11	8

**Mental Health and Recovery Services**

HCA AB 109 screeners continued to be available on site at Probation offices to screen, assess, facilitate linkage, and coordinate services with probation officers and service providers. Screenings and assessments are available in-person and telephonically and the vacant screener position was filled at the end of December.

During this quarter, outpatient programs continued to remain open to provide services. For recovery residences, the contract with Colette's ended this quarter. Currently there are five providers providing recovery residence services at multiple locations. The Covid-19 pandemic continued to present challenges for residential providers as some had to hold off on accepting new referrals temporarily due to the surge in positive Covid-19 cases.

During this quarter, our team collaborated with Correctional Health Services to develop processes to support linkage for clients with serious mental illness who are referred to Open Access North. As part of the new process, which started on December 13, 2021, our HCA system navigator provides in reach, engages clients in services and upon release assists with transportation for enrollment. Additionally, our HCA behavioral health clinicians continued to be available on site at Project Kinship (PK) and worked closely with PK staff to connect clients who need County clinic services.

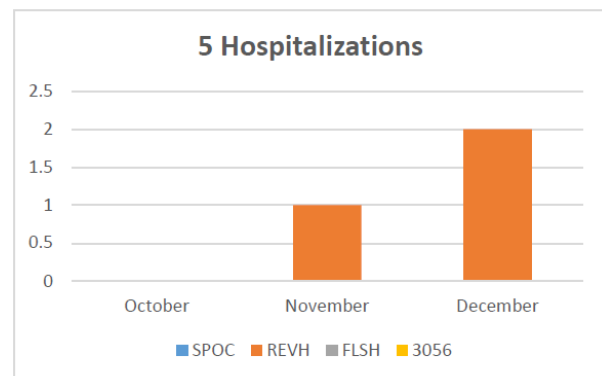
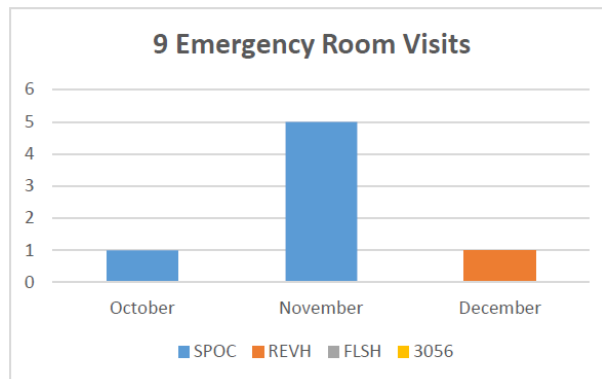
During this quarter, our team received 326 AB 109 referrals from Probation Department. Our AB 109 screeners completed 235 assessments and made 223 service referrals. Of the service referrals made, 125 (56%) resulted in admission. Of the service referrals made, 17% were for residential substance use disorder (SUD) treatment, 46% for outpatient SUD treatment, 8% for clinically managed withdrawal management, 5% for recovery residences and mental health short-term housing, 9% for outpatient mental health and bridge psychiatric services, 11% for narcotic treatment program and medication-assisted treatment, and 4% for full service partnership services. For service referrals that did not result in admission, one common reason is clients not following through with scheduled intake appointment. For those cases, HCA AB 109 team works closely with probation officers to engage clients in services and re-refer as needed.



## Correctional Health Services

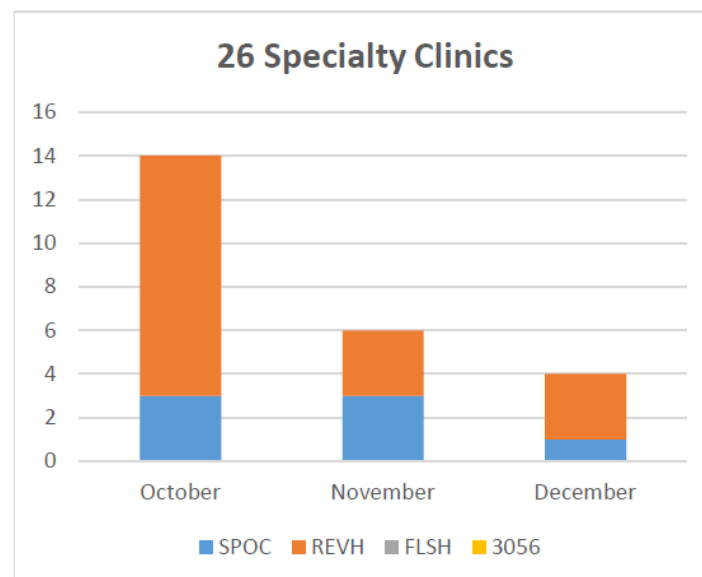
### Vivitrol Administration, Emergency Room, and Hospitalizations

Correctional Health Services' staff administered zero (0) Vivitrol in the 4<sup>th</sup> quarter of 2021. A total of fourteen (14) AB 109 inmates were either hospitalized or treated in the Emergency Department. There was a decrease from the previous quarter.



### Specialty Clinics

All primary care physician services are provided within the jail; however, when an AB 109 inmate needs specialty services, they are transported to specialty medical clinics off-site (such as, Cardiology, Nephrology, Oncology, OB, Surgery, etc.). There are currently 26 specialty clinic services available with 24 clinic visits completed during the 4<sup>th</sup> quarter of 2021 for AB 109 inmates specifically. This equates to approximately 4% of the total specialty clinic services for this quarter.





AB109 Type:	SPOC	REVH	FLSH	TOTAL PER CLINIC
<b>TOTAL NUMBER OF APPTS</b>	7	17	0	1
CARDIOLOGY	1	0	0	1
DERMATOLOGY	0	2	0	2
ECHOCARDIOGRAM	0	0	0	0
EEG	0	0	0	0
ENT	0	0	0	0
ENDOCRINOLOGY	0	0	0	0
GASTROENTEROLOGY	1	0	0	1
GENERAL SURGERY	0	0	0	0
NEPHROLOGY	0	1	0	1
NEUROLOGY	0	1	0	1
NUCLEAR MEDICINE	0	0	0	0
HEMATOLOGY/ONCOLOGY	0	0	0	0
INTERVENTIONAL RADIOLOGY	0	0	0	0
OPHTHALMOLOGY	0	2	0	2
ORAL SURGERY	0	0	0	0
ORTHOPEDICS	2	8	0	10
OT	2	0	0	2
OTHER	1	0	0	1
PET SCAN	0	0	0	0
PHYSICAL THERAPY	0	1	0	1
RADIOLOGY	0	2	0	2
ULTRASOUND	0	0	0	0
UROLOGY	0	0	0	0
				24

In-custody Correctional Health Services triages and screens every AB 109 inmate in the jail to determine their medical and mental health needs and subsequent treatment and medication plan. The volume of patients is reflected in the Sheriff's section of this report, as all in-custody inmates on the Sheriff's census are also managed by in-custody healthcare staff.



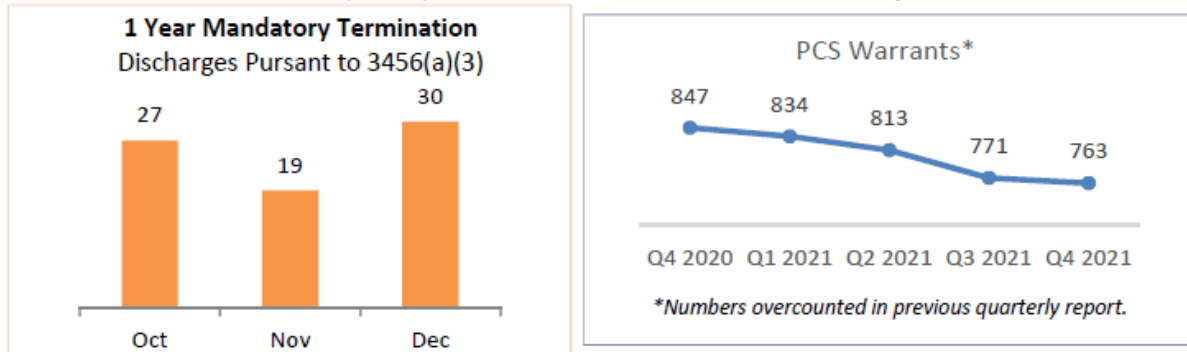


**Orange County Probation Department**  
Steven J. Sentman, Chief Probation Officer



Since the inception of AB 109 through December 31, 2021, OC Probation has supervised 10,527 former state prisoners.

As of December 31, 2021, 951 individuals were on PCS active supervision.



PCS individuals without custodial sanctions are mandatorily discharged after one year. During the fourth quarter of 2021, 76 individuals were released on one-year mandatory terminations.

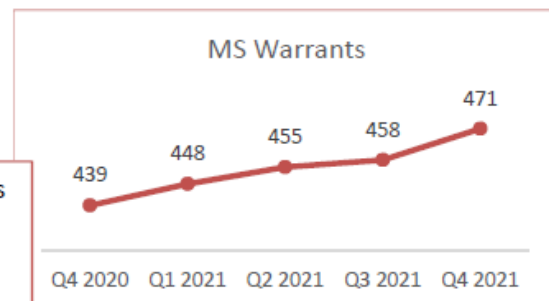
PCS Controlling Offense (All Felonies)	Person	Property	Drug	Weapons	Other
	13%	32%	30%	9%	15%

### Mandatory Supervision

Individuals with MS Convictions from October 1, 2011 through December 31, 2021 = 4,523

Mandatory Supervision (MS) individuals are offenders sentenced under PC § 1170(h) who receive jail time followed by supervision. During the fourth quarter of 2021, 47 individuals were sentenced to MS. In addition, 43 individuals were sentenced but are still in Orange County Jails – once released, OC Probation will supervise them.

As of December 2021, 338 individuals are actively supervised while 471 individuals are on active warrant.



### Day Reporting Centers (DRC)

October 1, 2021 – December 31, 2021

96 Program Referrals*		61 Program Entries*		71 Program Discharges*	
Referral Reason (%)		Risk Level at Entry (%)		Phase at Exit 1-3 (%)	
Benefit to Participant	30%	High	67%	1	24%
Sanction	13%	Medium	25%	2	59%
Both	6%	Low	5%	3	17%
Unknown	51%	Not Assessed	3%		

\* Referrals, entries, and discharges were affected by the COVID-19 pandemic.





# **PUBLIC SAFETY REALIGNMENT IN ORANGE COUNTY**

## **FY 2020-21 ANNUAL REPORT AND FY 2021-22 ANNUAL PLAN**

*Prepared by:*

**Orange County Community Corrections Partnership**



**OChealth**  
CARE AGENCY

**OCCommunity Resources**

**OCSSA**

**Orange County  
Community Corrections Partnership  
Executive Committee**

**Steven J. Sentman**, Chief Probation Officer (Chair)

**Don Barnes**, Sheriff-Coroner

**Todd Spitzer**, District Attorney

**Martin Schwarz**, Public Defender

**Jeffrey Nagel**, Health Care Agency

**Tom DaRe**, Chief, Garden Grove Police Department

The background of the page features a large, faint, circular seal of Orange County, California. The seal contains the text "COUNTY OF ORANGE" around the top and "CALIFORNIA" around the bottom. In the center of the seal is a landscape illustration showing a mountain range, a river, and a field with a sun or moon in the sky.

## **VISION STATEMENT**

**“Enhancing the quality of life of Orange County residents by promoting public safety, reducing recidivism and creating safer communities.”**

## **MISSION STATEMENT**

**The Mission of the Orange County Community Corrections Partnership is to enhance public safety by holding offenders accountable and reducing recidivism by utilizing fiscally responsible, quantifiable, evidence-based and promising practices that support victims and community restoration.**

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## EXECUTIVE SUMMARY

The County of Orange (County) presents the Fiscal Year (FY) 2020-21 Public Safety Realignment Report, which serves as an update to the Community Corrections Partnership (CCP) Plan. The purpose of this report is to highlight the programs and collaborative investments made across County departments, courts, and local law enforcement entities implemented to address the additional responsibilities under Realignment and review the statistical data and trends further impacting public safety.

The statistical information included in this report was obtained from the County's Sheriff-Coroner's Department, District Attorney's Office, Public Defender's Office, Probation Department, Health Care Agency, as well as the Courts and local law enforcement entities.

A major component of the data collection and analysis centers on recidivism. Recidivism data is a central metric to measuring the impacts of Realignment, effectiveness in programming, and efficiency in funding utilization. The County has collected recidivism data per the Board of State and Community Corrections (BSCC) definition as follows:

*Recidivism is defined as a conviction of a new crime committed within three years of release from custody or committed within three years of placement on supervision for a previous criminal conviction.*

In February 2019, the Orange County Board of Supervisors (Board) revised their adopted definition for recidivism to include sustained parole or probation violations or a lawful arrest based on a new criminal offense and expanded the time frame to include three years after the end of the individual's supervision.

Currently, the County has the infrastructure to report recidivism data based on the BSCC definition of recidivism. The County stakeholders continue to refine the Board-adopted definition and work to put systems and processes in place that will allow for the collection of data based on the Board-adopted definition and facilitate metrics to meet both definitions of recidivism.

The information and data in this report covers the fiscal year period of July 1, 2020, to June 30, 2021. During this fiscal period, the County received a Base allocation of \$91.7M in 2011 Public Safety Realignment funds from the State of California. Funds were distributed to the Sheriff-Coroner's Department, Probation Department, Health Care Agency, District Attorney's Office, Public Defender's Office, and Local Law Enforcement entities based on the amount available and the impact to operational costs from the increased responsibilities resulting from the Public Safety Realignment. Collectively, the County continues to work collaboratively across departments and partner agencies to address public safety concerns to create or expand programming to meet the needs of the supervised and incarcerated populations.

## **2011 Public Safety Realignment Summary**

In 2011, Assembly Bill (AB) 109 was enacted to address the overcrowding in California's 33 prisons and alleviate the State's financial crisis. The law, effective October 1, 2011, also known as the 2011 Public Safety Realignment (Realignment), mandates that individuals sentenced to non-serious, non-violent or non-sex offenses serve their sentences in county jails instead of state prison. Realignment made some of the largest and most pivotal changes to the criminal justice system in California. In short, Realignment transferred the responsibility for supervision of felons (excluding high-risk sex offenders) released from prison whose commitment offenses are statutorily defined as non-serious and non-violent to the 58 counties. Offenders convicted after October 1, 2011, who have no current or prior statutorily defined serious, violent or sex-offense convictions serve time locally (regardless of length of sentence) with the possibility of community supervision in place of time spent in custody.

Realignment established the Postrelease Community Supervision (PCS) classification of supervision; altered the parole revocation process placing more responsibility in local jurisdictions; gave local law enforcement the freedom to manage offenders in a more cost-effective manner; and, as of July 1, 2013, parole violations are housed, prosecuted, and tried locally. Realignment created an unprecedented opportunity for all 58 California counties to determine an appropriate level of supervision and services to address both the needs and risks of individuals released from prison and local jails into the community.

The following summarizes the key components of the 2011 Public Safety Realignment Legislation:

- **Redefined Felony Sentencing**

Individuals convicted of certain felonies on or after October 1, 2011, may be sentenced to the county jail for more than 12 months. Individuals sentenced under Penal Code (PC) 1170(h) can receive a sentence that falls within a low, middle or upper term of incarceration based on their specific offense. Some felony offenses (i.e., serious, violent and sex offenses) are excluded from sentencing under PC 1170(h) and will be sentenced to state prison time. Pursuant to PC 1170(h), an individual convicted of a non-serious, non-violent or non-sex offense may be sentenced to serve that entire time in county jail or may be sentenced to serve that time split between county jail and Mandatory Supervision (MS). Offenders sentenced to MS are supervised by Probation.

- **Postrelease Community Supervision**

Those released from state prison on or after October 1, 2011, who had been incarcerated for a non-serious offense (pursuant to PC 1192.7(c)), a non-violent offense (pursuant to PC 667.5(c)) or a sex offense deemed not high-risk (as defined by California Department of Corrections and Rehabilitation) are released to a local jurisdiction based on their county of residence at time of conviction for supervision

under PCS. These individuals may have prior violent or serious offenses or be registered sex offenders. PCS supervision cannot exceed three years.

- **Custody Credits**

PC 4019 was amended to allow for those sentenced to county jail to receive pre- and post-sentence conduct credit of two days for every four days actually spent in custody, resulting in sentences being served more quickly. This is the same conduct credit offenders receive when serving time in state prison.

- **Alternative Custody Program**

Senate Bill (SB) 1266 allows for non-serious, non-violent and non-sex offenders to serve part of their sentence in a non-custodial facility, such as a residential home, non-profit drug-treatment program or transitional-care facility recognizing that alternative custody is an integral part in reintegrating these individuals back into their community.

- **2016 Legislation**

SB 266 - Probation and Mandatory Supervision: Flash Incarceration. This Bill amended several Penal Codes, including Section 1203; amended and added to Section 4019; and added Section 1203.35. SB 266 allows a court to authorize the use of flash incarceration, as defined, to detain the offender in county jail for no more than 10 days for a violation of his or her conditions of probation or mandatory supervision, as specified. These provisions would not apply to persons convicted of certain drug possession offenses. Prior to January 1, 2021, the bill will allow a person to receive credits earned for a period of flash incarceration pursuant to these provisions if his or her probation or mandatory supervision is revoked.

- **Implementation Plans**

The 2011 Public Safety Realignment legislation required each county to submit a comprehensive implementation plan to the BSCC along with any revisions, thereafter. In addition, the responsibility for the development and implementation of such plan was charged to each county's established Community Corrections Partnership.

### **Community Corrections Partnership**

The Orange County Community Corrections Partnership (OCCCP) was established with the enactment of the California Community Corrections Performance Incentives Act of 2009 (SB 678) and serves as a collaborative group charged with advising on the implementation of SB 678 funded initiatives and Realignment programs.

Chaired by the Chief Probation Officer, the OCCCP oversees the 2011 Public Safety Realignment process and advises the Board in determining funding and programming for the various components of the plan. The OCCCP includes an Executive Committee which, pursuant to bylaws adopted by the OCCCP, consists of the following voting members: the

Chief Probation Officer, the County Sheriff, the District Attorney, a Chief of Police, the Public Defender, and the Director of County Social Services or Mental Health or Alcohol and Drug Services (as determined by the Board). The original 2011 Public Safety Realignment Plan and subsequent updates are developed by the OCCCP members, their designees, and other key partners.

For FY 2020-21, the OCCCP consisted of the following voting members:

**Steve Sentman**, Chief Probation Officer (Chair)

**Don Barnes**, Sheriff-Coroner

**Todd Spitzer**, District Attorney

**Martin Schwarz**, Public Defender

**Dr. Jeff Nagel**, Health Care Agency

**Tom DaRè**, Chief of Police, Garden Grove

The 2011 Public Safety Realignment legislation tasked the OCCCP to develop and recommend an implementation plan for consideration and adoption by the Board. The plan outlined multifaceted strategies to meet Realignment implementation and developed system goals to guide implementation and ongoing efforts in Orange County and was adopted by the Board on October 18, 2011. This report is intended to serve as an update to the implementation plan.

Implementation Plans of all 58 California counties are available through the BSCC at the following website:

[http://www.bscc.ca.gov/s\\_communitycorrectionspartnershipplans/](http://www.bscc.ca.gov/s_communitycorrectionspartnershipplans/)

Since implementation, the goals and objectives established by the OCCCP have remained consistent and aligned with the vision and mission of the OCCCP by maintaining a:

- Streamlined and efficient system to manage additional responsibilities under Realignment.
- System that protects public safety and utilizes best practices in recidivism reduction.
- System that effectively utilizes alternatives to pre-trial and post-conviction incarceration where appropriate.

### **2011 Public Safety Realignment Funding**

The 2011 Public Safety Realignment provides a dedicated and permanent revenue stream through a portion of Vehicle License Fees (\$12) and State sales tax (1.0625%). This is outlined in trailer bills AB 118 and SB 89. Funding became constitutionally guaranteed by California voters with the passage of Proposition 30 in 2012.

The funding formula adopted by the State has changed dramatically from the initial



implementation. The Realignment Allocation Committee formed by the California State Association of Counties, established the allocation methodology framework for Base Allocation and Growth Funding with both formulas containing factors weighted as follows:

#### Base Allocation

- Caseload (45%) recognizes the quantifiable impacts 2011 Realignment has had on public safety services. Factors consist of PC 1170(h) jail inmates, the MS and PCS population, and felony probation caseloads.
- Crime and Population (45%) recognizes the general county costs and the costs of diversion programs not otherwise captured in caseload data. Factors include the adult population and the number of serious crimes.
- Special Factors (10%) recognizes the socioeconomic and other unique factors that affect a county's ability to implement Realignment. Factors consist of poverty and the impact of state prisons on the counties.

#### Growth Funding

Distributed based on the following performance factors:

- SB 678 Success Rates (80%): Based on data indicating the success and improvement in probation outcomes. Factors include the number of non-failed probationers (60%) and year-over-year improvement in the success rate (20%).
- Incarceration rates (20%): Focus is on reducing prison incarcerations. Factors include the year-over-year reduction in the number of felons admitted to state prison (10%), success measured by the per capita rate of prison admissions (10%), and a year-over-year reduction in the number of felons admitted to prison as a 2<sup>nd</sup> strikers (fixed dollar amount).

In compliance with Government Code (GC) 30029.07 and beginning with the growth funding attributed to FY 2015-16, 10% of the Growth Funds received are used to fund a Local Innovation Account for the County. Additional funding of this account is received from similar growth funding from other realigned public safety programs with the primary funding being AB 109. Funds in this account must be used for activities otherwise allowable per the realigned public safety programs included in the funding with expenditures determined and approved by the Board.

For FY 2020-21, the County received a Base Allocation of \$91.7M. No Growth Funding was received for the fiscal year. Funds were allocated, as approved by the OCCCP and Board, to five County agencies (i.e., Sheriff-Coroner's Department, Probation Department, Health Care Agency, District Attorney's Office, and Public Defender's Office) and 21 Local Law Enforcement entities. Each of the agencies that received an allocation utilized Realignment monies for costs associated with local incarceration, PCS oversight, and other Realignment programmatic services, such as, but not limited to:

- Short-term housing/shelter beds
- Sober Living
- Day Reporting Center
- Restorative Justice Services
- Bus Passes
- GPS Electronic Monitoring
- Adult Non-medical Detoxification Services Outpatient and Residential Services.

Additionally, pursuant to GC 30027.8(e)(3) with respect to costs associated with revocation proceedings involving persons subject to state parole and the Postrelease Community Supervision Act of 2011, the District Attorney's and Public Defender's Offices received a total of \$2.9M. In addition, the OCCCP was eligible and received a one-time grant of \$200,000 through the Corrections and Planning Grant Program. The OCCCP and Board have authorized the use of this money to fund research and training related to Realignment.

### FY 2020-21 Allocations

Department	Prior Year's Unspent Allocation	FY 20-21 Base Allocation Received	FY 19-20 Growth Funds Received (2)	Total Allocated for FY 20-21
<b>Community Corrections (AB 109)</b>				
Sheriff-Coroner	-	50,398,917		50,398,917
Probation	-	17,260,758		17,260,758
Health Care Agency	-	19,342,213		19,342,213
District Attorney	-	1,304,464		1,304,464
Public Defender	-	1,593,428		1,593,428
Local Law Enforcement (LLE)	-	1,834,689		1,834,689
Undistributed Allocation	114,680	-		114,680
CCP Approved - Reentry Services	4,563,287	-	-	4,563,287
<b>Total Community Corrections (AB 109)</b>	<b>4,677,967</b>	<b>91,734,469</b>	<b>-</b>	<b>96,412,436</b>
CCP Approved Projects (1)	191,021	-	-	191,021
Community Corrections Incentive Funds	1,487,758	200,000	-	1,687,758
<b>Subtotal</b>	<b>6,356,746</b>	<b>91,934,469</b>	<b>-</b>	<b>98,291,215</b>
<b>District Attorney &amp; Public Defender Subaccount</b>				
District Attorney (3)	625,009	1,472,976	-	2,097,985
Public Defender (3)	2,348,746	1,472,976	-	3,821,722
<b>Total District Attorney &amp; Public Defender</b>	<b>2,973,755</b>	<b>2,945,952</b>	<b>-</b>	<b>5,919,707</b>
<b>Total Allocations/Expenditures</b>	<b>9,330,501</b>	<b>94,880,421</b>	<b>-</b>	<b>104,210,922</b>

## GOALS AND OBJECTIVES

### FY 2020-21 Realignment Accomplishments

The following goals were identified for the County in FY 2020-21 and are shown along

with notable achievements:

**Goal #1: Improve public safety outcomes and utilize best practices in reducing recidivism. (Probation and District Attorney)**

**Status: Fully Achieved**

Probation Department: In March 2020, the Day Reporting Centers (DRCs) closed for in-person services and transitioned to conducting all services (i.e., individual sessions, cognitive behavioral curriculum, substance abuse counseling, Moral Reconation Therapy groups, parenting classes, etc.) via telephone. From July 1, 2020, through May 9, 2021, there were 8,543 sessions conducted mostly by telephone. Additionally, there were 622 sessions conducted by telephone and in-person from May 9, 2021, through June 30, 2021.

District Attorney/AB 109 Task Force: During FY 2020-21, the Orange County AB 109 Task Force made 255 arrests. 74 of the arrests were subjects participating in the PCS program. Included in the arrests, the Orange County AB 109 Task Force either arrested or assisted in the arrest of 3 murder suspects, 2 attempted murder suspects, 7 robbery suspects, 1 rape suspect, 7 suspects in possession of stolen vehicles, 4 assault with a deadly weapon suspects, 2 burglary suspects, and 1 suspect in possession of child pornography. In addition, the AB 109 Task Force was responsible for seizing over 1,800 grams of narcotics (i.e., heroin, methamphetamine, and fentanyl). The AB 109 Task Force also seized 3,000 oxycodone, 1,000 fentanyl and 500 prescription pills, and assisted in the seizure of over 4,000 lbs of illegal fireworks. Even more impressive the reader should understand the above statistics were accomplished right in the middle of the worldwide COVID-19 pandemic.

**Goal #2: Work with the Probation Department and other law enforcement agencies to identify AB 109 participants responsible for impacting major crime patterns within Orange County, utilizing technology and intelligence-based investigative techniques. (District Attorney)**

**Status: Fully Achieved**

The AB 109 Task Force is now the central distribution point for all Countywide BOLO/wanted law enforcement flyers. AB 109 Task Force members regularly meet with various Orange County law enforcement officials where crime data and intelligence is shared. The increase in the exchange of information has resulted in the apprehension of suspects responsible for murder, attempted murder, armed robbery, assault with a deadly weapon, possession of stolen vehicles and various narcotics arrests.

**Goal #3: Increase linkage to appropriate reentry and behavioral health services upon release from incarceration. (Health Care Agency)**

**Status: Fully Achieved**

During FY 2020-21, services at the Community Support and Recovery Center were extended. Additionally, HCA was able to expand housing supports, peer navigation services and substance use services to better serve the reentry population with behavioral health issues. HCA also developed a training that focused on best practices for successful transition of reentry population with behavioral health disorders and trained 102 behavioral health and correctional health staff. Overall, there was an increase in linkage to behavioral health services upon release. Linkage to Open Access increased to 24%. Linkage to Opportunity Knocks increased to 41%. TAO Central, with its first full year of having staff to provide in reach and facilitate linkage, had a linkage rate of 56%.

**Goal #4: Increase access to housing opportunities and support for justice involved individuals who report a behavioral health issue. (Health Care Agency)**

**Status: Fully Achieved**

The Bridge Housing Program is a newer program that supports Proposition 47 individuals coming out of jail who report behavioral health issue and are experiencing homelessness. Due to increased needs, the program increased the number of dedicated beds from 15 to 21. The Bridge Housing Program and Project Kinship staff meet regularly to discuss eligibility and referral process and coordinate for housing. During FY 2020-21, the program served 23 individuals and 7 of the 10 discharges were placed in permanent housing.

**FY 2021-22 Realignment Goals**

The OCCCP identified the following goals for the County for FY 2021-22. For each goal, the OCCCP will strive to guide every partner in public safety to work together for a safe Orange County through a reduction in recidivism achieved through rehabilitation and other alternatives to incarceration. Following each goal is a description of how each goal may be attained in the next year.

**Goal #1: Increase access to housing opportunities and support for justice-involved individuals who report a behavioral health issue. (Health Care Agency)**

**Objectives:**

- a. Increase linkage to the Homeless Bridge Housing Program for individuals coming out of jail who report a behavioral health issue and are experiencing homelessness.
- b. Increase number of housing applications into the Homeless Management

Information System (HMIS)/Coordinated Entry System.

**Goal #2: Increase linkage to appropriate behavioral health services upon release from incarceration. (Health Care Agency)**

**Objectives:**

- a. Identify behavioral health staff to provide in reach and facilitate linkage to County behavioral health services.
- b. Increase the number of individuals to link to County behavioral health services upon release.

**Goal #3: Improve public safety outcomes and utilize best practices in reducing recidivism. (Probation)**

**Objectives:**

- a. Expand random drug testing to five additional Adult Supervision Units in the Probation Department.

**Goal #4: Improve public safety and reduce recidivism. (District Attorney)**

**Objectives:**

- a. Increase communication with county partners to track services available to AB 109 participants.
- b. Hold AB 109 participants accountable in court when they violate the terms of their supervision or when they commit new crimes.

**Goal #5: Work with probation and law enforcement partners to identify and prosecute AB 109 participants responsible for major crime trends. (District Attorney)**

**Objectives:**

- a. Increase communication with law enforcement partners.
- b. Utilize data and technology to identify and apprehend AB 109 participants committing new crimes.

The goals and objectives identified above requires collaboration and coordination across departments and, in some cases, outside entities. The programs and efforts made by the departments and partner agencies to address the needs of the AB 109 population are highlighted in the following sections.

## **PROBATION DEPARTMENT**

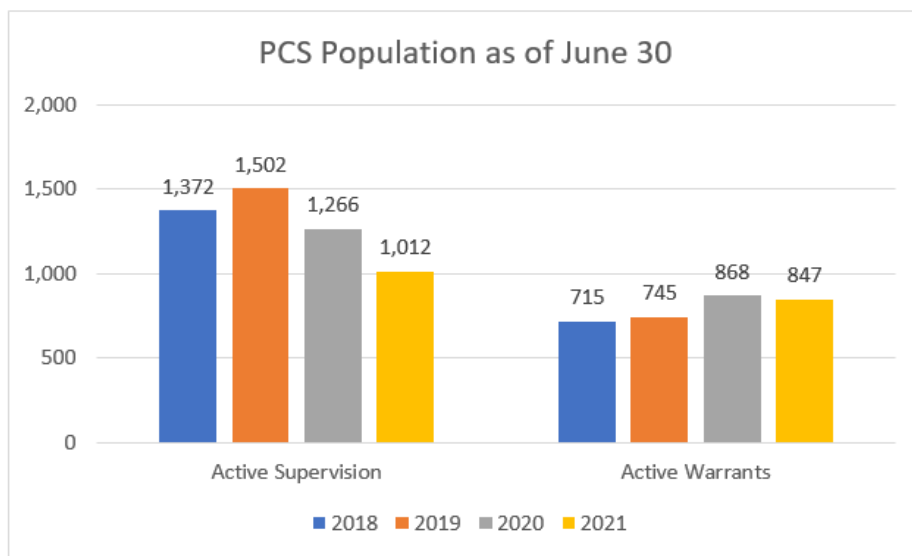
The Orange County Probation Department (Probation) provides supervision services for approximately 10,800 adult and juvenile offenders on court-ordered supervision or in

diversion programs. Deputy Probation Officers (DPOs) serve an investigative role for the court by producing sentencing reports and recommendations and by working in specialized units alongside local law enforcement entities, the Sheriff-Coroner's Department, and the District Attorney's Office to reduce gang-related crime and to supervise convicted narcotics dealers.

With the 2011 Public Safety Realignment, Probation was tasked with the supervision of the Postrelease Community Supervision (PCS) population and individuals under Mandatory Supervision (MS) who would have been sentenced to state prison but completed their sentence through a combination of local incarceration and a period of community supervision. As with formal probation, each DPO works with these populations to ensure compliance with the court's terms of their probation and assists with their reintegration into society by identifying constructive social outlets, like jobs, school, and community activities to help rehabilitate offenders so that future anti-social behavior does not occur.

As of June 30, 2021, there were 1,012 actively supervised PCS individuals, a decrease of 254 individuals (20%) from the 1,266 reported for June 2020. There were 847 PCS individuals with a warrant status as of June 30, 2021, a decrease of 2% from the 868 reported for the prior year. An estimated 43% of the PCS population reports residency in just two cities, Anaheim (18%) and Santa Ana (25%). PCS individuals are predominantly male (92%), while 8% are female.

For the same reporting period, the number of MS individuals on active supervision totaled 371, which was a decrease of 105 individuals (-22%) from the prior year. Those with MS active warrants totaled 455, which was an increase of 33 individuals (8%) from the prior year. Similar to the PCS population, approximately 36% reside in the same two cities, Anaheim (15%) and Santa Ana (20%).



## **Needs and Services Assessments**

The responsibility of the PCS and MS populations are primarily those of Probation's AB 109 Field Services Division and the dedicated Reentry Team. This Division utilizes evidence-based practices and collaborates with other County and community partners to best address the needs of their clients. An objective risk/needs assessment tool is utilized to determine the appropriate level of supervision that is necessary and to identify the type of evidence-based treatments and services that are needed to be successful on supervision, thereby reducing the risk of reoffending and increasing pro-social functioning and self-sufficiency.

The risk/needs assessment tool assigns weighted scores to each factor on the instrument in order to obtain an overall risk classification. Risk classification is assigned as high, medium or low. As of June 30, 2021, the majority of individuals were classified as high risk (PCS 91%; MS 84%). There are ten risk factors on the assessment tool. Five of these factors carry the highest correlation of risk with subsequent new law violations. They include prior probation violations, substance use, age at first conviction, number of prior periods of probation supervision, and the number of prior felony convictions.

In practice, the DPO completes a risk/needs assessment on every client on their caseload and develops a comprehensive case management plan addressing criminogenic factors as well as treatment services and basic needs/support services. Approximately every six months, the DPO conducts a reassessment and updates the supervisory case management plan based on any changes in the risk level and/or in the identified needs for services.

In addition, the Reentry Team also assesses the individual's basic needs at the time of reentry into the community and provides clothing, hygiene kits, food vouchers, and bus passes as applicable and appropriate.

## **Graduated Interventions and Sanctions**

The Postrelease Community Supervision Act of 2011 supports the use of evidence-based sanctions and programming, which includes a range of custodial and noncustodial responses to criminal or noncompliant activity to improve community safety. The use of graduated interventions and sanctions for both technical violations of supervision and subsequent new law violations ensure the sanctions are proportionate to the seriousness of the violation and hold the individual accountable; assert sufficient control and properly manage the risk that the individual presents to the community; and facilitate the individual's continued progress in changing behavior to achieve ongoing compliance, successful completion of supervision, and future law-abiding behavior.

DPOs have broad discretion and determine when to properly implement graduated interventions and sanctions and when to effectively utilize secure detention after prior

interventions or sanctions have failed and/or when the safety of the individual, others, or the community are at risk. They consider a wide range of supervision options with the understanding that detention for technical violations does not always result in improved outcomes or reduced recidivism<sup>1</sup>. Programming and treatment options are as important to supervision as enforcement activities and it is understood that custodial sanctions manage risk well, but it does nothing to reduce the risk once an offender is released into the community<sup>2</sup>.

Promoting swift, certain, and graduated responses to technical violations of supervision is an evidence-based, research-supported strategy that is both consistent and fair<sup>3</sup>. The objectives of graduated interventions and sanctions for both technical violations of supervision and subsequent new law violations are: make sanctions proportionate to the seriousness of the violation and to hold the offender accountable; assert sufficient control and properly manage the risk that the offender presents to the community; and facilitate the offender's continued progress in changing behavior to achieve ongoing compliance, successful completion of supervision, and future law-abiding behavior.

Flash incarceration is an intermediate sanction tool utilized by a DPO to arrest individuals for lesser new law violations and/or technical violations, such as positive drug tests, absconding, etc. The detention period of up to 10 days maximum is intended to deliver a swift and certain sanction while minimizing the impact on the individual's success in the community.

As of January 2017, flash incarceration can be utilized for the MS population if agreed upon at the time of sentencing via a Court Order. During FY 2020-21, there was 1 flash incarceration for the MS population (down from the 20 reported for FY 2019-20) and a total of 274 flash incarcerations for the PCS population, which, due to the COVID-19 pandemic was down 36% from the prior year's total of 372. Of the 274 flash incarcerations for FY 2020-21, 212 were for technical violations and 62 for new law violations.

PCS revocations increased from 1,910 in FY 2019-20 to 1,982 in FY 2020-21. The charts below summarize PCS flash incarcerations and revocations.

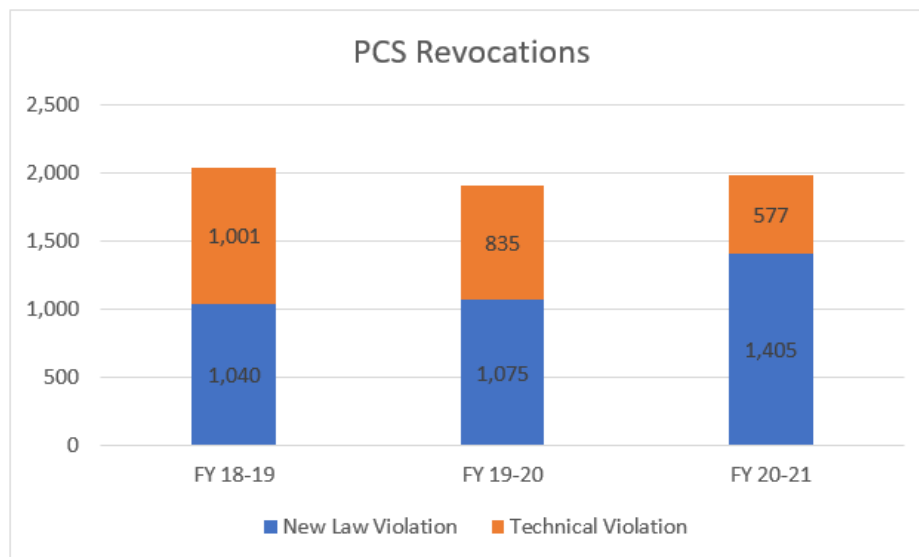
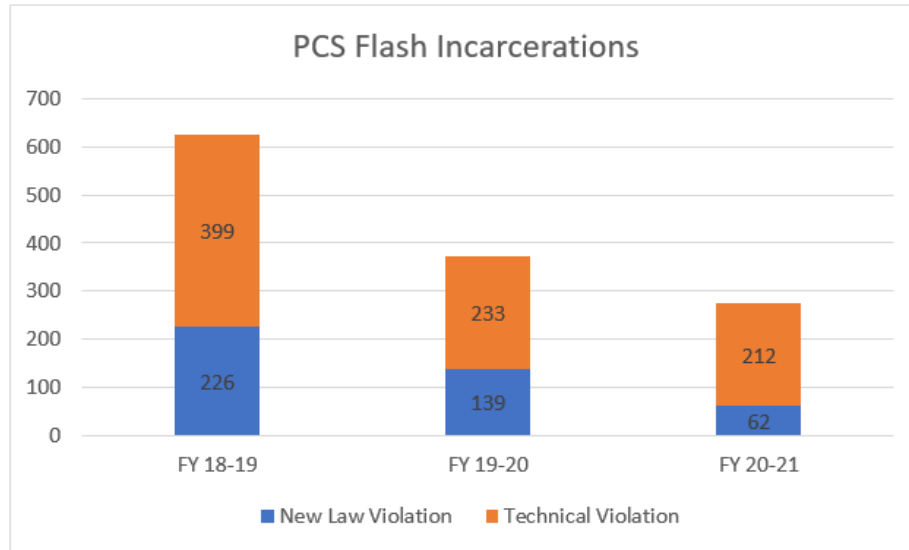
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<sup>1</sup> Pew Center on States, Public Safety Performance Project (2012) Time Served: The High Cost, Low Return on Longer Prison Terms.

<sup>2</sup> Edward Latessa Ph.D. Keynote Address: What Works and What Doesn't Work in Reducing Recidivism at the CA State Association of Counties (CSAC), CA State Sheriffs Association (CSSA), and Chief Probation Officers of CA (CPOC) 4<sup>th</sup> Annual Conference on Public Safety Realignment, Sacramento, CA. January 22, 2015.

<sup>3</sup> Taxman, Fayes et.al. (2004) Tools of the Trade: A Guide to Incorporating Science into Practice National Institute of Corrections US Department of Justice and Maryland department of Public Safety and Correctional Services.





### **Day Reporting Centers for Adults**

Non-residential adult Day Reporting Centers (DRCs) deliver structured reentry services and comprehensive programming for individuals released to the community. The main objective is to increase self-sufficiency and promote behavior changes through the delivery of evidence-based rehabilitation proven to reduce recidivism. By reducing recidivism, DRCs may reduce pressure on jails and prisons while decreasing correctional costs.

The first DRC opened in the city of Santa Ana in July 2012 and provides a combination of intensive treatment and programming, on-site supervision, and immediate reporting of behavior to the assigned DPOs of the AB 109 clients, those on PCS and MS. In June

2015, DRC services became available to the formal probation (FP) supervision population. The Santa Ana DRC is a stand-alone facility and can service up to 140 clients.

The second DRC opened in the city of Westminster in September 2017 and can service up to 75 clients. It is co-located at the Probation Department's West County Field Services Office.

DRC participants go through a multi-phase program that potentially runs six to nine months. The program includes frequent reporting to the center where participants are placed on different treatment levels and training based on a risk and needs assessment tool. DRC staff monitor individuals closely with daily check-ins, ongoing drug and alcohol testing, and intensive case management. DRC programs are rooted in consistent delivery of programming, immediate response for rewards or sanctions, and other evidence-based principles proven to change criminal behavior. DRCs help individuals gain structure, learn stability, modify the way they think and behave, and develop new life skills.

There were 3,250 participants served by the DRC through June 30, 2021. During FY 2020-21, 424 referrals resulted in 285 enrollments of which, 72% were classified as high risk. There were 277 total exits from the DRCs; 121 were satisfactory and 36 were for other reasons or considered "no fault."

### **DRC Services**

All participants are assessed by a DRC case manager at entry, receive services based on their assessed risk/needs and are held accountable for their behaviors through specific measures in the chart below.

<b>Services</b>	<b>Assessments/Accountability Measures</b>
Development of a Behavior Change Plan	Orientation & Intake Assessment using LSI Risk Assessment
Life skills & Cognitive Behavioral Therapy (Moral Reconation Therapy)	Daily attendance, participation in individual and group counseling, progress reports & communication with assigned DPO
Substance Abuse Counseling	On-site random alcohol & drug testing, individual and group sessions, progress reports & communication with assigned DPO
Anger Management Counseling	Group sessions, attendance, periodic evaluation and communication with assigned DPO
Parenting & Family Skills Training	Group sessions, attendance, periodic evaluation and communication with assigned DPO, and family nights
Job Readiness & Employee Assistance	Assistance with job preparation and placement monitored by Education & Employment Coordinator
Education Services	Access to educational computer lab, assistance and monitoring by Education & Employment Coordinator
Community Connections	Getting Connected computer application, attendance at Community Connections meetings monitored by case manager & communication with assigned DPO
Restorative Justice Honors Group	Participation and attendance monitored by coordinator & certificate of completion
Reintegration & Aftercare	Aftercare case plan, weekly check-ins, and 1:1 meetings

In March 2020, the Santa Ana and Westminster DRCs closed for in-person services. All check-ins, counseling and treatment sessions were delivered to participants by telephone. The DRCs reopened in phases in June 2020 to slowly reintroduce in-person services while keeping clients and staff safe and healthy.

In Phase 1, clients only showed up for intake and assessment. All counseling sessions and groups were delivered by telephone. In Phase 2, clients could meet with their case manager in-person. In Phase 3, the DRCs opened group sessions to in-person attendance. These groups were smaller in number to comply with social distancing protocols. As of June 30, 2021, both DRCs were in Phase 3.

Day Reporting Centers (Santa Ana & Westminster)								
Telephone and In-Person Sessions by Phase Reopening								
July 1, 2020 to June 30, 2021								
PHASE	Session Type	CBT - Individual	Substance Abuse Treatment Individual	MRT Group	Anger Management Group	Parenting Class Group	Outpatient Substance Abuse Treatment Group	Total
PHASE 1 (7/1/20 to 4/26/21)	Telephone	3988	490	2481	453	316	104	7832
PHASE 2 (4/27/21 to 5/9/21)	Mostly Telephone	343	0	207	54	32	75	711
PHASE 3 (5/10/21 to 6/30/21)	Telephone and In-Person	279	0	202	77	17	47	622
PHASE 4 (not yet started)		-	-	-	-	-	-	-
<b>Total</b>		<b>4610</b>	<b>490</b>	<b>2890</b>	<b>584</b>	<b>365</b>	<b>226</b>	<b>9165</b>

## **SHERIFF-CORONER DEPARTMENT**

The Orange County Sheriff-Coroner's Department (OCSD) is a large multi-faceted law enforcement agency comprised of five Command areas including the Custody Operations and Court Services. This Command includes the management of the Orange County jail system that processes over 35,000 bookings this fiscal year.

OCSD operates four jail facilities in the County with a total bed capacity of 6,155 beds as follows:

- Intake Release Center (IRC) with a capacity of 903 beds.
- Theo Lacy Facility with a capacity of 3,438 beds.
- Central Men's Jail with a capacity of 1,428 beds.
- Central Women's Jail with a capacity of 386 beds.
- Operations at James A. Musick Facility have been suspended pending construction of the facility.

In 2012, the State, by way of AB 900, created a competitive grant source for expansion and/or construction of new jail facilities. OCSD was awarded a \$100 million grant to fund a 512 bed expansion project at the James A. Musick Facility. OCSD also received an \$80 million grant via SB 1022 for an additional expansion to the James A. Musick Facility as part of a rehabilitation program that will add 312 beds. OCSD has merged these two projects into one modern rehabilitation facility and is currently in the construction phase with an expected completion date by January 2023.

### **County Jail Population**

Approximately 29% of the individuals housed in the County jail facilities are serving out their sentence while 71% are awaiting trial or sanctioned. Of those sentenced, an average of 79% were convicted of a felony and 21% of a misdemeanor crime.

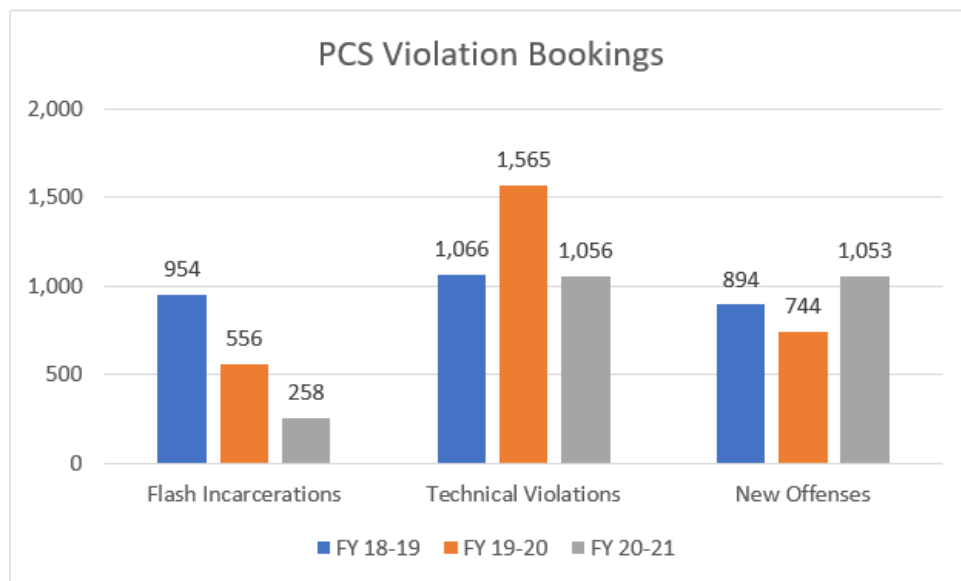
The inmate population in the County's four jails that pertain to the 2011 Public Safety Realignment includes individuals completing their sentence awaiting supervision (local custody/MS) per PC 1170(h), PCS individuals serving less than 180 days, parole violators serving less than 180 days, and individuals sanctioned with a flash incarceration serving 10 days or less.

#### **Local Custody: MS Population**

During FY 2020-21, there were approximately 1,846 MS individuals booked with an average stay of 215 days and an additional 1,100 new commitments sentenced to serve their sentences in the Orange County Jail system. This represents the largest portion of OCSD's realigned population and has remained consistent.

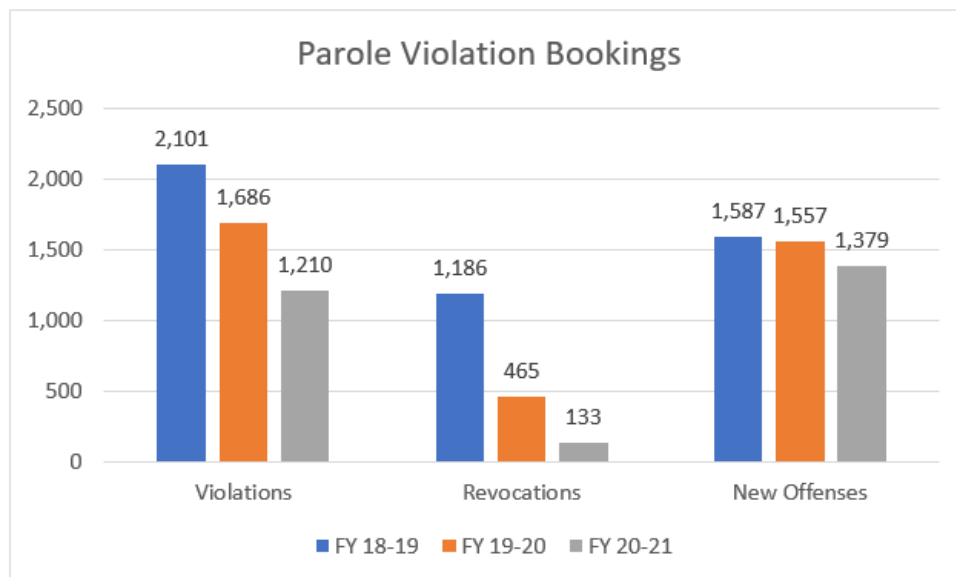
### PCS Population

During the same reporting period, an estimated 2,367 PCS violators were booked with an average length of stay of 59 days, a 17% decrease (498 violators) from FY 2019-20. Of this amount, 258 were flash incarcerations, 1,056 were revocations for technical violations, and 1,053 were revocations for a new charge.



### Parole Violations

There were 2,722 bookings related to parole violations reported in FY 2020-21, a decrease of 986 (27%) from prior year. Of this amount, 1,210 were for violations, 133 were for revocations, and another 1,379 were for new offenses.



## **In-Custody Programs**

### **Inmate Classes**

OCSD offers a host of classes and programs for inmates taught by Inmate Services staff, other County agencies, community and religious organizations, and educational and vocational partners.

Educational	Vocational	Substance Abuse	Life Skills	Reentry
<ul style="list-style-type: none"><li>•Academic Skills</li><li>•Attitudes for Success</li><li>•GED</li><li>•Inside Out Program</li><li>•Money Matters</li><li>•WIN Tutoring</li></ul>	<ul style="list-style-type: none"><li>•Introduction to Software Applications</li><li>•Institutional Food Preparation</li></ul>	<ul style="list-style-type: none"><li>•AA Study Group</li><li>•Alcoholics Anonymous Panels</li><li>•Narcotics Anonymous Panels</li><li>•Substance Abuse Class</li></ul>	<ul style="list-style-type: none"><li>•Anger Management</li><li>•Back on Track</li><li>•Bible Study Discipling</li><li>•Faith Based Parenting</li><li>•Finding the Way</li><li>•Healthy Families</li><li>•Kinship 101</li><li>•Malachi Men</li><li>•Personal Empowerment Program</li><li>•Positive Parenting</li><li>•Seeking Safety</li><li>•TUMI</li><li>•Women of Purpose</li><li>•Workforce Preparation</li></ul>	<ul style="list-style-type: none"><li>•College Counseling</li><li>•Great Escape</li><li>•Probation 101</li></ul>

In addition, inmates have access to religious services, counseling, and bible study as well as mentoring for reentry.

### **All-In Program**

This is an intensive program for 15 selected female inmates for an 8-week course that addresses all aspects of the person from parenting and coping skills to workforce preparation. This is a multi-partner collaboration led by OCSD that also includes Probation, the Public Defender's Office, and a community provider.

In FY 2019-20, a similar program was established for male inmates but had to go on hiatus due to unforeseen circumstances prior to the completion of the first course. It will be resumed dependent on the state of the COVID-19 pandemic.

### **Fire Camp Program**

OCSD has an established Memorandum of Understanding (MOU) with the California Department of Corrections and Rehabilitation (CDCR) to utilize PC 1170(h) sentenced inmates for state fire crews. Inmates who volunteer for the program undergo extensive training and screening. Successful candidates are subsequently selected to serve their

sentence at a designated fire camp and may be considered for hire by the state as employees afterward. OCSD uses this option sparingly, primarily due to cost; however, it continues to be an option.

#### Community Work Program

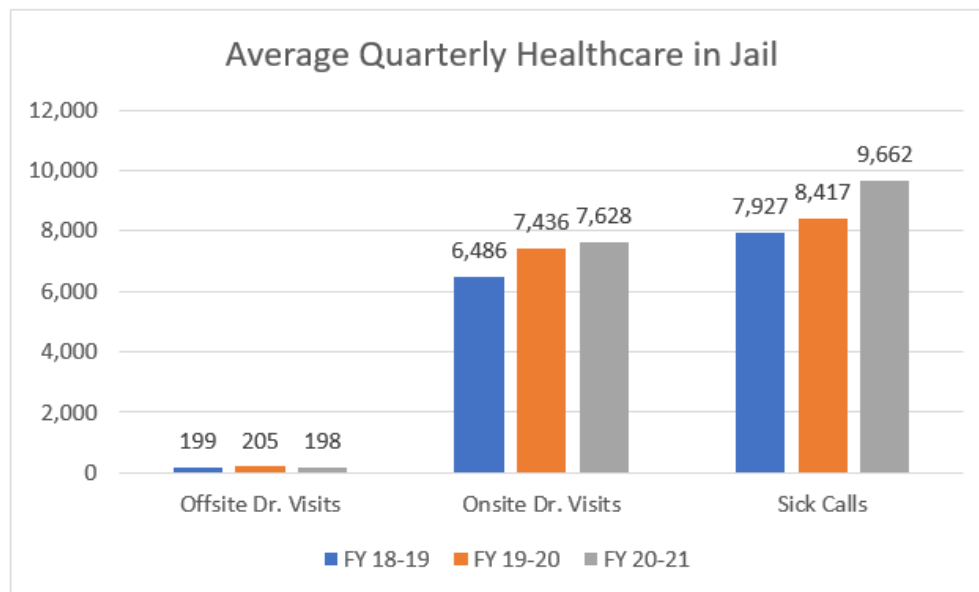
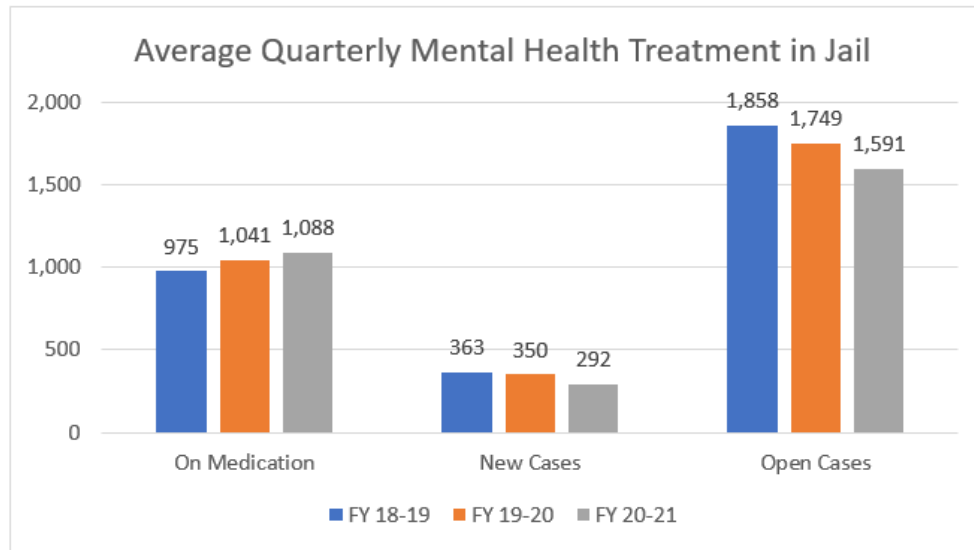
OCSD has used a combination of methods to manage the increase in the inmate population. One notable change has been the expansion of inmates assigned to the Community Work Program (CWP) to include PC 1170(h) offenders. The CWP is an alternative to incarceration that allows sentenced PC 1170(h) offenders to serve their time by working on municipal work crews often providing janitorial or landscaping services at County buildings and parks. The offender is allowed to live at home but must report to a predetermined worksite location as part of a crew. Every workday completed is considered one day of service towards the offender's sentence. Failure to follow the stringent rules (curfew, avoiding substance abuse, etc.) results in a return to custody where he/she will serve the remainder of his/her sentence.

OCSD screens inmates for suitability and has the discretion to add or remove the offender from the program at any time. OCSD has dedicated resources to conduct welfare and compliance checks on PC 1170(h) inmates serving time on the CWP. This includes work site and home inspection checks. Since the inception of Prop 47, the number of eligible offenders has declined dramatically. Nevertheless, the program is still relevant and continues to be a successful population management tool as well as an opportunity for offenders to assimilate into the community while under strict supervision.

## **CORRECTIONAL HEALTH SERVICES**

The Orange County Health Care Agency's (HCA) Correctional Health Services (CHS) Division provides the medical, dental, mental health and substance use treatments to those individuals incarcerated at a County jail facility. Services are performed at a community standard of care on a 24-hour, 7-days a week basis.

Upon intake into the County jail facility, CHS triages and screens the individuals to determine their medical, mental health, and dental needs and identify subsequent treatment and medication plans. In FY 2020-21, for all in-custody patients there was an average of 1,591 open cases for mental health treatments and 292 new cases added each quarter. In addition, there were approximately 115,941 sick calls, 91,533 doctor visits in-custody, and just under 2,374 offsite doctor visits for the year.

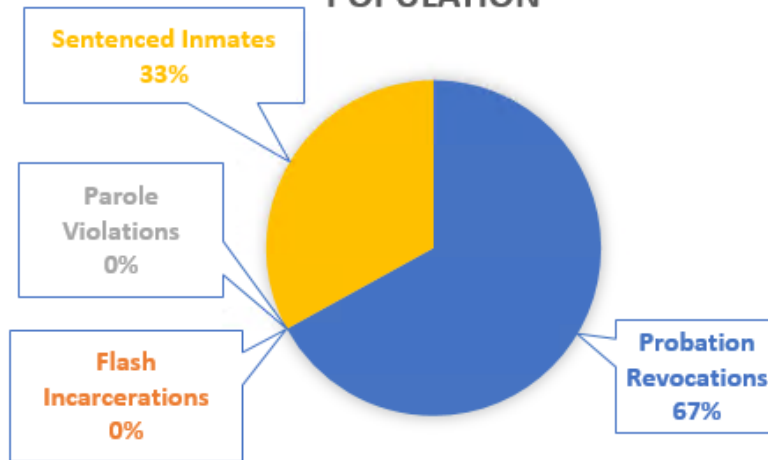


All primary care physician services are provided within the jail; however, when an inmate needs specialty services, they are transported to specialty medical clinics off-site. Currently, there are over 25 specialty clinic services available.

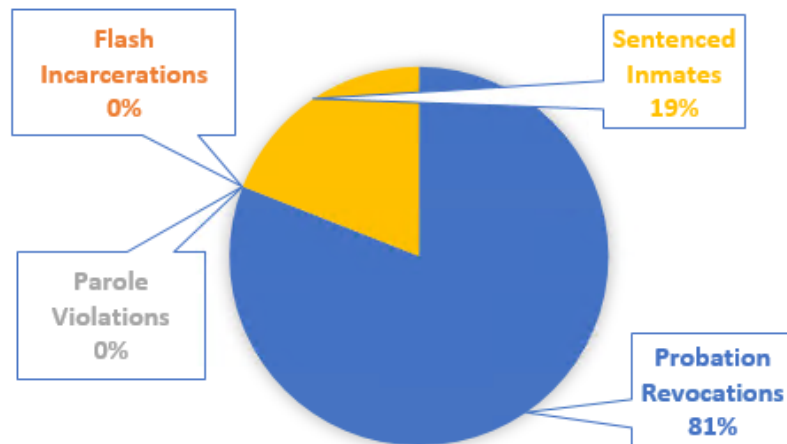
For the population in the County jails per the AB 109 legislation, during the same reporting period, there were 36 emergency room visits, 16 hospitalizations, and 85 visits to specialty clinics, such as for orthopedics, radiology, dialysis, or cardiology.

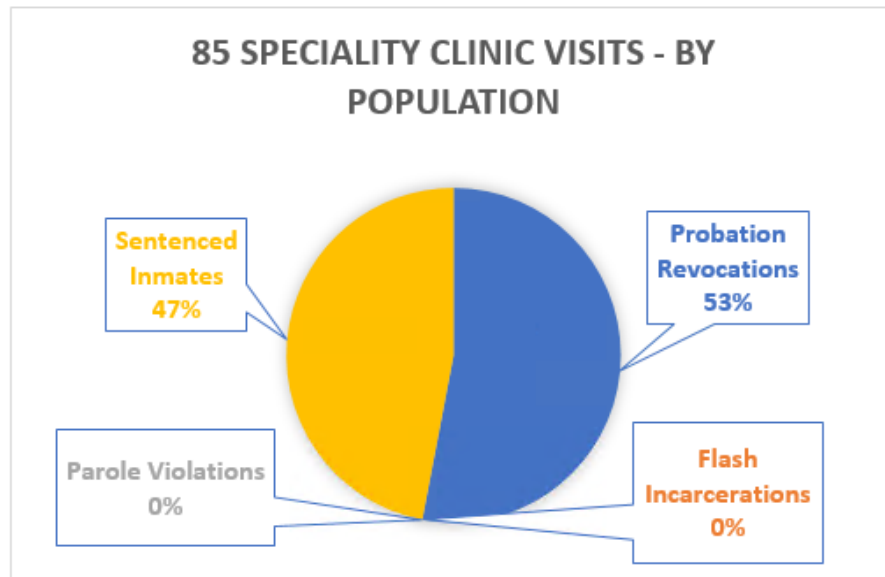


### 36 EMERGENCY ROOM VISITS - BY POPULATION



### 16 HOSPITALIZATIONS - BY POPULATION





CHS works collaboratively with HCA Behavioral Health Division to identify those individuals who are chemically dependent and/or are incarcerated for alcohol and/or drug related crimes to participate in Vivitrol injections. CHS provides an initial injection of Vivitrol for inmates who are medically cleared prior to their release. Vivitrol, naltrexone for extended-release injectable suspension, blocks receptors in the brain where opioids and alcohol attach, preventing the feelings of pleasure that these substances produce.

- In FY 2020-21, CHS clinical staff administered 5 Vivitrol injections to inmates prior to their release.

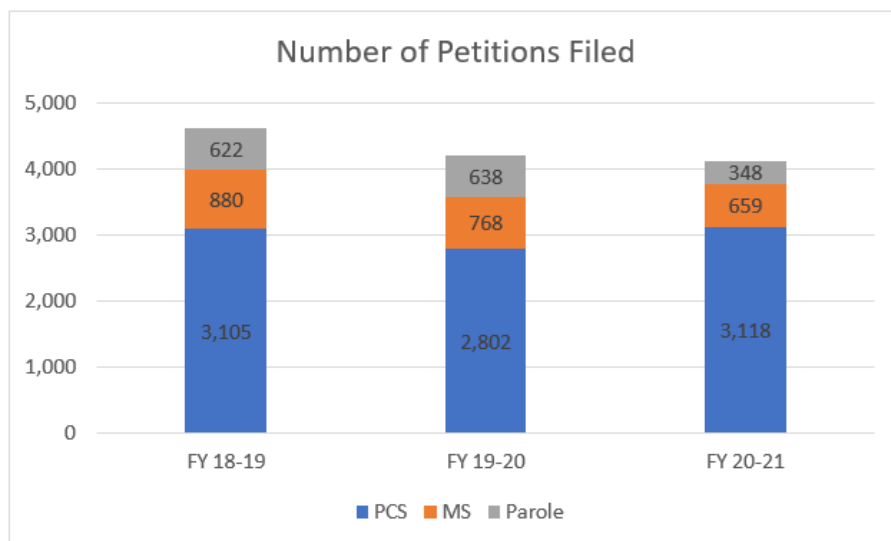
The County's Strategic Financial Plan has identified a priority for an In-Custody/Post-Custody Drug Treatment Program that would provide professional substance use disorder treatment to eligible inmates while incarcerated, continuing post-custody treatment services, and case management services during the entire program period. Another priority was also identified for a Recidivism Reduction Community Reintegration Program that would provide professional case management and cognitive-behavioral program services to eligible inmates while incarcerated and continued case management post-custody for one year. The In-Custody/Post-Custody Drug Treatment Program began implementation in FY 2019-20, with selection of contracted personnel to coordinate this program.

## **ORANGE COUNTY DISTRICT ATTORNEY'S OFFICE**

The Orange County District Attorney's (OCDA) Office is the chief prosecutor for the County and has the responsibility to enhance public safety and welfare and create a sense of security in the community through the vigorous enforcement of criminal and civil law.

OCDA is responsible for the prosecution of PCS and MS violators as well as parole violators. Within the department, there is a dedicated unit that reviews the violations, makes appropriate dispositions, and works with the Court to ensure that the appropriate sentence is meted out in each case. When cases do not settle, deputies will call upon witnesses for testimony at hearings. OCDA works with Probation, CDCR and local law enforcement entities to ensure appropriate laws are being enforced and the community is being protected.

In FY 2020-21, OCDA prosecuted over 3,700 petitions between the PCS and MS populations and another 348 for parole violations.



The 4,125 petitions filed in FY 2020-21 pertained to a total of 1,618 different defendants. Of the 1,618 defendants, 1,318 (81%) were repeat offenders, having received at least one prior petition: 573 defendants (35%) had at least five prior petitions and 253 (16%) had 10 or more prior petition.

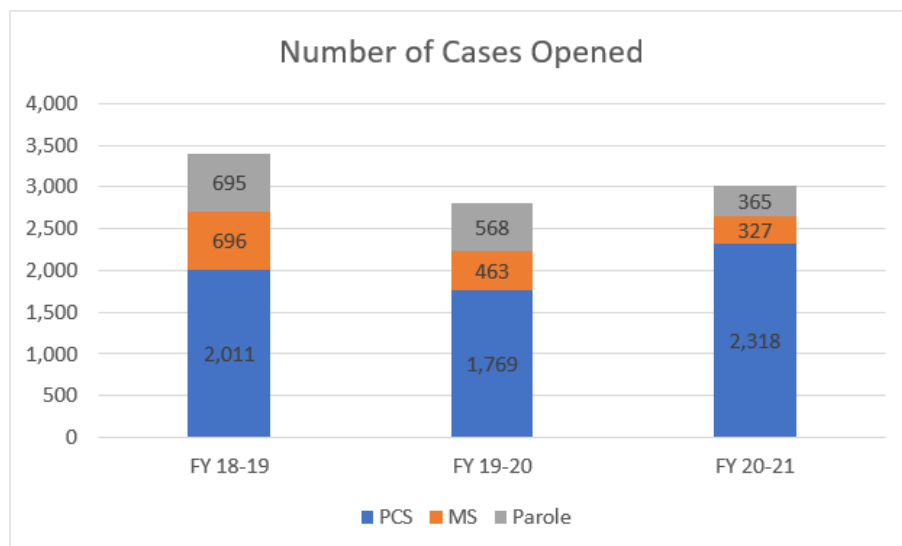
# Prior Petitions	0	1	2	3	4	5	6-10	11-15	15+
# Defendants	300	213	179	140	115	98	320	148	105

Additionally, in FY 2020-21, OCDA filed 8,273 new criminal cases against a total of 3,527 different defendants who are currently or previously on AB 109 supervision. Vehicle theft, drug sales, and weapon charges remain the most common felony charges by an AB 109 defendant. These numbers are higher than experienced in FY 2019-20: 6,591 cases against 3,105 defendants. These numbers may have been impacted by the COVID-19 pandemic.

## ORANGE COUNTY PUBLIC DEFENDER'S OFFICE

The Orange County Public Defender's (OCPD) Office provides high-quality representation to those individuals who are unable to afford a private attorney and who are in need of legal representation in criminal or mental health cases. OCPD provides legal services to indigent adults accused of felony or misdemeanor criminal violations. These legal services include keeping clients informed of the status of their cases, providing legal representation at contested and non-contested hearings, and providing reentry services to incarcerated and recently released clients to assist them in their successful completion of probation and reintegration back into the community.

The 2011 Public Safety Realignment has steadily increased OCPD's workload. While the pandemic did cause a slight decrease in the number of cases filed in the previous year, in FY 2020-21, the number of cases filed has increased. OCPD had over 3,000 cases opened between the MS, PCS, and parole populations and made over 5,200 court appearances.



In response to the increased workload presented by the 2011 Public Safety Realignment legislation, OCPD has expanded and diversified the types of services provided to clients. OCPD continues to emphasize the development and presentation of individualized, alternative sentencing plans to the court as potential options to incarceration. For incarcerated clients, OCPD is actively involved in ensuring the client's successful reintegration back into the community and collaborates with other County partners on a weekly basis at Probation's Day Reporting Centers. OCPD assists in the coordination of services with the Probation Department, Health Care Agency, California's Employment Development Department, and other community-based partners on behalf of the clients.

In addition, OCPD also continues to maximize relief for clients by making the most of the September 2017 Legislation, AB 1115, which expanded expungement relief for OCPD's clients. The statute permits clients previously sentenced to state prison to receive an expungement if their felony would have qualified for sentencing to county jail pursuant to subdivision (h) of Penal Code Section 1170 under the 2011 Public Safety Realignment Legislation. The expungement process permits these individuals to have their guilty convictions withdrawn and dismissed, which releases them from penalties and disabilities that would otherwise prevent them from acquiring employment. OCPD expects the number of expungement petitions filed to continue to increase while also continuing efforts to obtain post-conviction relief for clients. OCPD has filed thousands of petitions for resentencing or applications for reclassification, allowing low-level, non-violent offenders to get a second chance, and saving taxpayers millions of dollars.

### **Reentry Services for Clients**

OCPD collaborates with the County's public protection partners, Probation Department, Sheriff-Coroner's Department, the California Department of Corrections and Rehabilitation, Health Care Agency, and the District Attorney's Office, to provide coordinated reentry services for OCPD's clients.

OCPD employs two in-house Recidivism Reduction Advisors (RRA) trained in social work to support clients. RRAs primarily work with clients on MS who may need more intensive case management in order to successfully navigate reentry services. Since the commencement of the pandemic, the need for more intensive case management has increased. In response, RRAs have increased services to meet the needs of non-MS clients. RRAs collaborate with other County partners to meet the specific needs of individual clients increasing their opportunity for success.

OCPD has staff dedicated to assist client reentry into the community by assisting with the following:

- Completing a comprehensive interview to obtain a life history and ensure their needs are accurately assessed.
- Helping obtain government documents, including birth certificates, consular documents for immigration purposes, reduced-fee identity cards, passports, social security cards, and more.
- Ensuring clients have proper medical care via SSI/SSDI applications.
- Obtaining food stamps, Cash Aid, Cal-Works, Medi-Cal, and bus passes for clients.
- Coordinating drug treatment and rehabilitation programs, mental health resources, and dental and vision benefits.
- Referring clients for specialized services for Legal Aid, Child Support, and Family Law purposes.
- Conducting daily visits to the jail, helping in-custody client's transition into the community by discussing housing needs, employment opportunities, as well as

substance abuse and mental health needs. Staff also visit drug treatment programs to provide monthly on-site services.

- Collaborating with the Division of Adult Parole Operations of the CDCR. Dedicated staff also attend monthly meetings held by Parole for recently released parolees.
- Working with "Project Kinship," a non-profit organization helping to ensure Medi-Cal, General Relief, and food stamp benefits for clients. Project Kinship representatives accompany staff on client visits and provide guidance in submitting Medi-Cal applications and other forms of assistance.
- Locating and assisting OCPD's clients with housing.
- Setting up vocational training and education, such as truck driving schools.
- Locating transitional housing, treatment, and military records for veteran clients
- Providing clothing and hygiene kits.
- Attending resource fairs and networking with other providers to ensure that clients have the most current, up to date program and resource access.

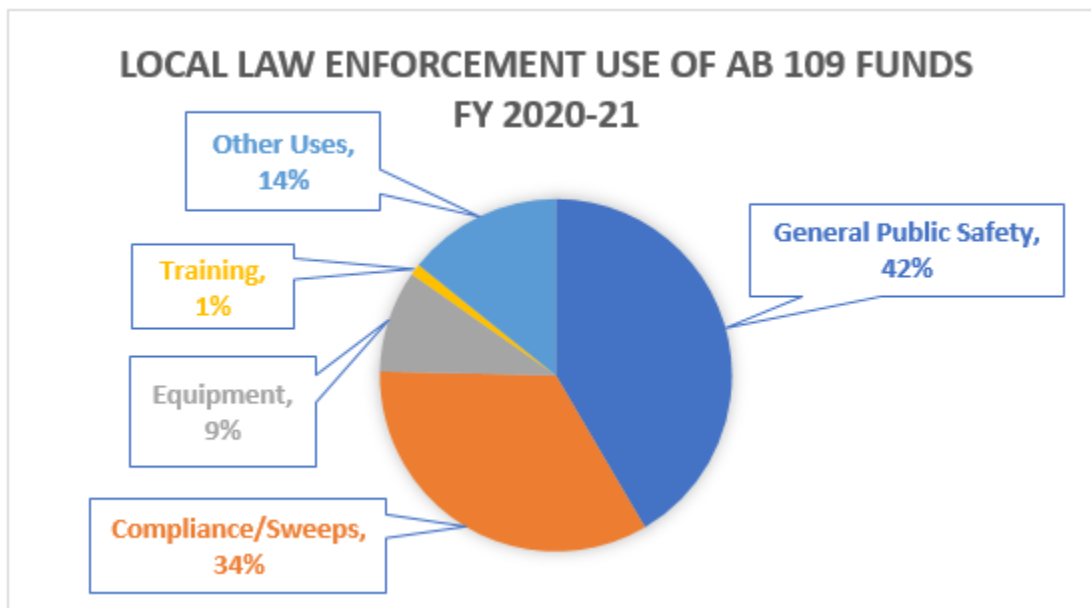
OCPD provides referrals to various resources that enable clients to obtain assistance for their basic needs, including food, clothing, and shelter. Housing, particularly transitional housing and employment, continue to be the biggest obstacles for client success on supervision.

## **LOCAL LAW ENFORCEMENT AGENCIES**

Local Law Enforcement (LLE) agencies continue to progress to meet the public safety needs of the community. As each city's needs vary, the funding for the LLE's is allocated per direction of the OCCCP and used to maintain public safety. The following highlights the investments made in the local communities:

- Staffing costs for PCS-related operations, such as compliance checks, sweeps, warrant services, and surveillance operations. (34%)
- Front line law enforcement costs, including staffing, operational costs, and general overtime expenses involved with public safety enforcement and investigations. (42%)
- Purchases of equipment to enhance or maintain public safety, such as an armored rescue vehicle, surveillance equipment, or protective gear and entry tools. (9%)
- Training for peace officers on advanced criminal activities, such as gang activity, and for public safety officials, including law enforcement personnel, specific for the Homeless Liaison Officer program. (1%)
- Costs associated with specialized programs such as: (14%)
  - Mapping/crime analysis software to systematically monitor activities of potential offenders and dangers or hazards posed by AB 109 early-released individuals.
  - Automated License Plate Reader (ALPR) program.
  - Dedicated staff for crime analysis or monitoring AB 109 offenders.

- Services to prevent harm to self or others, homelessness, and preventable incarceration or institutionalization.



## BEHAVIORAL HEALTH SERVICES

The Orange County Health Care Agency (HCA) Behavioral Health Services (BHS) division provides mental health (MH) and substance use disorder (SUD) services for the County and strives to provide the right type of treatment, at the right place, by the right person(s)/programs, to help individuals achieve and maintain the highest quality of health and wellness.

As such, BHS developed a continuum of treatment services comprised of many programs, both County-operated and contracted. These programs are available to residents in Orange County, including AB 109 individuals identified with untreated MH and/or SUD. Access to services is facilitated by the use of AB 109 Screeners located in Anaheim, Santa Ana, Laguna Hills and Westminster OC Probation offices.

Upon release, individuals meeting criteria for AB 109 meet with a DPO. Individuals with behavioral health issues are referred to a BHS AB 109 Screener who assesses and identifies the most appropriate level of care required and facilitates linkage. Behavioral health programs are voluntary and designed to provide community services and support to address behavioral health issues and reduce recidivism. AB 109 clients have a wide variety of services available to them, based on their individual needs. Services include behavioral health assessments, outpatient treatment (e.g., medications, individual/group therapy), case management, crisis intervention, detoxification (e.g., outpatient, medical inpatient), narcotic replacement therapy, residential treatment, recovery residences, medication assisted treatment, referral and linkage to community resources and Full

Service Partnership (FSP). AB 109 clients with serious mental illness are primarily treated at the AB 109 Adult and Older Adult Behavioral Health (AOABH) Clinic in Santa Ana but can be seen at other outpatient clinic locations. AB 109 clients needing psychiatric services are referred to a psychiatrist at the AB 109 Santa Ana Clinic for medication evaluation and treatment.

### **Current Services Provided**

During FY 2020-21, there were 1,555 referrals received from the Probation Department. Of this total, HCA AB 109 Screeners were able to complete 1,112 assessments. The table below summarizes the number of behavioral health referrals that AB 109 Screeners made and the number of admissions during same reporting period.

<b>Behavioral Health Referral and Admission FY 2020-21</b>			
<b>Services</b>	<b>Referral</b>	<b>Admission</b>	<b>Percentage Admitted<sup>4</sup></b>
Outpatient SUD Treatment	428	268	63%
Residential SUD Treatment	317	110	35%
Outpatient Mental Health	83	45	54%
Recovery Residences	69	49	71%
Social Model Detox	129	66	51%
Medical Detox	0	0	0%
Full Service Partnership	17	11	65%
Mental Health Shelter	16	8	50%
Methadone Detox	14	8	57%
Methadone Maintenance	116	50	43%
Psychiatric Services	12	10	83%

During FY 2020-21, modifications continued to be made in response to the COVID-19 pandemic. AB 109 Screeners remained available onsite at Probation offices to follow up with referrals and coordinate services. Assessments were provided mostly via telephone when Probation offices were closed to the public. As needed, AB 109 Screeners coordinated for onsite assessments at one of the AOABH County-operated clinics.

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<sup>4</sup> Percentages admitted are based on number of referrals made and admissions during the specified time frame.



## **SUD Program: Updates and Outcomes**

During FY 2020-21, HCA continued to utilize Drug Medi-Cal Organized Delivery System (DMC-ODS) to provide a continuum of care approach for clients needing SUD treatment services. This approach allows clients with Medi-Cal to access services within the plan in various levels of care as determined in their current assessment based on the American Society of Addiction Medicine (ASAM). This includes SUD residential, withdrawal management and outpatient treatment services. With DMC-ODS, clients are able to move through the system of care with coordination to achieve sustainable recovery.

Under DMC-ODS, clients with insurance or ability to pay are referred to programs that accept those types of payments. All clients with Medi-Cal are referred to Medi-Cal approved providers. HCA recognizes that there are AB 109 clients who do not have Medi-Cal, are pending approval or reinstatement, or do not qualify for Medi-Cal. Those individuals are referred to AB 109 providers who are not DMC-certified or one of the four County-operated SUD outpatient clinics.

During FY 2020-21, HCA has a total of five SUD outpatient contracted providers with a total of 11 locations within Orange County. These providers are Korean Community (KC) Services (three locations), Phoenix House, Pacific Educational Services (PES), Twin Town (three locations), and Wel-Mor Psychology Group (three locations), providing outpatient treatment and recovery services to AB 109 clients with Medi-Cal. Additionally, there were Narcotic Treatment Programs (NTPs) for clients with an opioid addiction and receiving Medication Assisted Treatment (MAT). The two providers for NTP and MAT were Western Pacific with locations in Costa Mesa, Mission Viejo, Stanton and Fullerton and Recovery Solutions with one location in Santa Ana.

Since DMC-ODS implementation, HCA has been able to identify gaps in SUD services and has been working to address them by developing new programs to ensure clients are getting linked to services without interruptions and that providers are continuing to be co-occurring capable when providing SUD treatment. The SUD Peer Mentoring Program continued to be available and accessible to clients receiving SUD services from SUD County Clinics or SUD Contract Providers. Since implementation, peers have been able to provide additional support to clients on system navigation, referral and linkage to supportive services, and community reintegration. In addition, peers support SUD clients to access current treatment and other benefits available to them and assist with consistent flow in moving through the system in various levels of care without interruption in current services. The SUD Peer Mentoring Program also became available and accessible to the adolescent population as of May 2021. The adolescent, along with their family member, may be referred to the program when necessary in order to get support and assistance in navigating the system of care. The In-Custody SUD Treatment program, implemented in July 2020, provides SUD services to eligible clients while incarcerated. Clients referred to this program are provided with in-custody SUD treatment (i.e., assessment, individual and group counseling, treatment planning, etc.),

a post-release continuing care component and case management services. This program assists in getting clients linked to appropriate treatment (i.e., SUD outpatient and residential services and/or mental health services) upon discharge from jail in hopes to increase overall linkage and improve continuity of care.

The County has also collaborated with Hazelden Betty Ford Foundation since July 2020 with the implementation of Project Vista, which is an SUD Training Curriculum offered to both SUD County and Contract Providers. This training curriculum focuses on standardization of assessments, additional evidenced based practices material and coaching and consultation sessions. The curriculum and resources will ensure standardized and integrated approaches to SUD services between all levels of care. These developed programs continue to focus on the overall improvement and quality of services being delivered to clients as well as ensuring that clients are able to move through the system of care to maintain sustainable recovery.

Since March 2021, the County implemented a centralized process for assessment and authorizing residential treatment by creating an Authorization for Residential Treatment (ART) Team. This team is responsible for providing assessment, authorization and referral to residential treatment. The team works in collaboration with SUD outpatient and residential providers to ensure that clients are placed in a timely manner when residential beds are available and placed on a waitlist when there are no beds available. This team is able to provide services and place clients in residential treatment for Medi-Cal and uninsured clients. Client requesting outpatient SUD services, such as Intensive Outpatient (IOT), Outpatient Drug Free (ODF), Recovery Maintenance Services (RMS), etc., can be directed to contact OC Links, Beneficiary Access Line (BAL) or walk into any of the County SUD and MH Clinics for an appointment for assessment. This process allows the County to be able to manage the residential waitlist, when applicable, and refer clients to the first available residential provider timely.

During FY 2020-21, HCA was able to procure services at the Orange Be Well Campus. At this facility, Orange County opened its first sobering station for individuals that are intoxicated. Other services at the campus include clinically managed withdrawal management, low level residential treatment and also a higher level of residential treatment for clients with cognitive impairments and/or those that have a co-occurring disorder. This expanded the number of residential providers that could provide services to Orange County AB 109 clients. HCA continued to contract services with Clean Path and Vera's Sanctuary to provide perinatal residential treatment. HCA also continued to contract with His House and New Creation located in San Bernardino County to provide residential treatment. This past fiscal year, HCA was able to expand clinically managed withdrawal management from two to four providers: Roque Center, Woodglen Detox, Telecare Detox and Phoenix House Detox. Due to a number of challenges, HCA's only medically managed withdrawal management provider terminated their contract. As a result, any individual that meets the criteria for medically managed withdrawal management is referred to the nearest hospital.

During FY 2020-21, HCA contracted with six providers to provide recovery residence services, including Clean Path Recovery, Gage House, Step House Recovery, The Villa, Collette's Children Home, and Grandma's House of Hope. Recovery residences provide excellent opportunities for clients to continue their recovery through outpatient services, develop healthy socialization, secure employment and save money to move out. There have been a number of challenges during this past year as some providers decreased the number of beds as requests for recovery residences decreased for certain populations. Reasons for the decrease in referrals may include clients receiving stimulus checks. Some recovery residences providers did not renew their lease on certain homes, and some expanded the number of beds offered. Other challenges were city ordinances that had an impact on the number of beds that could be offered for this service, as well as the permission to be granted conditional use permits.

The table below shows the treatment completion rates for SUD residential treatment, detox/withdrawal management and outpatient (County and contracted) treatment during FY 2020-21.

SUD Treatment Completion Rates <sup>5</sup>			
FY 2020-21			
	Discharges	Completed Treatment Goals	Completion Rate
Residential Treatment	39	20	51%
Detox/Withdrawal Management	29	1	3%
Outpatient Treatment	102	16	16%

### **Life Functioning Improvements**

The table below summarizes the responses received from AB 109 clients (n=277) when asked about their engagement in several life functioning outcomes during FY 2020-21. Overall, AB 109 SUD showed a 89% reduction in number of client arrests, 68% reduction in number of days incarcerated, and 68% reduction in serious family conflict. There were also improvements in abstinence from alcohol by 11% and drug use by 26%, along with a 59% increase in the use of recovery networks.

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<sup>5</sup> Source: CalOMS and the HCA IRIS for AB 109 Special Cohort FY 2020-21.

Life Functioning Outcomes of AB 109 SUD Clients <sup>6</sup> FY 2020-21				
		Outpatient Treatment % Change	Residential Treatment % Change	Overall % Change
Arrested (Once or More)	# Clients	-92%	-88%	-89%
	Average # arrests	45%	-33%	0%
Incarcerated	# Clients	-86%	-50%	-68%
	Average # days	-79%	-67%	-72%
Employed (Full or Part Time)	# Clients	-64%	267%	-43%
Alcohol Abstinent	# Clients	0%	22%	11%
Drug Use Abstinent	# Clients	-34%	145%	26%
Serious Family Conflict	# Clients	-88%	-17%	-68%
Participated in Recovery Network	# Clients	-63%	288%	59%

During FY 2020-21, 42.5% of clients who were homeless at intake gained independent or dependent living upon discharge from treatment.



**106** people were  
homeless at intake



**79** people were in  
dependent living at  
intake



**92** people were in  
independent living at  
intake

**Of those, at discharge:**

**12.3%** independent living  
**30.2%** dependent living  
**53.8%** homeless  
**3.8%** unable to locate

**Of those, at discharge:**

**12.7%** independent living  
**48.1%** dependent living  
**6.3%** homeless  
**32.9%** unable to locate

**Of those, at discharge:**

**42.4%** independent living  
**6.5%** dependent living  
**6.5%** homeless  
**44.6%** unable to locate

## **Motivation and Engagement at Intake and During Treatment**

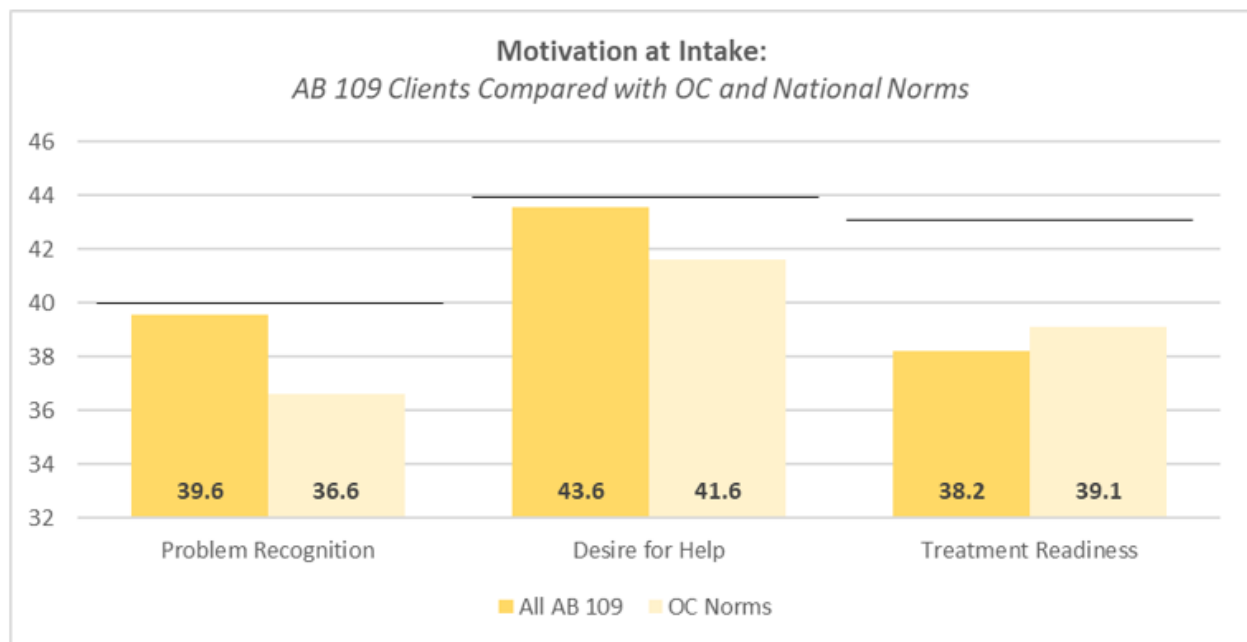
Between July 2020 and May 2021<sup>7</sup>, 21 AB 109 SUD clients were asked about their motivation to complete and likelihood to engage in treatment. Overall, results show that AB 109 clients at intake (depicted as darker yellow columns in the figure below) had similar or lower motivation than clients receiving SUD treatment nationwide (depicted as black bars in the figure below).<sup>8</sup> However, AB 109 clients had higher motivations scores

<sup>6</sup> Source: CalOMS database from the HCA.

<sup>7</sup> Due to COVID-19, there was a disruption in survey intake and processing.

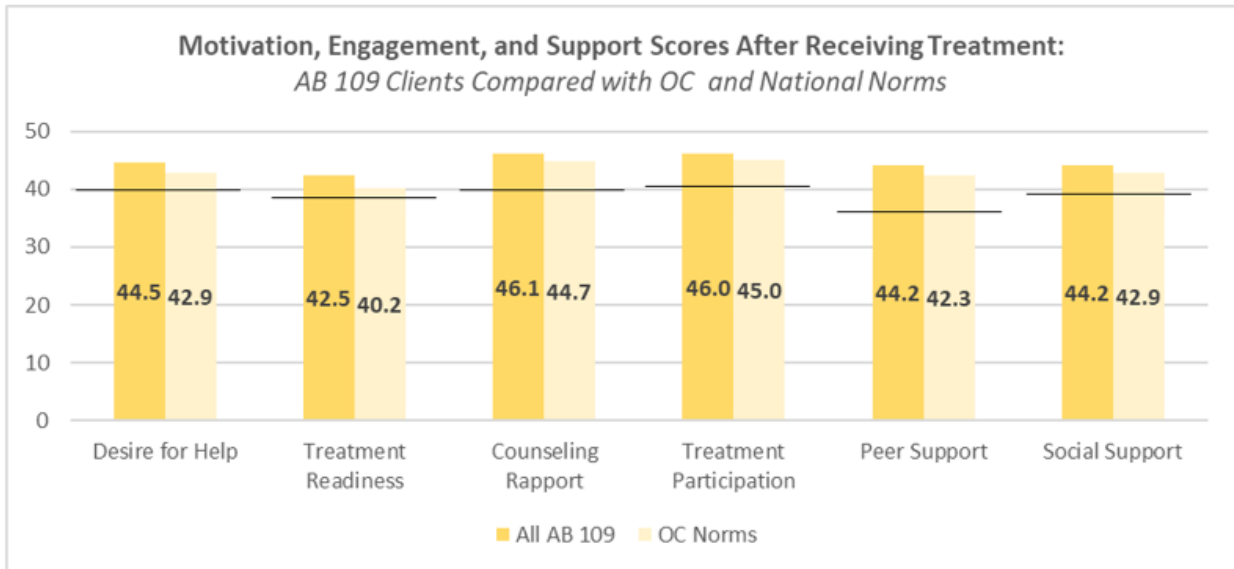
<sup>8</sup> National norms – Problem Recognition (40), Desire for Help (44), and Treatment Readiness (43).

than the average Orange County SUD client (depicted as pale yellow columns in the figure below), with the exception for the scale measuring treatment readiness.



After receiving treatment, AB 109 SUD clients (depicted as darker yellow columns in the figure below), on average, scored higher on all scales compared to the SUD clients in the County (depicted as pale yellow columns in the figure below) and nationwide (depicted as black bars in the figure below).<sup>9</sup> Specifically, AB 109 SUD clients had higher levels of motivation, treatment engagement, and support. For all six subscales measured, AB 109 clients scored higher than the total of clients across the County. Furthermore, both AB 109 clients and Orange County clients well exceeded national norms for all six subscales measuring motivation, treatment engagement, and support. This suggests AB 109 clients respond well to treatment compared to substance use clients across the County and nationwide.

<sup>9</sup> National norms – Desire for Help (39.9), Treatment Readiness (38.8), Counseling Rapport (40), Treatment Participation (40.9), Peer Support (36.7), and Social Support (39.5).



## **Mental Health Program: Updates and Outcomes**

### **County-Operated Adult and Older Adult Behavioral Health Clinic**

AB 109 clients with serious mental illness are linked to the County-operated Adult and Older Adult Behavioral Health (AOABH) AB 109 outpatient clinic in Santa Ana for mental health services, including assessment, case management, counseling and therapy, and medication support. The AOABH AB 109 treatment team continued to explore and implement different clinical tools to improve engagement and client care. Bi-weekly treatment team meetings have continued to ensure consistent communication and care coordination for AB 109 clients. Additionally, the program has increased coordination with AOABH Housing and Supportive Services to improve linkage of MH AB 109 clients who are experiencing homelessness to a MH shelter bed and increase access to housing opportunities. During the COVID-19 pandemic, AOABH AB 109 clinics remained open to provide essential services, including initial intakes, psychiatric assessments, crisis services and case management, and see clients who do not have access to a phone. Other services were provided telephonically or via telehealth, when possible, to promote safety and social distancing.

Below are life functioning outcomes for 73 AB 109 clients who received mental health services at the AOABH AB 109 clinic in Santa Ana during FY 2020-21. There were significant reductions in incarceration days (95% decrease) and psychiatric hospitalization days (90% decrease). Fewer AB 109 MH clients experienced homelessness while enrolled in the program with less number of days spent in homelessness (59% decrease). Engagement in a structured role improved with treatment with a 382% increase in days spent in a vocational or educational activity.

Life Functioning Outcomes of MH Clients – AB 109 Santa Ana Clinic <sup>10</sup>				
Outcomes		12 Months Prior to Enrollment	FY 2020-21	% Change
Psychiatric Hospitalizations	# Clients	8	6	-25%
	# Days	387	38	-90%
Incarcerations	# Clients	69	22	-68%
	# Days	14,559	720	-95%
Homelessness	# Clients	35	25	-29%
	# Days	4,400	1,801	-59%
Structured Role (Vocational or Educational)	# Clients	8	25	213%
	# Days	732	3,528	382%

### County-Contracted Program: Opportunity Knocks

Opportunity Knocks is a Full Service Partnership (FSP) program that provides intensive outpatient services to adults who have a serious mental illness and are homeless or at risk of homelessness and involved in the criminal justice system. Services include assessment, case management, counseling and therapy, 24/7 on-call response, medication support, skill-developing groups, educational and vocational support, housing support, benefits acquisition, as well as linkage to primary care and other community resources.

The program has a multi-disciplinary team which includes a psychiatrist, nurse practitioner, licensed psychiatric technician, personal service coordinators, outreach & engagement specialist, education & employment specialist, benefits specialist, housing specialist, and peer support staff. Opportunity Knocks FSP follows the Assertive Community Treatment (ACT) model of providing comprehensive, community-based interventions, linguistically and culturally competent services that promote well-being and resilience in those living with serious mental illness.

This fiscal year, in addition to continuing to coordinate with HCA AB 109 screeners, probation officers, jail and case managers to increase enrollment in the program, Opportunity Knocks focused on supporting members in completing AB 109 probation and sustaining the progress that they have made while enrolled in AB 109. The program coordinated and worked conjointly with residential treatment services and outpatient substance use disorder treatment in order to address additional co-occurring needs of our AB 109 clients. With the combined efforts of working with various community agencies and the services provided at Opportunity Knocks, four clients successfully completed AB 109 and are continuing services to build on their foundation and work towards graduation.

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<sup>10</sup> Source: MS Access database HCA.

Below are life functioning outcomes for 44 AB 109 clients who participated in the Opportunity Knocks FSP program during FY 2020-21. Over the course of treatment, there were significant reductions in psychiatric hospitalization days (69% decrease) and incarceration days (85% decrease). Fewer AB 109 FSP clients experienced homelessness while enrolled in the program with significantly less days spent in homelessness (69% decrease). Engagement in a structured role improved with treatment participation, with a 20% increase in days spent in a vocational or educational activity. With limited community resources and closures due to the COVID-19 pandemic, sustaining progress was challenging. Clients were unable to obtain structured roles in the community whether it was through employment or school due to shut downs and limited opportunities. Many shelters closed during COVID-19 pandemic and many housing options and vendors were limited in accepting new residents due to outbreaks and quarantine needs. Despite the challenges of the pandemic, AB 109 clients showed tremendous resolve and were able to stay engaged in their treatment with the collaborative and comprehensive support of the program.

Life Functioning Outcomes of MH Clients – AB 109 Opportunity Knocks FSP <sup>11</sup>				
Outcomes		12 Months Prior to Enrollment	FY 2020-21	% Change
Psychiatric Hospitalizations	# Clients	15	2	-87%
	# Days	324	99	-69%
Incarcerations	# Clients	37	14	-62%
	# Days	7,773	1,177	-85%
Homelessness	# Clients	23	9	-61%
	# Days	3,421	1,075	-69%
Structured Role (Vocational or Educational)	# Clients	3	3	0%
	# Days	448	536	20%

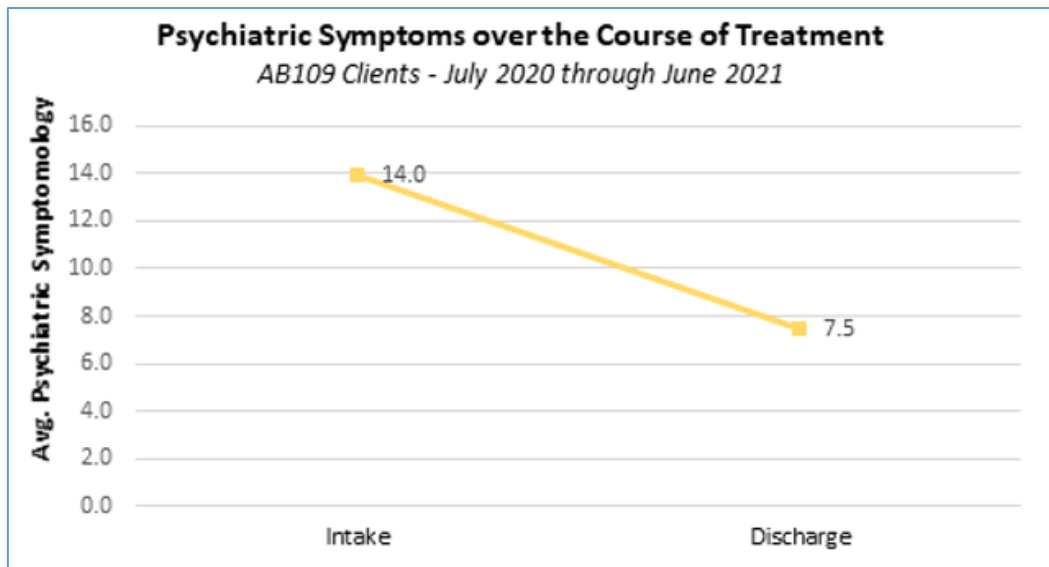
### **Additional Outcomes: Both SUD and MH Clients**

AB 109 clients admitted to residential treatment, outpatient SUD and mental health services were also asked a series of questions regarding their psychiatric symptomology (i.e., how often they experienced certain psychological or emotional difficulties) at intake and during treatment.<sup>12</sup> Overall, AB 109 clients experienced less psychiatric symptoms at discharge compared to intake (see graph below).

<sup>11</sup> Source: Caminar database HCA.

<sup>12</sup> Source: Modified Colorado Symptom Inventory (Conrad, J.J., et al, 2001).

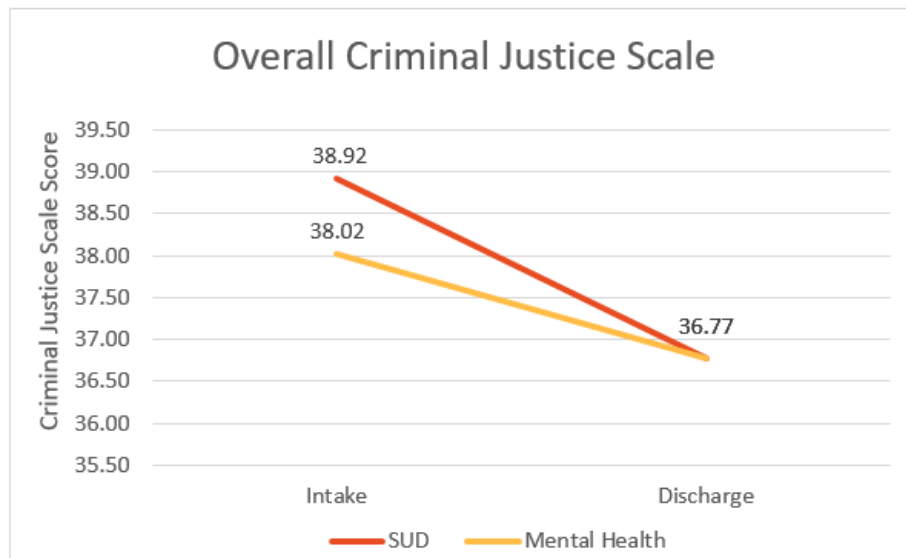




During FY 2020-21, AB 109 clients (n=350) also completed the Self-Harm Inventory (SHI) at intake and during treatment. The SHI examines how frequently clients participate in self-harming behaviors. AB 109 clients overall showed a large reduction in self-harm behaviors with treatment. There was an 82% reduction in self-harm behaviors for AB 109 Mental Health clients and 73% reduction in self-harm behaviors for AB 109 SUD clients at discharge.

Self-Harm Inventory July 2020 to June 2021				
Questions		Mental Health % Change	SUD % Change	Overall Change
Overdosed	# Clients	-82%	-69%	-75.5%
	# Overdosed	-100%	-93%	-96%
Caused physical harm to self	# Clients	-82%	-69%	-75.5%
	# Harm	-100%	-98%	-98.5%
Misused alcohol	# Clients	-82%	-68%	-75%
	# Alcohol	-100	-96	-98%
Misused prescription drugs	# Clients	-82%	-96%	-89%
	# Prescription	-100%	-96%	-98%
Misused illicit (or illegal) drugs	# Clients	-82%	-68%	-75%
	# Illicit Drugs	-85%	-98%	-91%
Engaged in emotionally, physically, or sexually abusive relationships	# Clients	-82%	-69%	-75.5%
	# Abusive Relations	-100%	-96%	-98.5%
Overall Self-Harm Behavior	Overall	-82%	-73%	-77.5%

Additionally, AB 109 clients also completed the Criminal Justice Scale (CJS), which examines hostility and risk-taking behaviors. During FY 2020-21, AB 109 clients (n=347) completed the CJS at intake and during treatment. Both AB 109 SUD and AB 109 Mental Health clients showed a reduction in hostility and risk-taking behaviors at discharge compared to intake (see graph below).



\*Source: Criminal Justice Scale July 2020 - June 2021.

## ORANGE COUNTY COURTS

The Court has responsibility for PCS, MS, and Parole Revocation Hearings. Pursuant to California Rules of Court 4.541 and upon receipt of a petition for revocation of supervision from the supervising agency or a request for warrant, the Court accepts and files the matter for action. The Court prescribes the hearing dates and times within the required period, unless time is waived, or the Court finds good cause to continue the matter. The Court provides a hearing officer, courtroom facility, interpreter services and the means to produce a record and complies with reporting requirements to local and state agencies as defined.

## COUNTY & COMMUNITY PARTNER ORGANIZATIONS

In addition to the programs and services described, other County and community partners provide supportive services that include housing assistance, workforce preparation, and basic needs and support services.

## **Orange County Community Resources Department**

Within the Orange County Community Resources (OCCR) Department, the OC Community Services and the OC Housing & Homeless Services Divisions focus on linking eligible individuals to safe, affordable housing and shelters and provides comprehensive employment assistance and development services with the goal to help them achieve self-sufficiency.

## **Social Services Agency**

A significant responsibility of the Social Services Agency (SSA) is to determine the eligibility of individuals for Public Assistance Programs, such as CalFresh and Medi-Cal, to facilitate stability and self-sufficiency. In addition, SSA processes all reinstatements of benefits and continues to foster collaborations between programs and outreach efforts.

## **Orange County Re-Entry Partnership**

The Orange County Re-Entry Partnership (OCREP) is a collaboration with state, county and community-based organizations to promote a system of care. Linkages are provided to public, community and faith-based agencies and advocates as resources to help individuals who were formerly incarcerated to reintegrated back into the community. Additional information can be viewed on their website at: <http://ocreenty.org>.

## FY 2021-22 Community Corrections Partnership Survey PART A

Part A of the Fiscal Year (FY) 2021-22 Community Corrections Partnership (CCP) Survey collects information about CCP Membership and implementation of the county's CCP plan. For detailed guidance on how to complete Part A of the CCP Survey, please refer to the [FY 2021-22 CCP Survey Data Reporting Guide](#).

Part A is divided into five (5) sections:

- Section 1: Respondent Information
- Section 2: CCP Membership
- Section 3: Goals, Objectives, and Outcome Measures
- Section 4: Types of Programming and Services
- Section 5: Optional Questions

Responses to the CCP Survey shall represent the collective views of the CCP and not a single agency or individual.

### SECTION 1: RESPONDENT INFORMATION

Section 1 asks questions related to the county for which survey responses are provided, the individual who is completing the survey, and who BSCC may contact for follow-up questions. There are three (3) questions in this section.

1. Please identify the county name for which this survey is being submitted: Orange County
2. Provide the contact information for the individual completing this survey in the spaces provided to the right of the list.

Survey Respondent Contact Information	
Name:	Dat Thomas
Organization:	County of Orange / County Executive Office / Budget
Email Address:	dat.thomas@ocgov.com
Phone Number:	714-834-2320

3. Identify the individual who may be contacted for follow up questions. Check the appropriate box to the left of the list.

- ☒ Same as above  
☐ Other (If "Other" is selected, provide contact information below)

Survey Follow-up Contact Information	
Name:	
Organization:	
Email Address:	

Phone Number: 

## SECTION 2: CCP MEMBERSHIP

Section 2 asks questions related to the CCP composition and meeting frequency. There are four (4) questions in this section.

4. CCP membership roles: Provide the name and organization of each individual fulfilling a membership role as of October 1, 2021 in the spaces to the right of each membership role.
- If a public membership role does not exist in the county, respond by indicating “not applicable.” This should only be used if the county does not have the specific position listed.
  - If a position exists in the county but the membership role is not filled in the CCP, respond by indicating “vacant.”
  - For county positions, one person may fill multiple roles.

Role	Name	Organization
Chief Probation Officer	Steve Sentman	County Probation Dept.
Presiding Judge of the Superior Court or designee	Erick L. Larsh	Superior Court of California
County Supervisor or Chief Administrative Officer or a designee of the Board of Supervisors	Doug Chaffee	Board of Supervisors, 4 <sup>th</sup> District
District Attorney	Todd Spitzer	County District Attorney Office
Public Defender	Martin Schwarz	County Public Defender Office
Sheriff	Don Barnes	County Sheriff's Dept.
Chief of Police	Tom DaRe	Garden Grove Police Dept
Head of the County Department of Social Services	Debra Baetz	Social Services Agency
Head of the County Department of Mental Health	Jeff Nagel	Health Care Agency
Head of the County Department of Employment	Carma Lacy	Orange County Community Resources
Head of the County Alcohol and Substance Abuse Programs	Jeff Nagel	Health Care Agency
Head of the County Office of Education	vacant	County Office of Education
A representative from a community-based organization with experience in successfully providing rehabilitative services	Meghan Medlin	Medlin Workforce & Reentry Solutions, LLC

to persons who have been convicted of a criminal offense		
An individual who represents the interests of victims	Ronnetta Johnson	Waymakers

5. How often does the CCP meet? Check the appropriate box to the left of the list. Select the **one/single** option that best describes the CCP's **regular** meeting schedule.

- ☐ Bi-weekly (every other week)  
☐ Monthly  
☐ Bi-monthly (every other month)  
☒ Quarterly  
☐ Semi-annually  
☐ Annually  
☐ Other (please specify below)

6. How often does the Executive Committee of the CCP meet? Check the appropriate box to the left of the list. Select the **one/single** option that best describes the Executive Committee's **regular** meeting schedule.

- ☐ Bi-weekly (every other week)  
☐ Monthly  
☐ Bi-monthly (every other month)  
☒ Quarterly  
☐ Semi-annually  
☐ Annually  
☐ Other (please specify below)

7. Does the CCP have subcommittees or working groups? Check the appropriate box to the left of the list.

- ☒ Yes  
☐ No

If "Yes," list the subcommittees and/or working groups and their purpose.

	Subcommittee/Working Group	Purpose:
1.	AB 109 Working Group	The working group meets on a quarterly basis to discuss issues related to the needs of the AB 109 population. The working group's purpose is to facilitate goal development and measure accomplishments, create subgroups when appropriate, identify and troubleshoot areas of challenge, and share best practices.

2.	AB 109 Subgroup	A subgroup of the AB 109 Working Group developed to review and recommend AB 109 projects for the CCP consideration. The subgroup meets on an as needed basis when new projects for funding is requested.
3.		
4.		
5.		

### SECTION 3: GOALS, OBJECTIVES, AND OUTCOME MEASURES

Section 3 asks questions related to the CCP's goals, objectives, and outcome measures. Please refer to the [CCP Survey Data Reporting Guide](#) for detailed information about goal and objective statements, and outcome measures.

#### Updated Information on FY 2020-21 Goals, Objectives, and Outcome Measures

Questions 8, 9, and 10, asks the CCP to provide *updated* progress information about the goals, objectives, and outcome measures previously reported for FY 2020-21 in the 2020-21 CCP Survey. To view responses provided in the 2020-21 survey, [click here](#).

For each question, provide the goals, objectives, and outcome measures as reported in the FY 2020-21 survey. The progress information (last two rows of each table) should be updated to reflect the progress achieved over the full fiscal year.

8. Describe a goal and the associated objectives as reported in the FY 2020-21 CCP survey. Please provide updated progress toward goal information to reflect the progress achieved over the full FY 2020-21. If no goal, objective, or outcome measure was identified in FY 2020-21, respond by indicating "Not Applicable."

<b>Goal</b>	Improve public safety outcomes and utilize best practices in reducing recidivism. (Probation and District Attorney)
<b>Part of FY 20-21 CCP plan?</b>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
Objective:	Leverage technology to continue to deliver the needed programming, counseling and other services to Day Reporting Center clients who are unable to attend in person. (Probation)
Objective:	Work with County Probation Department and other law enforcement agencies to identify AB 109 participants who are in need of County assistance, sober living, intervention, mental health assistance or who are in need of law enforcement intervention due to repeated criminal activity. (District Attorney)
Objective:	Hold AB 109 participants accountable when they violate the terms of their release or commit new crimes. (District Attorney)
Outcome Measure:	Number of telephone interviews, groups; number of video conferencing; and other social media methods of communication. (Probation)
Outcome Measure:	The number of AB 109 career criminal participants who were brought to justice by the Orange County AB 109 Task Force impacted both individual community members who were victimized by AB 109 related crime, and lowered the overall county crime rate



	improving the quality of life throughout Orange County. (District Attorney - AB 109 Task Force)
Outcome Measure:	
Briefly describe progress toward goal:	<p>Objective 1: Leverage technology...(Probation): In March 2020, the Day Reporting Centers (DRCs) closed for in-person services and transitioned to conducting all services (i.e., individual sessions, cognitive behavioral curriculum, substance abuse counseling, Moral Reconciliation Therapy groups, parenting classes, etc.) via telephone. From July 1, 2020 through May 9, 2021, there were 8,543 sessions conducted mostly by telephone. Additionally, there were 622 sessions conducted by telephone and in-person from May 9, 2021 through June 30, 2021.</p> <p>Objective 3: Hold AB 109 participants...(District Attorney/AB 109 Task Force): During FY 2020-21, the Orange County AB 109 Task Force made 255 arrests. 74 of the arrests were subjects participating in the PCS program. Included in the arrests, the Orange County AB 109 Task Force either arrested or assisted in the arrest of 3 murder suspects, 2 attempted murder suspects, 7 robbery suspects, 1 rape suspect, 7 suspects in possession of stolen vehicles, 4 assault with a deadly weapon suspects, 2 burglary suspects, and 1 suspect in possession of child pornography. In addition, the AB 109 Task Force was responsible for seizing over 1,800 grams of narcotics (i.e., heroin, methamphetamine, and fentanyl). The AB 109 Task Force also seized 3,000 oxycodone, 1,000 fentanyl and 500 prescription pills, and assisted in the seizure of over 4,000 lbs of illegal fireworks. Even more impressive the reader should understand the above statistics were accomplished right in the middle of the worldwide COVID-19 pandemic.</p>
Rated progress toward the goal:	<input type="checkbox"/> No progress <input type="checkbox"/> Partially achieved <input checked="" type="checkbox"/> Fully achieved

9. Describe a goal and the associated objectives as reported in the FY 2020-21 CCP survey. Please provide updated progress toward goal information to reflect the progress achieved over the full FY 2020-21. If no goal, objective, or outcome measure was identified in FY 2020-21, respond by indicating "Not Applicable."

<b>Goal</b>	Work with County Probation and other law enforcement agencies to identify AB 109 participants responsible for impacting major crime patterns within Orange County, utilizing technology and intelligence-based investigative techniques. (District Attorney)
<b>Part of FY 20-21 CCP plan?</b>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
Objective:	Utilize Countywide crime data to identify emerging crime patterns and develop collaborative relationships with local law enforcement investigative and intelligence units in an effort to identify and arrest AB 109 participants responsible for major crime patterns.
Objective:	Increase the Orange County AB 109 Task Force ability to communicate and network with Orange County law enforcement agencies in an effort to reduce AB 109 participants ability to commit crimes in various jurisdictions within the County.
Objective:	
Outcome Measure:	The number of collaborative investigations between the AB 109 Task Force and local law enforcement where AB 109 career criminals are brought to justice. (District Attorney - AB 109 Task Force)
Outcome Measure:	
Outcome Measure:	
Briefly describe progress toward goal:	The AB 109 Task Force is now the central distribution point for all Countywide BOLO/wanted law enforcement flyers. AB 109 Task Force members regularly meet with various Orange County law enforcement officials where crime data and intelligence is shared. The increase in the exchange of information has resulted in the apprehension of suspects responsible for murder, attempted murder, armed robbery, assault with a deadly weapon, possession of stolen vehicles and various narcotics arrests.
Rated progress toward the goal:	<input type="checkbox"/> No progress <input type="checkbox"/> Partially achieved <input checked="" type="checkbox"/> Fully achieved

**10.** Describe a goal and the associated objectives as reported in the FY 2020-21 CCP survey. Please provide updated progress toward goal information to reflect the progress achieved over the full fiscal year. If no goal, objective, or outcome measure was identified in FY 2020-21, respond by indicating "Not Applicable."

<b>Goal</b>	Increase linkage to appropriate reentry and behavioral health services upon release from incarceration. (Health Care Agency)
<b>Part of FY 20-21 CCP plan?</b>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
Objective:	Reevaluate and expand the coordinated reentry system.
Objective:	Train behavioral health and correctional health staff on best practices for reentry and successful transition of individuals with behavioral health disorders.
Objective:	Increase linkage to reentry and behavioral health services.
Outcome Measure:	Expansion of the coordinated reentry system.
Outcome Measure:	Number of behavioral health and correctional health staff trained on best practices for reentry and successful transition of individuals with behavioral health disorders.
Outcome Measure:	Number of individuals linked to reentry and behavioral health services.
Briefly describe progress toward goal:	During FY 2020-21, services at the Community Support and Recovery Center were extended. Additionally, HCA was able to expand housing supports, peer navigation services and substance use services to better serve the reentry population with behavioral health issues. HCA also developed a training that focused on best practices for successful transition of reentry population with behavioral health disorders and trained 102 behavioral health and correctional health staff. Overall, there was an increase in linkage to behavioral health services upon release. Linkage to Open Access increased to 24%. Linkage to Opportunity Knocks increased to 41%. TAO Central, with its first full year of having staff to provide in reach and facilitate linkage, had a linkage rate of 56%.
Rated progress toward the goal:	<input type="checkbox"/> No progress <input type="checkbox"/> Partially achieved <input checked="" type="checkbox"/> Fully achieved

**10a.** (Additional Goal) Describe a goal and the associated objectives as reported in the FY 2020-21 CCP survey. Please provide updated progress toward goal information to reflect the progress achieved over the full fiscal year. If no goal, objective, or outcome measure was identified in FY 2020-21, respond by indicating "Not Applicable."

<b>Goal</b>	Increase access to housing opportunities and support for justice involved individuals who report a behavioral health issue. (Health Care Agency)
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<b>Part of FY 20-21 CCP plan?</b>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
Objective:	Increase linkage to the Bridge Housing Program for individuals coming out of jail who report a behavioral health issue and are experiencing homelessness.
Objective:	Increase collaboration between housing specialists and Bridge Housing Program staff to support individuals served to secure permanent housing.
Objective:	
Outcome Measure:	Number of Prop 47 individuals linked to the Bridge Housing Program.
Outcome Measure:	Number of permanent housing placements for individuals participating in the Bridge Housing Program.
Outcome Measure:	
Briefly describe progress toward goal:	The Bridge Housing Program is a newer program that supports Proposition 47 individuals coming out of jail who report behavioral health issue and are experiencing homelessness. Due to increased needs, the program increased the number of dedicated beds from 15 to 21. The Bridge Housing Program and Project Kinship staff meet regularly to discuss eligibility and referral process and coordinate for housing. During FY 2020-21, the program served 23 individuals and 7 of the 10 discharges were placed in permanent housing.
Rated progress toward the goal:	<input type="checkbox"/> No progress <input type="checkbox"/> Partially achieved <input checked="" type="checkbox"/> Fully achieved

**10.b** (Additional Goal) Describe a goal and the associated objectives as reported in the FY 2020-21 CCP survey. Please provide updated progress toward goal information to reflect the progress achieved over the full fiscal year. If no goal, objective, or outcome measure was identified in FY 2020-21, respond by indicating "Not Applicable."

<b>Goal</b>	
<b>Part of FY 20-21 CCP plan?</b>	<input type="checkbox"/> Yes <input type="checkbox"/> No
Objective:	
Objective:	
Objective:	
Outcome Measure:	
Outcome Measure:	
Outcome Measure:	

Briefly describe progress toward goal:	
Rated progress toward the goal:	<input type="checkbox"/> No progress <input type="checkbox"/> Partially achieved <input type="checkbox"/> Fully achieved

### Information on FY 2021-22 Goals, Objectives, and Outcome Measures

**11.** For FY 2021-22, will the CCP use the same goals, objectives, and outcome measures identified above from FY 2020-21? Check the appropriate box to the left of the list.

- ☐ Yes. (Skip to Section 4)  
☒ No. The CCP will add and/or modify goals, objectives, and outcome measures (Continue with section below)

Questions 12, 13, and 14, the CCP is asked to describe a goal and its associated objectives and outcomes for FY 2021-22. For the goal, also provide information about the current progress toward the stated goal. As survey responses are due mid-year, progress information for these goals over the full fiscal year will be requested as part of the FY 2022-23 CCP Survey.

**12.** Describe a goal for FY 2021-22 and one (1) or more of its associated objectives and outcome measures. Please provide any information about progress toward the goal thus far in the fiscal year. If no goal, objective, or outcome measure was identified in FY 2021-22, respond by indicating "Not Applicable."

<b>Goal</b>	Increase access to housing opportunities and support for justice-involved individuals who report a behavioral health issue. (Health Care Agency)
<b>Part of FY 21-22 CCP plan?</b>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
Objective:	Increase linkage to the Homeless Bridge Housing Program for individuals coming out of jail who report a behavioral health issue and are experiencing homelessness.
Objective:	Increase number of housing applications into the Homeless Management Information System (HMIS)/Coordinated Entry System.
Objective:	

Outcome Measure:	Number of linkage to the Homeless Bridge Housing Program for individuals coming out of jail who report a behavioral health issue and are experiencing homelessness.
Outcome Measure:	Number of housing applications for individuals into the Homeless Management Information System/Coordinated Entry System.
Outcome Measure:	
Briefly describe <i>current</i> progress toward goal:	From July-October 2021, the Homeless Bridge Housing program served 31 individuals. All 31 individuals were enrolled in HMIS. Three have been placed in permanent housing.
Rate the <i>current</i> progress toward the goal:	<input type="checkbox"/> Substantially slower than expected <input type="checkbox"/> Somewhat slower than expected <input checked="" type="checkbox"/> As expected <input type="checkbox"/> Faster than expected <input type="checkbox"/> Substantially faster than expected

13. Describe a goal for FY 2021-22 and one or more of its associated objectives and outcome measures. Please provide any information about progress toward the goal thus far in the fiscal year. If no goal, objective, or outcome measure was identified in FY 2021-22, respond by indicating "Not Applicable."

<b>Goal</b>	Increase linkage to appropriate behavioral health services upon release from incarceration. (Health Care Agency)
<b>Part of FY 21-22 CCP plan?</b>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
Objective:	Identify behavioral health staff to provide in reach and facilitate linkage to County behavioral health services.
Objective:	Increase the number of individuals to link to County behavioral health services upon release.
Objective:	
Outcome Measure:	Number of behavioral health staff to provide in reach and facilitate linkage to county behavioral health services.
Outcome Measure:	Number of individuals to link to county behavioral health services.
Outcome Measure:	
Briefly describe <i>current</i> progress toward goal:	Two behavioral health staff have been identified and will begin providing in reach and facilitating linkage to county behavioral health services.

Rate the <i>current</i> progress toward the goal:	<input type="checkbox"/> Substantially slower than expected <input checked="" type="checkbox"/> Somewhat slower than expected <input type="checkbox"/> As expected <input type="checkbox"/> Faster than expected <input type="checkbox"/> Substantially faster than expected
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14. Describe a goal for FY 2021-22 and one or more of its associated objectives and outcome measures. Please provide any information about progress toward the goal thus far in the fiscal year. If no goal, objective, or outcome measure was identified in FY 2021-22, respond by indicating "Not Applicable."

<b>Goal</b>	Improve public safety outcomes and utilize best practices in reducing recidivism. (Probation)
<b>Part of FY 21-22 CCP plan?</b>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
Objective:	Expand random drug testing to five additional Adult Supervision Units in the Probation Department.
Objective:	
Objective:	
Outcome Measure:	Comparison of positive rates of drug tests collected by random drug testing versus in-house testing.
Outcome Measure:	
Outcome Measure:	
Briefly describe <i>current</i> progress toward goal:	The Probation Department completed a cost estimate to expand random drug testing to five additional Adult Supervision Units per year. The Department is preparing a contract modification for Redwood Labs for Board approval in January 2022.
Rate the <i>current</i> progress toward the goal:	<input type="checkbox"/> Substantially slower than expected <input type="checkbox"/> Somewhat slower than expected <input checked="" type="checkbox"/> As expected <input type="checkbox"/> Faster than expected <input type="checkbox"/> Substantially faster than expected

- 14.a (Additional Goal) Describe a goal for FY 2021-22 and one or more of its associated objectives and outcome measures. Please provide any information about progress toward the goal thus far in the fiscal year. If no goal, objective, or outcome measure was identified in FY 2021-22, respond by indicating "Not Applicable."

<b>Goal</b>	Improve public safety and reduce recidivism. (District Attorney)
<b>Part of FY 21-22 CCP plan?</b>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
Objective:	Increase communication with county partners to track services available to AB 109 participants.



Objective:	Hold AB109 participants accountable in court when they violate the terms of their supervision or when they commit new crimes.
Objective:	
Outcome Measure:	Number of violations filed and new crime filings.
Outcome Measure:	
Outcome Measure:	
Briefly describe <i>current</i> progress toward goal:	In the third quarter of 2021, the OCDA filed 218 new criminal filings against AB 109 participants.
Rate the <i>current</i> progress toward the goal:	<input type="checkbox"/> Substantially slower than expected <input type="checkbox"/> Somewhat slower than expected <input checked="" type="checkbox"/> As expected <input type="checkbox"/> Faster than expected <input type="checkbox"/> Substantially faster than expected

**14.b** (Additional Goal) Describe a goal for FY 2021-22 and one or more of its associated objectives and outcome measures. Please provide any information about progress toward the goal thus far in the fiscal year. If no goal, objective, or outcome measure was identified in FY 2021-22, respond by indicating "Not Applicable."

<b>Goal</b>	Work with probation and law enforcement partners to identify and prosecute AB 109 participants responsible for major crime trends. (District Attorney)
<b>Part of FY 21-22 CCP plan?</b>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
Objective:	Increase communication with law enforcement partners.
Objective:	Utilize data and technology to identify and apprehend AB 109 participants committing new crimes.
Objective:	
Outcome Measure:	The AB 109 task force arrest numbers.
Outcome Measure:	
Outcome Measure:	
Briefly describe <i>current</i> progress toward goal:	In the third quarter of 2021, the AB 109 task force tallied 61 arrests.
Rate the <i>current</i> progress toward the goal:	<input type="checkbox"/> Substantially slower than expected <input type="checkbox"/> Somewhat slower than expected <input checked="" type="checkbox"/> As expected <input type="checkbox"/> Faster than expected <input type="checkbox"/> Substantially faster than expected



## SECTION 4: TYPES OF PROGRAMMING AND SERVICES

Section 4 asks questions about the types of programs and services provided during FY 2020-21. For each type of program or service provided, identify the agency(ies) that provide the program or service and at what stage(s) the program or service is provided (in-custody, supervision, other). Please refer to the [CCP Survey Data Reporting Guide](#) for the BSCC's definition of each type of program and service listed and the stage(s) of program or service.

Program/Service	Provide	Providing Agency (check all that apply)	Stage(s) Provided (check all that apply)
<b>Mental Health/Behavioral Health</b> – Services designed to improve mental health.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Sheriff <input type="checkbox"/> Probation <input checked="" type="checkbox"/> Behavioral health <input checked="" type="checkbox"/> Community-based organization <input checked="" type="checkbox"/> Other, describe: >Correctional Health; DPOs provide referrals / linkages to clients for Day Reporting Centers (DRCs) and Behavioral Health	<input checked="" type="checkbox"/> In-Custody <input checked="" type="checkbox"/> Supervision <input type="checkbox"/> Other, describe: >
<b>Substance Use</b> – services designed to assist with substance use.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Sheriff <input type="checkbox"/> Probation <input checked="" type="checkbox"/> Behavioral health <input checked="" type="checkbox"/> Community-based organization <input checked="" type="checkbox"/> Other, describe: >Correctional Health; DPOs provide referrals / linkages to clients for DRC and Behavioral Health substance use counseling and treatment services	<input checked="" type="checkbox"/> In-Custody <input checked="" type="checkbox"/> Supervision <input type="checkbox"/> Other, describe: >
<b>Housing</b> – services designed to assist with housing after release.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Sheriff <input type="checkbox"/> Probation <input type="checkbox"/> Behavioral health <input checked="" type="checkbox"/> Community-based organization <input checked="" type="checkbox"/> Other, describe: >There are 3 DPOs who function as Homeless Reentry Services	<input type="checkbox"/> In-Custody <input checked="" type="checkbox"/> Supervision <input type="checkbox"/> Other, describe: >

Program/Service	Provide	Providing Agency (check all that apply)	Stage(s) Provided (check all that apply)
		Liaisons / Systems Navigator	
<b>Employment</b> – services designed to provide clients with a job and/or to provide job training to improve chances of finding employment after release.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Sheriff <input type="checkbox"/> Probation <input type="checkbox"/> Behavioral health <input type="checkbox"/> Community-based organization <input checked="" type="checkbox"/> Other, describe: >DPOs provide referrals / linkages to clients for DRC Employment Services	<input type="checkbox"/> In-Custody <input checked="" type="checkbox"/> Supervision <input type="checkbox"/> Other, describe: >
<b>Education</b> – focuses on academic achievement.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Sheriff <input type="checkbox"/> Probation <input type="checkbox"/> Behavioral health <input type="checkbox"/> Community-based organization <input checked="" type="checkbox"/> Other, describe: >DPOs provide referrals / linkages to clients for DRC Education Services	<input type="checkbox"/> In-Custody <input checked="" type="checkbox"/> Supervision <input type="checkbox"/> Other, describe: >
<b>Family</b> – family-oriented education, service, and training.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Sheriff <input type="checkbox"/> Probation <input type="checkbox"/> Behavioral health <input type="checkbox"/> Community-based organization <input checked="" type="checkbox"/> Other, describe: >DRCs provide referrals / linkages to clients for DRC Parenting classes and family skills training	<input type="checkbox"/> In-Custody <input checked="" type="checkbox"/> Supervision <input type="checkbox"/> Other, describe: >
<b>Domestic Violence Prevention</b> – support and intervention	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Sheriff <input type="checkbox"/> Probation <input type="checkbox"/> Behavioral health <input type="checkbox"/> Community-based organization <input checked="" type="checkbox"/> Other, describe: >DPOs provide referrals / linkages to clients for Batterer's Intervention Program classes & monitor progress	<input type="checkbox"/> In-Custody <input checked="" type="checkbox"/> Supervision <input type="checkbox"/> Other, describe: >

Program/Service	Provide	Providing Agency (check all that apply)	Stage(s) Provided (check all that apply)
<b>Physical Health</b> – services designed to improve clients' physical well-being.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Sheriff <input type="checkbox"/> Probation <input type="checkbox"/> Behavioral health <input type="checkbox"/> Community-based organization <input checked="" type="checkbox"/> Other, describe: >Correctional Health; DPOs provide referrals / linkages to Medi-Cal & Medicare; HCA screeners are present in all Probation area offices	<input checked="" type="checkbox"/> In-Custody <input checked="" type="checkbox"/> Supervision <input type="checkbox"/> Other, describe: >
<b>Quality of Life</b> – Services that enhance the standard of happiness, comfort, and well-being of an individual to participate in life events (e.g., assistance in getting a driver's license, opening a bank account, etc.)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Sheriff <input type="checkbox"/> Probation <input type="checkbox"/> Behavioral health <input type="checkbox"/> Community-based organization <input checked="" type="checkbox"/> Other, describe: >Public Defender; DPOs assist clients obtain no-fee identification cards from DMV	<input type="checkbox"/> In-Custody <input checked="" type="checkbox"/> Supervision <input type="checkbox"/> Other, describe: >

## SECTION 5: OPTIONAL QUESTIONS

Section 5 asks optional questions about evaluation, data collection, programs and services, and local best practices. There are 9 questions in this section. Responses will be used by the BSCC and its justice-system partners to better understand the needs of counties. If the CCP chooses not to answer an optional question, please respond “Decline to Respond.”

- 15.** Describe the process the CCP uses to determine potential programs and/or services for local implementation using Realignment funds.

> Potential programs and/or services are either brought forth by the CCP Executive Members or the AB 109 Working Group through the CCP Coordinator. At the quarterly meetings, the AB 109 Working Group may introduce and vet potential programs and/or services for local implementation utilizing Public Safety Realignment funds. Since the AB 109 Working Group is made up of individuals who are at the forefront of delivering programs and/or services to the AB 109 population, they are well in-tune with their gaps, needs, and challenges. Potential programs and/or services are clearly identified and presented to the CCP Executive Committee for potential funding. Similarly, any CCP Executive Member may identify a need and may direct the CCP Coordinator to return to the AB 109 Working Group to go through their process or, in the case of small requests, may make them directly. All funding and appropriations must also be approved by the Orange County Board of Supervisors as submitted.

- 16.** Does the county evaluate the effectiveness (as defined locally) of programs and/or services funded with its Public Safety Realignment allocation? Check the appropriate box to the left of the list.

☒ Yes  
☐ No

If yes, explain how.

>

1) Orange County HCA BHS provides behavioral health and recovery residence services to persons released from correctional facilities and under the supervision of the Orange County Probation Department. Outcome measure data is collected from service providers.

2) Orange County Probation Department conducts continuous evaluation and monitoring of the Orange County Day Reporting Centers (DRCs), which serves the AB 109 and Probation population. Recidivism outcomes of DRC participants are tracked by DRC site (i.e., Santa Ana and Westminster) as well as by the type of program exit. The Department also regularly evaluates the random drug testing (RDT) program and its effectiveness in reducing the drug use of clients.

- 17.** Does the county consider evaluation results when funding programs and/or services? Check the appropriate box to the left of the list.

☒ Yes  
☐ No

If yes, explain how.

>The Orange County Board of Supervisors are provided with metrics when programs/services and contract renewals come before them for approval. This information is used to ensure that funding is directed to result-oriented programs and/or services.

18. Does the county use [BSCC definitions](#) (average daily population, conviction, length of stay, recidivism, and/or treatment program completion rates) when collecting data? Check the yes or no box to the left of each BSCC Definition listed, as applicable.

Yes	No	BSCC Definition
<input checked="" type="checkbox"/>	<input type="checkbox"/>	Average daily population
<input checked="" type="checkbox"/>	<input type="checkbox"/>	Conviction
<input checked="" type="checkbox"/>	<input type="checkbox"/>	Length of stay
<input checked="" type="checkbox"/>	<input type="checkbox"/>	Recidivism
<input checked="" type="checkbox"/>	<input type="checkbox"/>	Treatment program completion rates

19. What percentage of the Public Safety Realignment allocation is used for evidence-based programming (as defined locally)? Check the most appropriate box to the left of the list of percentages.

Percent for Evidence-Based Programming	
<input checked="" type="checkbox"/>	Less than 20%
<input type="checkbox"/>	21% 40%
<input type="checkbox"/>	41% 60%
<input type="checkbox"/>	61% 80%
<input type="checkbox"/>	81% or higher

20. We would like to better understand the county's capacity to offer mental health, substance use disorder, behavioral health treatment programs, and/or other services. What type and level of services are now available?

>

In Orange County, behavioral health services are offered through Health Care Agency (HCA) Behavioral Health Services (BHS). The OC Links program (1-855-OC LINKS) provides information and linkage to any of the HCA BHS programs via telephone and chat. Callers can be potential participants, family members, friends, law enforcement, other first responders, providers, or anyone seeking behavioral health resources and support. As of January 2021, OC Links expanded to become the single-entry point for BHS, available 24 hours a day, 7 days a week and increased the scope of responsibility to include identifying crisis and assigning cases to the Crisis Assessment Team (CAT) for field response and triaging calls for individuals experiencing homelessness with behavioral health needs and dispatch of BHS Outreach and Engagement (O&E) for field response.

For individuals with serious mental illness, an Open Access program with two locations, four regional County-operated outpatient clinics, Recovery Services programs, Program of Assertive Community Treatment (PACT) programs, and nine Full Service Partnership (FSP) programs that serve adults and older adults. There are also three Crisis Stabilization Units and four Crisis Residential programs. The County has a robust collaborative court program and one FSP designated to work with AB 109 individuals who need intensive outpatient services. Additionally, the HCA BHS has a team of behavioral health clinicians who are also embedded in the four Probation Offices to screen, assess and facilitate linkages for AB 109 clients transitioning from incarceration to the community, including AB 109 individuals with mild to moderate mental health issues.

HCA BHS also offers a continuum of services and supports for justice involved individuals with substance use disorder and co-occurring mental illness. Services include:

- Clinically-Managed Withdrawal Management
- Methadone maintenance and detox
- Residential treatment
- Intensive outpatient treatment
- Outpatient treatment
- Recovery residence services
- Medication assisted treatment

Orange County opted into the State's Drug Medi-Cal Organized Delivery System (DMC-ODS) Waiver in July 2018. Admission into the different DMC-ODS levels of care is based on the American Society of Addiction Medicine (ASAM) criteria and medical necessity. Those with co-occurring issues are linked to appropriate services to address both substance use and mental health challenges.

**21. What challenges does the county face in meeting the above program and service needs?**

>

Housing for justice involved individuals with behavioral health issues continues to be a challenge. There continues to be limited housing resources, particularly for individuals with a criminal history. The ability to provide a quick and appropriate housing placement for justice involved individuals exiting jail is also a challenge. Collaboration between service providers and housing providers and having a clear understanding of different housing programs available can be challenging, especially during the startup of a new program. THCA continues to work to increase housing opportunities, reduce barriers to housing placement and improve collaboration between housing and service providers to support justice involved individuals with behavioral health issues.

Access to Substance Use Disorder residential services shifted during FY 2020-21. The Assessment for Residential Treatment (ART) team became a centralized point of entry for all persons entering into the system to receive residential treatment services. There have been some challenges in meeting the demand of providing entry into residential treatment within a timely basis due to staffing shortage. Adjustments had to be made

to meet the needs of clients and residential treatment providers. Additionally, the HCA's only medically managed withdrawal management service provider terminated their contract due to staffing challenges relating to the COVID-19 pandemic and difficulty in meeting DMC-ODS requirements for credentialing and documentation.

22. What programmatic changes and/or course corrections has the CPP made in the implementation of Public Safety Realignment that it believes other counties would find helpful?

>N/A

23. Describe a local best practice or promising program that has produced positive results. If data exists to support the results, please share.

>

Orange County's HCA is the recipient of the Proposition 47 Grant, Cohort 1 and Cohort 2. The overall goal of Orange County's Proposition 47 grant-related services is to reduce the number of people with mental health and/or substance use disorders incarcerated in county jail by reducing recidivism through intensive case management and linkages to treatment and community supports upon release. Based on the Proposition 47 Cohort 1 final evaluation report conducted by Urban Institute, Orange County's Proposition 47 independent research partner, Project Kinship successfully enrolled 971 individuals (from July 2018 through June 2021). Key findings from the report include the following:

- Orange County made substantial progress toward developing new system components and linking existing components for justice involved individuals in coordinating Proposition 47 grant-related services. Through the Proposition 47 initiative, the county launched an in reach program and created a one-stop hub of reentry services for people released from jail. HCA expanded on existing partnerships across county and community agencies to provide coordinated services to the Proposition 47 target population. Behavioral Health Services, Correctional Health Services, the Orange County Sheriff's Department (OCSD), and Project Kinship worked together to create an assessment and referral process that inspired constant communication and coordinated service provision.
- Findings from logistic regression analyses show that the county's Proposition 47 services significantly decreased recidivism among individuals released from the county jail. Urban Institute researchers matched a group that received services from Project Kinship at the Community Support and Recovery Center after being released from jail (treatment group) to a similar group that did not receive such services (comparison group). Those in the treatment group had significantly lower rates of recidivism than those in the comparison group. This finding was stable across all recidivism outcomes, including one- and two-year measures of arrest, conviction, and incarceration.

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**ATTENTION: This concludes Part A of the FY 2021-22 CCP Survey.**

**Please complete [Part B](#) in Microsoft Excel which consists of two (2) budgetary sections.**

### Optional Highlight or Success Story

In addition, to produce a more comprehensive report on the implementation of realignment, we are asking for a brief, one-page, visually appealing, highlight or success story that provides implementation information related to the county's Public Safety Realignment success. This highlight may include optional graphs, charts, photos, or quotes. Photos of programs in action along with quotes from program participants and/or community partners do not need to provide identifying information. The highlight or success story provided may be published in the *2011 Public Safety Realignment Act: Tenth Annual Report on the Implementation of Community Corrections Partnership Plans*. While every effort will be made to include these in the report, inclusion is not guaranteed. Note: Ensure any individual(s) in the photos have given their consent for use/publication and do not submit any photos that include faces of minors (youth under the age of 18).

### Submission Instructions

In a single email, please attach the following documents to provide a complete CCP Survey package:

1. Completed Part A (Word) document,
2. Completed Part B (Excel) documents,
3. Optional highlight or success story (if being provided), and
4. Updated CCP plan.

The complete CCP Survey package, including all attachments, shall be emailed **by December 15, 2021** to:

Helene Zentner, Field Representative  
Board of State and Community Corrections  
916-838-7777 or [Helene.Zentner@bscc.ca.gov](mailto:Helene.Zentner@bscc.ca.gov)

**Please be aware that a complete CCP Survey package, including an updated CCP plan, MUST be submitted to the BSCC to receive compensation.**

**NOTE:** *The information provided in the CCP Survey package will be made public by the BSCC in the annual report to the Governor's Office and the Legislature on the implementation of Community Corrections Partnership plans on the BSCC website.*



## FY 2021-22 Community Corrections Partnership Survey PART B

Part B of the CCP Survey collects information about the allocation of Public Safety Realignment dollars.

For detailed guidance on how to complete Part B of the CCP Survey package, please refer to the [FY 2021-22 CCP Survey Data Reporting Guide](#).

The first question in this file, question 24, requests the name of the county for which the survey is being submitted.

Following the identification of the county, Part B is divided into two sections:

Section 6: FY 2020-21 Public Safety Realignment Funding Allocation

Section 7: FY 2021-22 Public Safety Realignment Funding Allocation

24. Please identify the county for which this portion of the survey is being submitted:

County Name: **County of Orange**

### SECTION 6: FY 2020-21 Public Safety Realignment Funding Allocation

Section 6 contains questions related to the allocation of FY 2020-21 Public Safety Realignment dollars. There are three (3) questions in this section.

When answering these questions, consider the funds allocated in FY 2020-21 and include any monies from 2019-20 growth funds and 2020-21 programmatic funding.

25. Of the total funds received in FY 2020-21, how did the CCP budget the allocation? Input the total allocation in the cell above the table. Within the table, identify where funds were allocated to, and include if the county used any carry-over funds (monies from previous annual CCP allocations) and/or if the county put any funds into a reserve fund (i.e., funds specifically set aside to be used when budget is disrupted or decreased so operations can continue). Please correct the information provided if there is a difference showing between the stated total allocation and the calculated amount (directly below the table). Differences will automatically display in **red**. Please correct any cells displaying **red** prior to submitting.

Total Allocation: \$ **97,716,312**

Where funds were allocated to:	Amount
Sheriff Department	\$ 51,115,267
Probation Department	\$ 17,506,095
Health Care Agency	\$ 19,617,135
District Attorney	\$ 1,323,005
Public Defender	\$ 1,616,076
Local Law Enforcement Entities	\$ 1,860,767
Community Corrections Partnerships (CCP) Coordinator	\$ 114,680
Reentry Services	\$ 4,563,287

(Total sums to) \$ **97,716,312**

Please spell out all names and do not  
use acronyms.

Difference from  
Stated Allocation: \$ -

**26.** Of the total funds received in FY 2020-21, how much did the CCP allocate to public agencies for programs and services? How much did the CCP allocate to non-public agencies for programs and services? Input the total allocations in the cells above each table. Within the tables, identify where funds were allocated to. Please correct the information provided if there is a difference showing between the stated total allocation and the calculated amount (directly below the table). Differences will automatically display in **red**. **Please correct any cells displaying red prior to submitting.**

<b>Total Allocation to non-public agencies:</b>	<b>\$ 1,681,594</b>
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[illegible]

(Total sums to)	\$	19,578,635
Difference from		
Stated Allocation:	\$	-

(Total sums to)	\$	1,681,594
Difference from		
Stated Allocation:	\$	-

**27. How much funding, if any, was allocated to data collection and/or evaluation of AB 109 programs and services?**

\$262,937 includes Salaries and Employee Benefits for Research Team.

## SECTION 7: FY 2021-22 Public Safety Realignment Funding Allocation

Section 7 asks three (3) questions related to the allocation of **FY 2021-22** Public Safety Realignment funding.

When answering these questions, consider the total funds allocated in **FY 2021-22** and include any monies from 2020-21 growth funds and 2021-22 programmatic funding.

28. Of the total funds received in FY 2021-22, how did the CCP budget the allocation? Input the total allocation in the cell above the table. Within the table, identify where funds were allocated to, and include if the county is using any carry-over funds (monies from previous annual CCP allocations) and/or if the county is putting any funds into a reserve fund (i.e., funds specifically set aside to be used when budget is disrupted or decreased so operations can continue). Please correct the information provided if there is a difference showing between the stated total allocation and the calculated amount (directly below the table). Differences will automatically display in **red**. **Please correct any cells displaying red prior to submitting.**

Total Allocation: \$ 93,826,189

Where funds were allocated to:	Amount
Sheriff Department	\$ 49,469,269
Probation Department	\$ 16,942,369
Health Care Agency	\$ 18,985,430
District Attorney	\$ 1,280,403
Public Defender	\$ 1,564,036
Local Law Enforcement Entities	\$ 1,800,847
Reentry Services	\$ 3,783,835

(Total sums to) \$ 93,826,189

Please spell out all names and do not  
use acronyms.

Difference from  
Stated Allocation: \$ -

**29.** If known: of the total funds received in FY 2021-22, how much did the CCP allocate to public agencies for programs and services? How much did the CCP allocate to non-public agencies for programs and services? Input the total allocations in the cells above each table. Within the tables, identify where funds were allocated to. Please correct the information provided if there is a difference showing between the stated total allocation and the calculated amount (directly below the table). Differences will automatically display in red. **Please correct any cells displaying red prior to submitting.**

**Total Allocation to non-public agencies: \$ 2,863,405**

[illegible]

(Total sums to)	\$	2,863,405
Difference from		
Stated Allocation:	\$	-

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**NOTE: The information contained in this report will be made public by the BSCC in the annual report to the Governor's Office and the Legislature on the implementation of Community Corrections Partnership plans on the BSCC website.**

Please complete [Part A](#) in Microsoft Word which consists of five (5) narrative sections.

A complete FY 2021-22 CCP Survey Package includes Part A, Part B, Highlight or Success Story (optional), **and an updated CCP Plan**. To submit the package, send a single email with all attachments by Wednesday, December 15, 2021 to:

**Please be aware that a complete CCP Survey package, including an updated CCP plan, MUST be submitted to the BSCC to receive compensation.**

Thank you.



## AB109 Monthly Stats January 2022

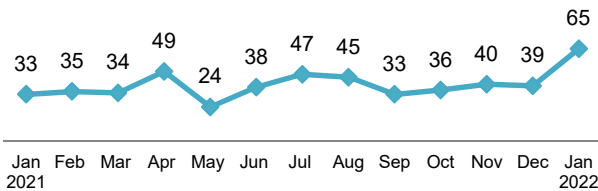
### Postrelease Community Supervision (PCS)

#### Releases from Prison

from 10/1/11 - 1/31/22 = 10,591

2022 YTD = 65

2022 Monthly Avg = 65



#### PCS Active Supervision

(Excludes Warrants)

• 990

#### Warrants

229

514

743

•ICE

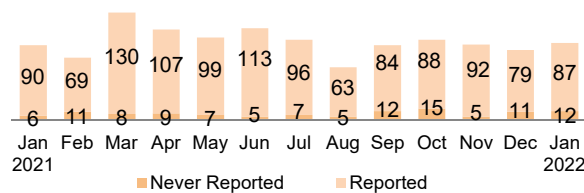
•PCS

•Total

#### Warrants

2022 YTD = 99

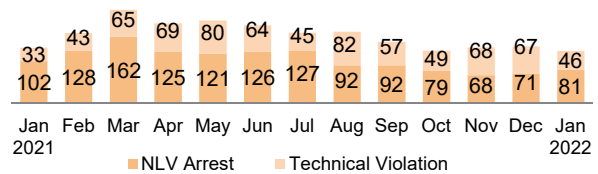
2022 Monthly Avg = 99



#### Revocations

2022 YTD = 127

2022 Monthly Avg = 127

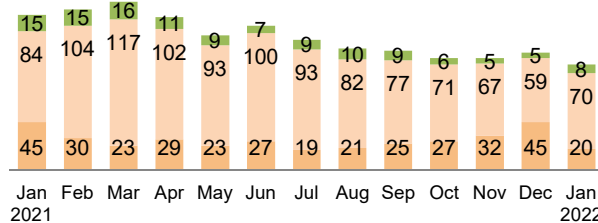


#### Day Reporting Center Participants

2022 Monthly Avg = 98

2022 Monthly Avg: (AB109 = 24, GenSup = 74)

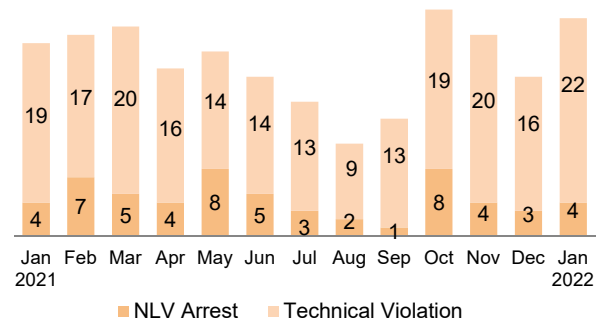
Phase 1 Phase 2 Phase 3



#### Flash Incarcerations

2022 Monthly Avg = 26

2021 Monthly Avg = 20



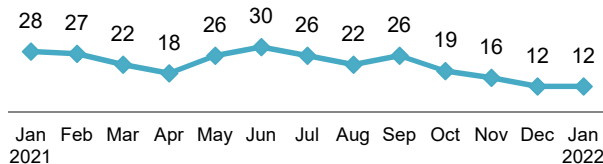
## Mandatory Supervision (MS)

#### Individuals with MS Convictions

from 10/1/11 - 1/31/22 = 4,532

2022 YTD = 12

2022 Monthly Avg = 12



#### MS Active Supervision

(Excludes Warrants)

• 317

#### Warrants

459

January Flash Incarcerations

5